

# 软件分析

南京大学

计算机科学与技术系

程序设计语言与

静态分析研究组

李槭 谭添

The background of the slide features a large, faint watermark of the Nanjing University logo. The logo is a shield-shaped emblem. At the top, it contains a circular design with a central spire and horizontal lines. Below this, there are two stylized figures flanking a central vertical element. The bottom half of the shield is a solid purple color with a white tree-like shape in the center. The words "NANJING UNIVERSITY" are written in a circular path around the bottom of the shield.

# Static Program Analysis

## Intermediate Representation

Nanjing University

Yue Li

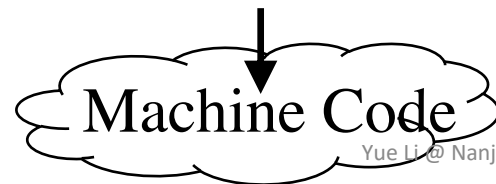
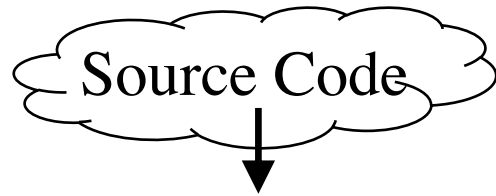
Fall 2020

The background of the slide features a group of anime characters from the series Haikyuu!!, specifically the members of the Karasuno volleyball team. They are shown in a dynamic, cheering pose, wearing their team uniforms. The image is faded to serve as a background for the text.

# Contents

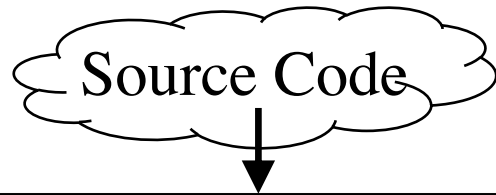
1. Compilers and Static Analyzers
2. AST vs. IR
3. IR: Three-Address Code (3AC)
4. 3AC in Real Static Analyzer: Soot
5. Static Single Assignment (SSA)
6. Basic Blocks (BB)
7. Control Flow Graphs (CFG)

# Compiler





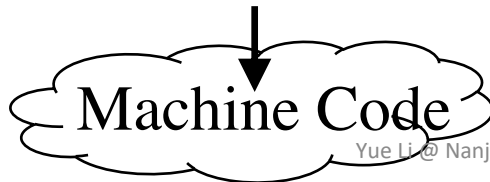
Compiler



Lexical Analysis

Regular  
Expression

You 你 goouojd



Compiler

Source Code

Scanner

Tokens

Parser

AST

Machine Code

Lexical Analysis

You 3 goouojd

Syntax Analysis

Like your hair I

Regular  
Expression

Context-Free  
Grammar

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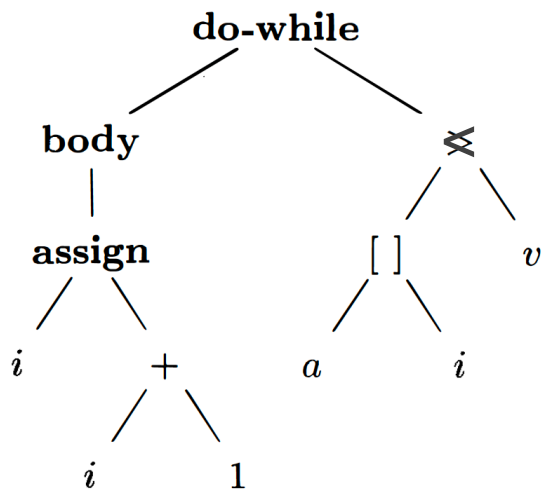
Attribute  
Grammar

Static Analysis

e.g., code optimization

# AST vs. IR

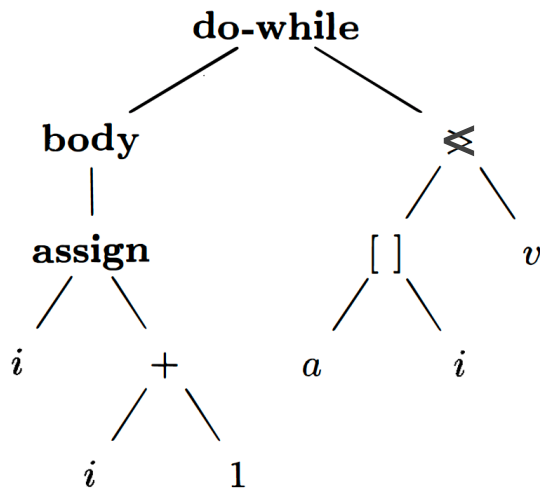
AST



`do i = i + 1; while (a[i] < v);`

# AST vs. IR

AST



`"do i = i + 1; while (a[i] < v);"`

IR

```
1: i = i + 1
2: t1 = a [ i ]
3: if t1 < v goto 1
```

("3-address" form)



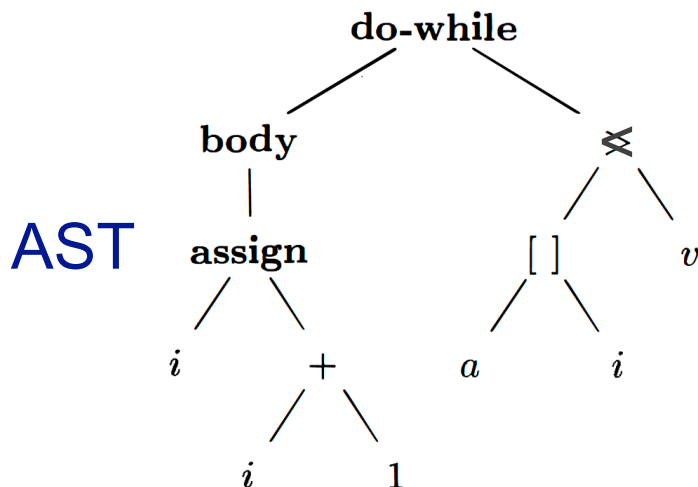
# AST vs. IR

## AST

- high-level and closed to grammar structure
- usually language dependent
- suitable for fast type checking
- lack of control flow information

## IR

- low-level and closed to machine code
- usually language independent
- compact and uniform
- contains control flow information
- usually considered as the basis for static analysis



`"do i = i + 1; while (a[i] < v);"`

## IR

```
1: i = i + 1
2: t1 = a [ i ]
3: if t1 < v goto 1
```

("3-address" form)

# Intermediate Representation (IR)

- 3-Address Code (3AC)

There is at most one operator on the right side of an instruction.

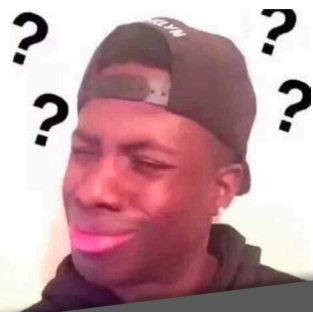
$t2 = a + b + 3 \quad \Rightarrow \quad \begin{array}{l} t1 = a + b \\ t2 = t1 + 3 \end{array}$

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Why called 3-address?

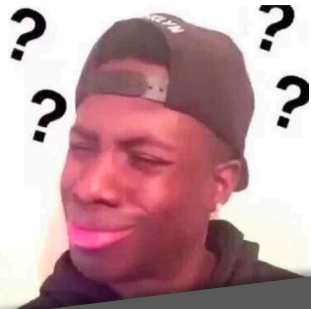
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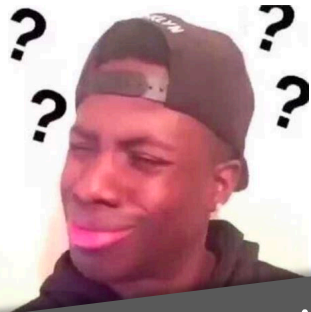
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Why called 3-address?

Address can be one of the following:

- Name: a, b
- Constant: 3
- Compiler-generated temporary: t1, t2

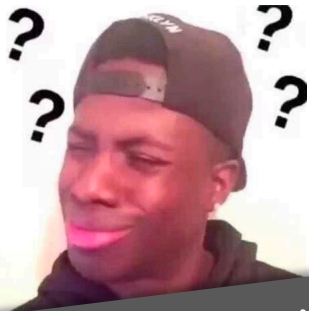
# Intermediate Representation (IR)

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Why called 3-address?

Address can be one of the following:

- Name: a, b
- Constant: 3
- Compiler-generated temporary: t1, t2

Each type of instructions has its own 3AC form

# Some Common 3AC Forms

- $x = y \text{ } \textit{bop} \text{ } z$
- $x = \textit{uop} \text{ } y$
- $x = y$
- $\text{goto } L$
- $\text{if } x \text{ goto } L$
- $\text{if } x \textit{ rop} \text{ } y \text{ goto } L$

$x, y, z$ : addresses

*bop*: binary arithmetic or logical operation

*uop*: unary operation (minus, negation, casting)

$L$ : a label to represent a program location

*rop*: relational operator ( $>$ ,  $<$ ,  $==$ ,  $>=$ ,  $<=$ , etc.)

$\text{goto } L$ : unconditional jump

$\text{if } \dots \text{ goto } L$ : conditional jump

# Some Common 3AC Forms

- $x = y \text{ } \textcolor{red}{bop} \text{ } z$
- $x = \textcolor{red}{uop} \text{ } y$
- $x = y$
- $\text{goto } \textcolor{red}{L}$
- $\text{if } x \text{ goto } \textcolor{red}{L}$
- $\text{if } x \textcolor{red}{rop} \text{ } y \text{ goto } \textcolor{red}{L}$

$x, y, z$ : addresses

$\textcolor{red}{bop}$ : binary arithmetic or logical operation

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$\text{goto } L$ : unconditional jump

$\text{if } \dots \text{ goto } L$ : conditional jump

Let's see some more real-world complicated forms



# Soot and Its IR: Jimple

- Soot

Most popular static analysis framework for Java

<https://github.com/Sable/soot>

<https://github.com/Sable/soot/wiki/Tutorials>

Soot's IR is Jimple: typed 3-address code

```
package nju.sa.examples;  
public class DoWhileLoop3AC {  
    public static void main(String[] args) {  
        int[] arr = new int[10];  
        int i = 0;  
        do {  
            i = i + 1;  
        } while (arr[i] < 10);  
    }  
}
```

Java Src

## *Do-While Loop*

```

package nju.sa.examples;
public class DoWhileLoop3AC {
    public static void main(String[] args) {
        int[] arr = new int[10];
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        do {
            i = i + 1;
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    }
}

```

Java Src

## *Do-While Loop*

```

public static void main(java.lang.String[])
{
    java.lang.String[] r0;
    int[] r1;
    int $i0, i1;

    r0 := @parameter0: java.lang.String[];

    r1 = newarray (int)[10];

    i1 = 0;

label1:
    i1 = i1 + 1;

    $i0 = r1[i1];

    if $i0 < 10 goto label1;

    return;
}

```

3AC(jimple)

```
package nju.sa.examples;
public class MethodCall3AC {

    String foo(String para1, String para2) {
        return para1 + " " + para2;
    }

    public static void main(String[] args) {
        MethodCall3AC mc = new MethodCall3AC();
        String result = mc.foo("hello", "world");
    }
}
```

Java Src



```
java.lang.String foo(java.lang.String, java.lang.String
```

```
{  
    nju.sa.examples.MethodCall3AC r0;  
    java.lang.String r1, r2, $r7;  
    java.lang.StringBuilder $r3, $r4, $r5, $r6;
```

```
    r0 := @this: nju.sa.examples.MethodCall3AC;
```

```
    r1 := @parameter0: java.lang.String;
```

```
    r2 := @parameter1: java.lang.String;
```

```
    $r3 = new java.lang.StringBuilder;
```

```
    specialinvoke $r3.<java.lang.StringBuilder: void <init>()>();
```

```
    $r4 = virtualinvoke $r3.<java.lang.StringBuilder: java.lang.StringBuilder append(java.lang.String)>(r1);
```

```
    $r5 = virtualinvoke $r4.<java.lang.StringBuilder: java.lang.StringBuilder append(java.lang.String)>(" ");
```

```
    $r6 = virtualinvoke $r5.<java.lang.StringBuilder: java.lang.StringBuilder append(java.lang.String)>(r2);
```

```
    $r7 = virtualinvoke $r6.<java.lang.StringBuilder: java.lang.String toString()>();
```

```
    return $r7;  
}
```

```
package nju.sa.examples;  
public class MethodCall3AC {
```

```
    String foo(String para1, String para2) {  
        return para1 + " " + para2;  
    }
```

```
    public static void main(String[] args) {  
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    }
```

```
}
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Java Src



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    public static void main(String[] args) {
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        String result = mc.foo("hello", "world");
    }
```

Java Src

```
public static void main(java.lang.String[])
```

```
{
```

```
    java.lang.String[] r0;
    nju.sa.examples.MethodCall3AC $r3;
```

```
    r0 := @parameter0: java.lang.String[];
```

```
    $r3 = new nju.sa.examples.MethodCall3AC;
```

```
    specialinvoke $r3.<nju.sa.examples.MethodCall3AC: void <init>()>();
```

```
    virtualinvoke $r3.<nju.sa.examples.MethodCall3AC:
        java.lang.String foo(java.lang.String,java.lang.String)>("hello", "world");
```

```
    return;
```

```
}
```



# *Class*

```
package nju.sa.examples;  
public class Class3AC {  
  
    public static final double pi = 3.14;  
    public static void main(String[] args) {  
  
    }  
}
```

Java Src

```

public class nju.sa.examples.Class3AC extends java.lang.Object
{
    public static final double pi;

    public void <init>()
    {
        nju.sa.examples.Class3AC r0;

        r0 := @this: nju.sa.examples.Class3AC;

        specialinvoke r0.<java.lang.Object: void <init>()>();

        return;
    }

    public static void main(java.lang.String[])
    {
        java.lang.String[] r0;

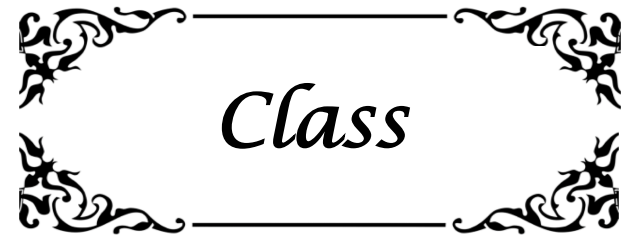
        r0 := @parameter0: java.lang.String[];

        return;
    }

    public static void <clinit>()
    {
        <nju.sa.examples.Class3AC: double pi> = 3.14;

        return;
    }
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```



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public class Class3AC {

    public static final double pi = 3.14;
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    }

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```

Java Src

3AC (jimple)

Yue Li @ Nanjing University



# Static Single Assignment (SSA)

Optional material

- All assignments in SSA are to variables with distinct names
  - Give each definition a fresh name
  - Propagate fresh name to subsequent uses
  - Every variable has exactly one definition

$$p = a + b$$

$$q = p - c$$

$$p = q * d$$

$$p = e - p$$

$$q = p + q$$

3AC

$$p_1 = a + b$$

$$q_1 = p_1 - c$$

$$p_2 = q_1 * d$$

$$p_3 = e - p_2$$

$$q_2 = p_3 + q_1$$

SSA

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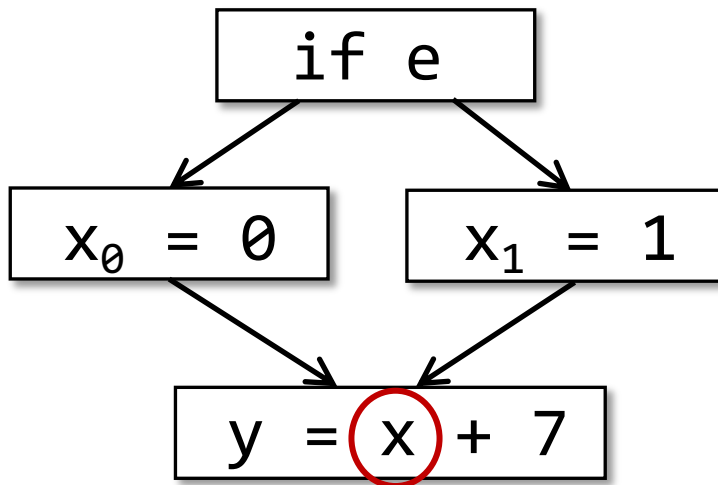
$q_2 = p_3 + q_1$

SSA



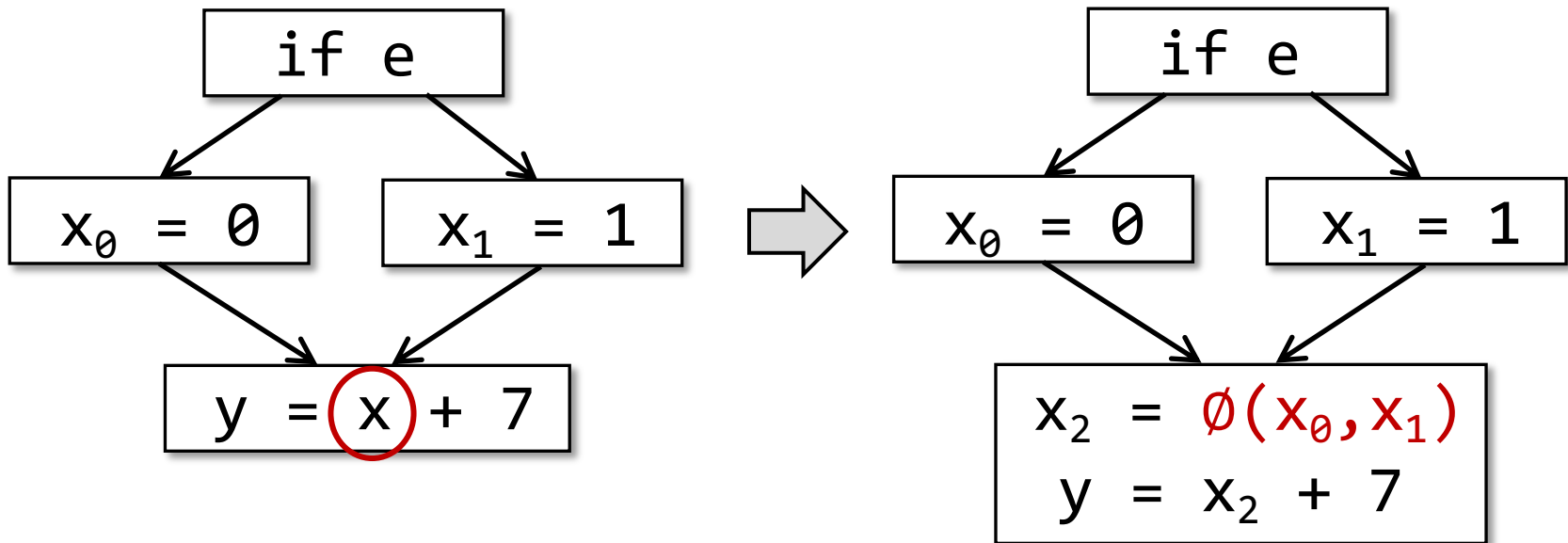
# Static Single Assignment (SSA)

- What if a variable use is at control flow merges?



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- What if a variable use is at control flow merges?



- A special merge operator,  $\emptyset$  (called phi-function), is introduced to select the values at merge nodes
- $\emptyset(x_0, x_1)$  has the value  $x_0$  if the control flow passes through the true part of the conditional and the value  $x_1$  otherwise

# Why SSA?

# Why not SSA?

# Why SSA?

- Flow information is indirectly incorporated into the unique variable names

May help deliver some simpler analyses, e.g., flow-insensitive analysis gains partial precision of flow-sensitive analysis via SSA

- Define-and-Use pairs are explicit

Enable more effective data facts storage and propagation in some on-demand tasks

Some optimization tasks perform better on SSA (e.g., conditional constant propagation, global value numbering)

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- May introduce inefficiency problem when translating to machine code (due to copy operations)

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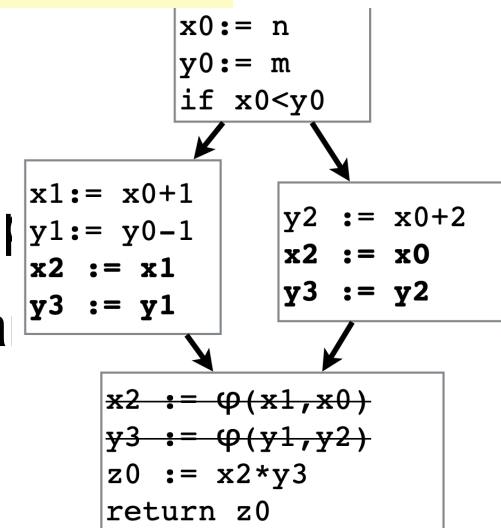
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# Control Flow Analysis

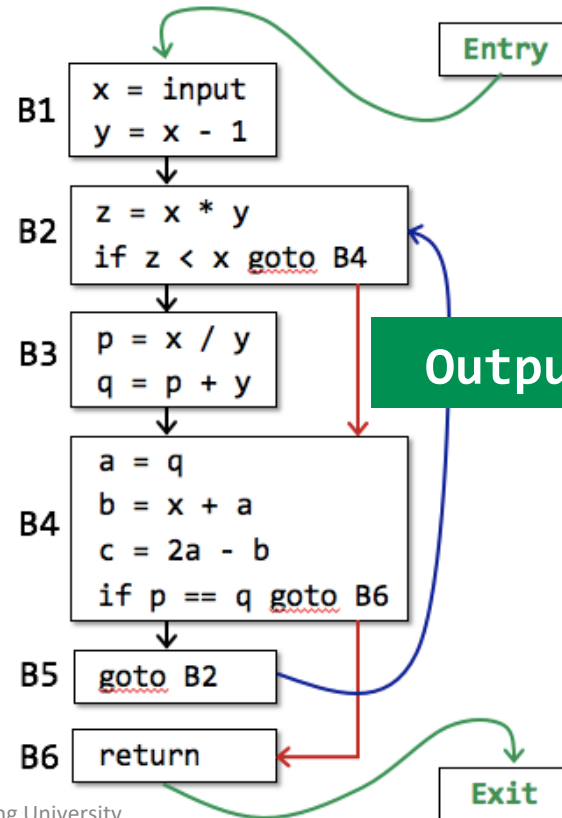
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## Input: 3AC of $P$

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(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
(5) p = x / y
(6) q = p + y
(7) a = q
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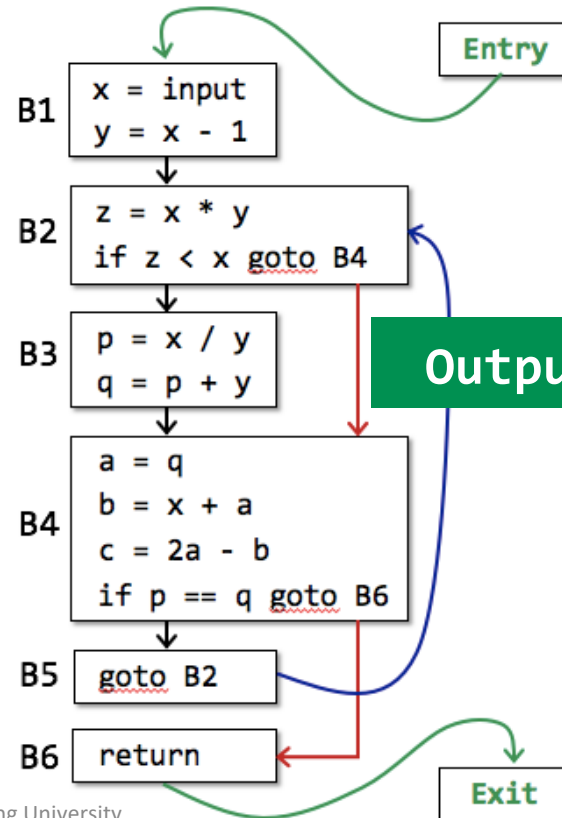
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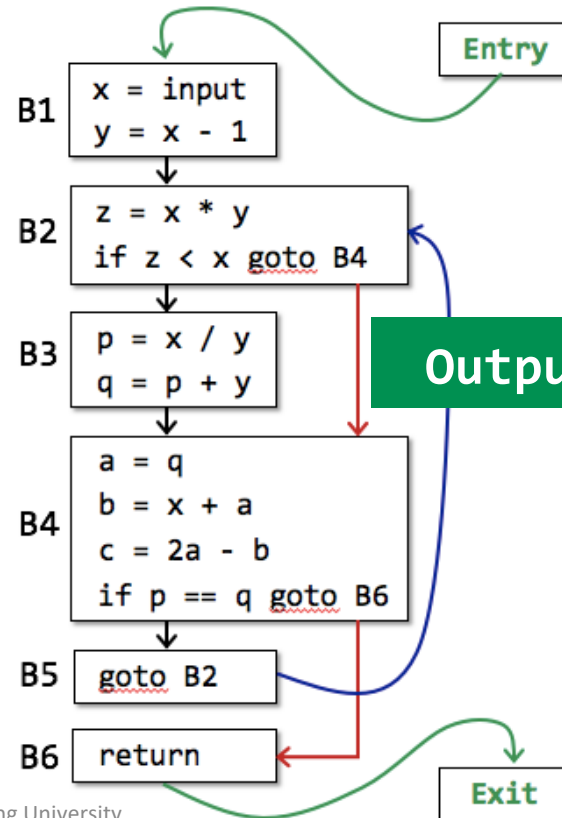
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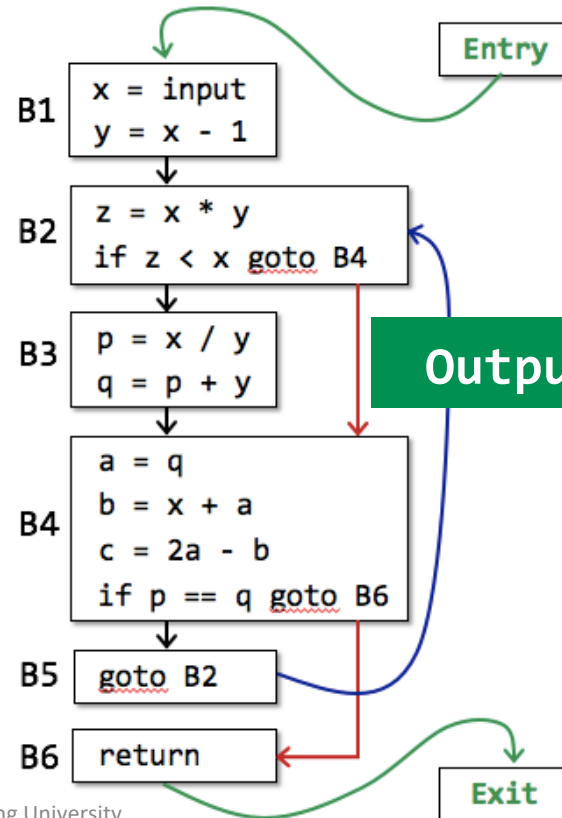
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## Output: CFG of *P*

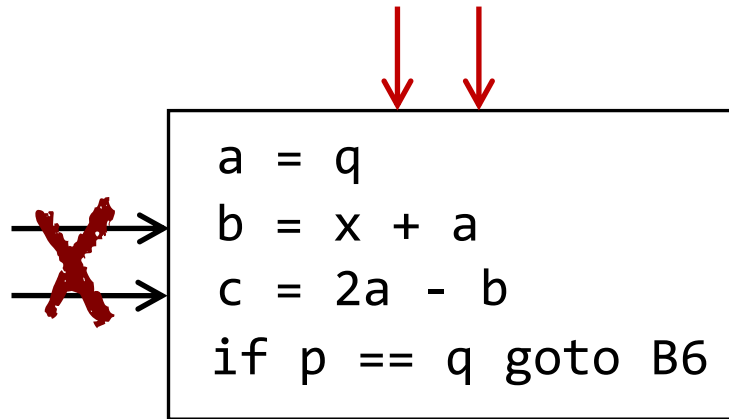
# Basic Blocks (BB)

- Basic blocks (BB) are maximal sequences of consecutive three-address instructions with the properties that

```
a = q  
b = x + a  
c = 2a - b  
if p == q goto B6
```

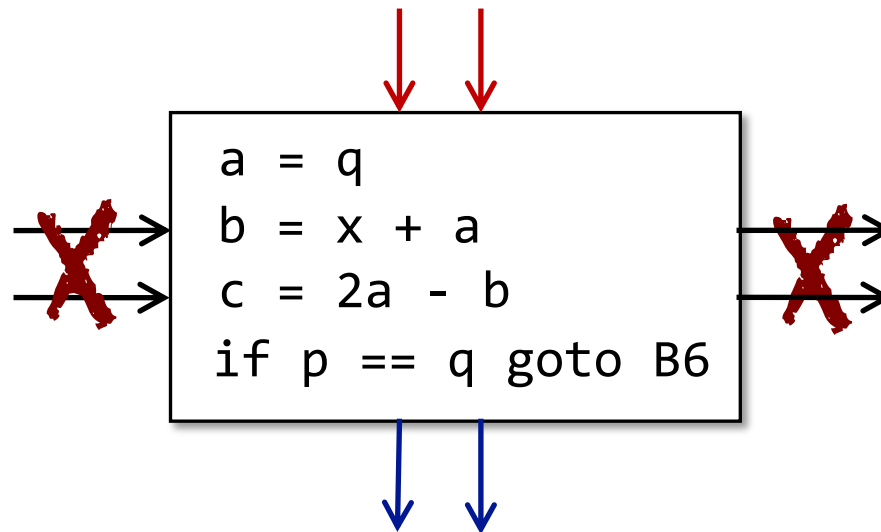
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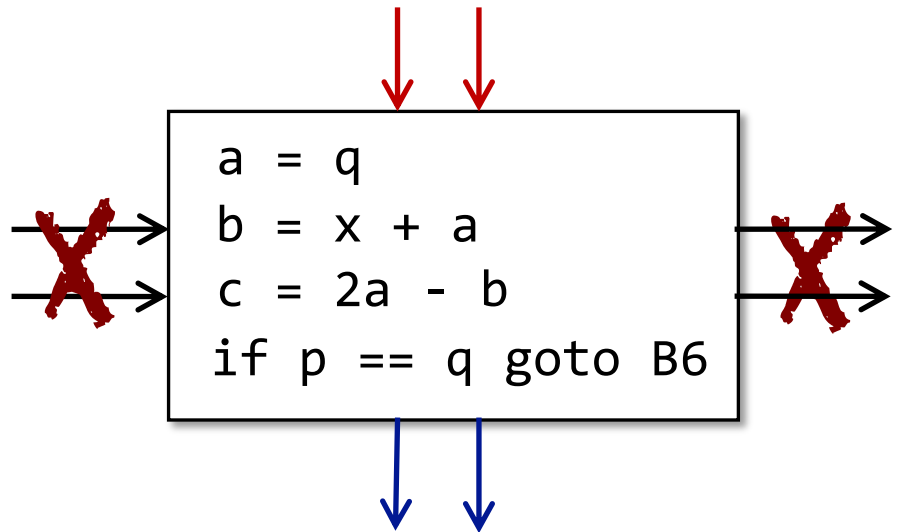
- Basic blocks (BB) are maximal sequences of consecutive three-address instructions with the properties that
  - It can be entered only at the beginning, i.e., *the first instruction in the block*
  - It can be exited only at the end, i.e., *the last instruction in the block*





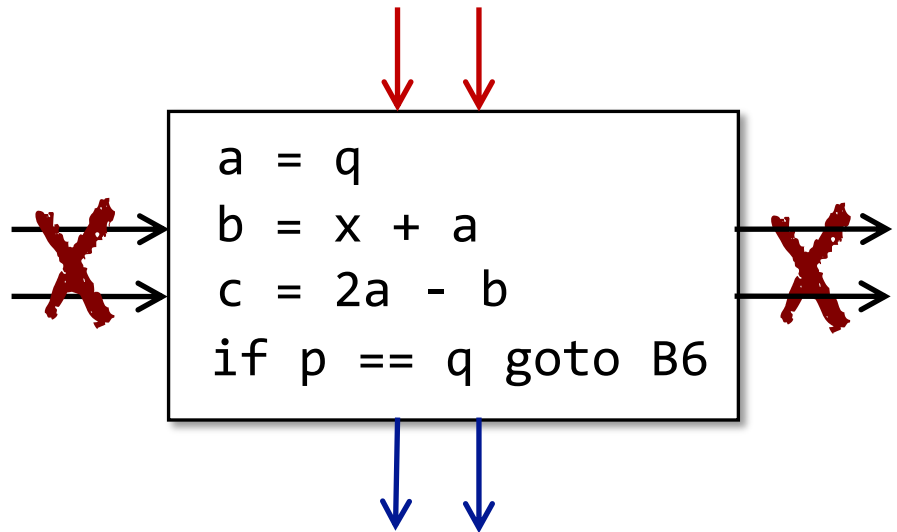
Now try to design the algorithm to build BBs by yourself!

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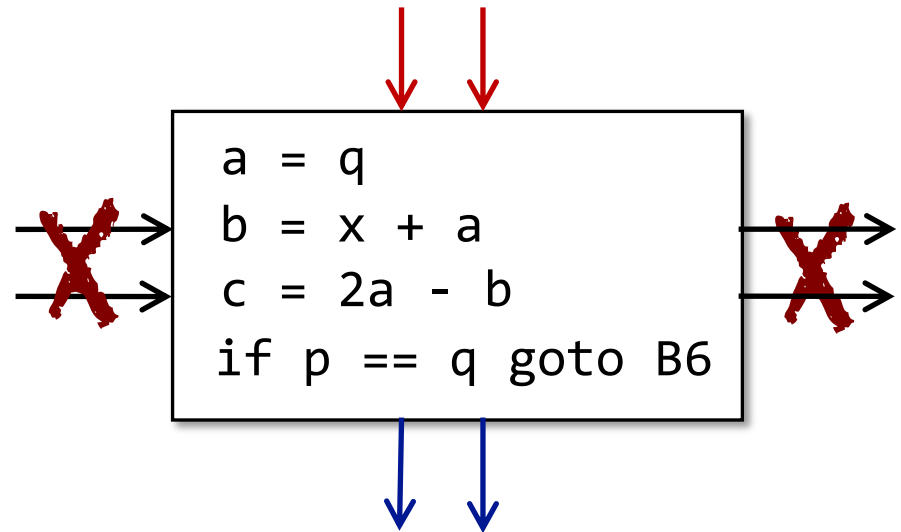
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```



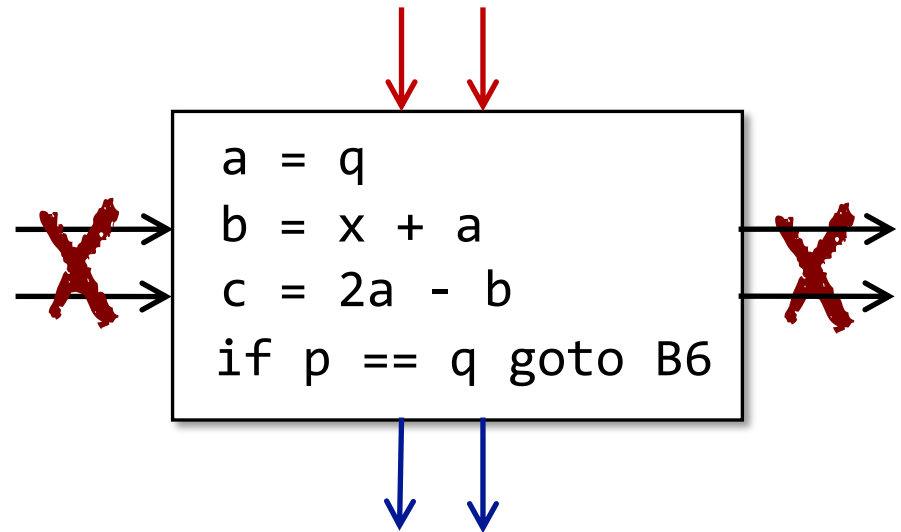
Now try to design the algorithm to build BBs by yourself!

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(7) a = q
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(1)  $x = \text{input}$

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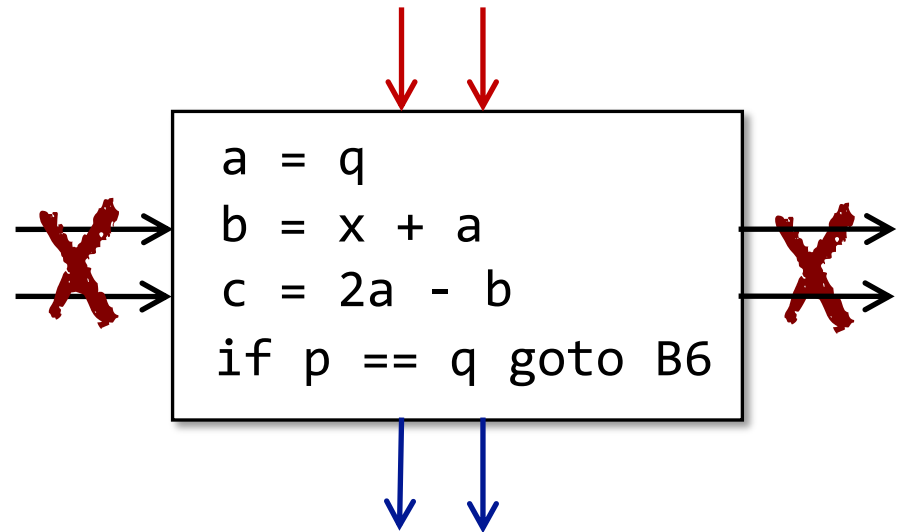
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(12) return



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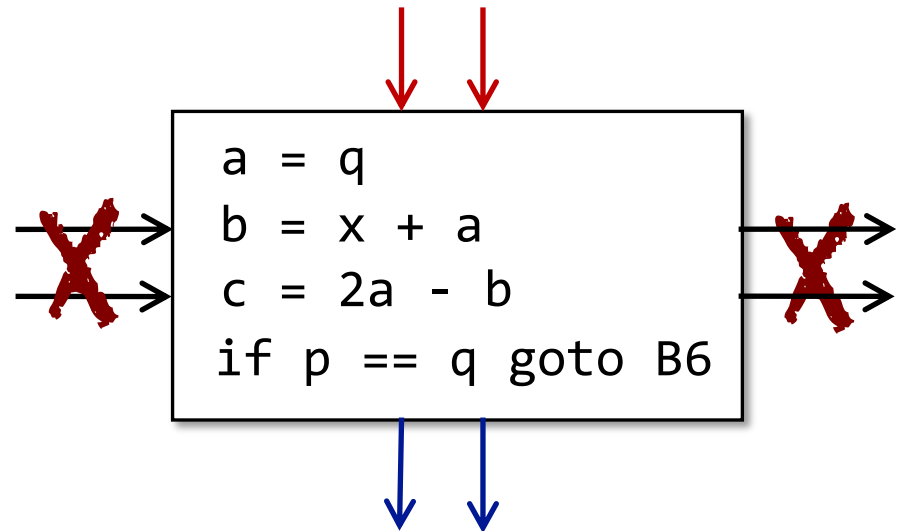
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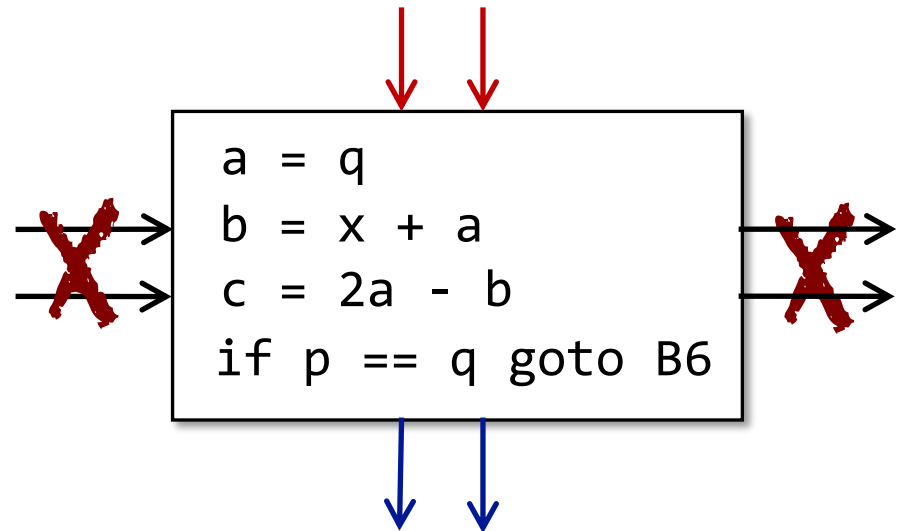
(11) goto (3)

(12) return



Now try to design the algorithm to build BBs by yourself!

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```



Now try to design the algorithm to build BBs by yourself!

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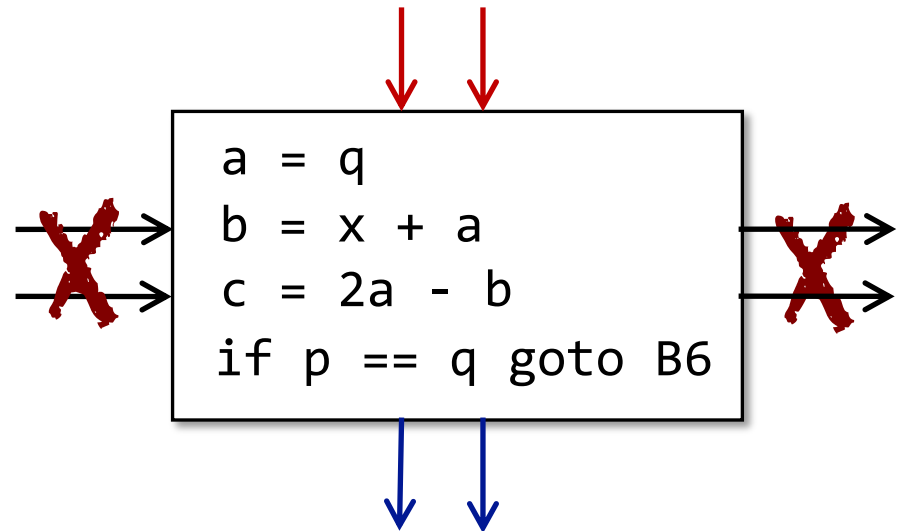
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(12) return





# How to build Basic Blocks?

**INPUT:** A sequence of three-address instructions of  $P$

**OUTPUT:** A list of basic blocks of  $P$

**METHOD:** (1) Determine the leaders in  $P$

- The first instruction in  $P$  is a leader
- Any target instruction of a conditional or unconditional jump is a leader
- Any instruction that immediately follows a conditional or unconditional jump is a leader

(2) Build BBs for  $P$

- A BB consists of a leader and all its subsequent instructions until the next leader

# How to build Basic Blocks?

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Input: 3AC of  $P$

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
(5) p = x / y
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(7) a = q
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(11) goto (3)
(12) return
```

Output: BBs of  $P$

Input: 3AC of  $P$

```
(1) x = input
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(12) return
```

Output: BBs of  $P$

(1) Determine the leaders in  $P$

## Input: 3AC of $P$

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(1) x = input
(2) y = x - 1
(3) z = x * y
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## Output: BBs of $P$

### (1) Determine the leaders in $P$

- The first instruction in  $P$  is a leader

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(1)  $x = \text{input}$

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## Output: BBs of $P$

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Output: BBs of  $P$

(1) Determine the leaders in  $P$

- (1)

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## Output: BBs of $P$

### (1) Determine the leaders in $P$

- (1)
- Any target instruction of a conditional or unconditional jump is a leader



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(12) return
```

## Output: BBs of $P$

(1) Determine the leaders in  $P$

- (1)
- (3), (7), (12)

## Input: 3AC of $P$

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(3) z = x * y
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## Output: BBs of $P$

### (1) Determine the leaders in $P$

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```

## Output: BBs of $P$

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```

## Output: BBs of $P$

### (1) Determine the leaders in $P$

- (1)
- (3), (7), (12)
- (5), (11), (12)

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(1) x = input
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## Output: BBs of $P$

### (1) Determine the leaders in $P$

- (1)
- (3), (7), (12)
- (5), (11), (12)

Leaders: (1), (3),  
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(1) x = input
(2) y = x - 1
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```

## Output: BBs of $P$

### (1) Determine the leaders in $P$

- (1)
- (3), (7), (12)
- (5), (11), (12)

Leaders: (1), (3),  
(5), (7), (11), (12)

### (2) Build BBs for $P$

- A BB consists of a leader and all its subsequent instructions until the next leader

## Input: 3AC of $P$

```
(1) x = input
(2) y = x - 1
(3) z = x * y
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```

## Output: BBs of $P$

### (1) Determine the leaders in $P$

- (1)
- (3), (7), (12)
- (5), (11), (12)

Leaders: (1), (3),  
(5), (7), (11), (12)

### (2) Build BBs for $P$

- A BB consists of a leader and all its subsequent instructions until the next leader
- B1 {(1)}
- B2 {(3)}
- B3 {(5)}
- B4 {(7)}
- B5 {(11)}
- B6 {(12)}



## Input: 3AC of $P$

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
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(8) b = x + a
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(12) return
```

## Output: BBs of $P$

### (1) Determine the leaders in $P$

- (1)
- (3), (7), (12)
- (5), (11), (12)

Leaders: (1), (3),  
(5), (7), (11), (12)

### (2) Build BBs for $P$

- A BB consists of a leader and all its subsequent instructions until the next leader
- B1 {(1), (2)}
- B2 {(3), (4)}
- B3 {(5), (6)}
- B4 {(7), (8), (9), (10)}
- B5 {(11)}
- B6 {(12)}

## Input: 3AC of $P$

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
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(11) goto (3)
(12) return
```

## Output: BBs of $P$

- B1 {(1), (2)}
- B2 {(3), (4)}
- B3 {(5), (6)}
- B4 {(7), (8), (9), (10)}
- B5 {(11)}
- B6 {(12)}

## Input: 3AC of $P$

```
(1) x = input
(2) y = x - 1
(3) z = x * y
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```

## Output: BBs of $P$

B1

```
(1) x = input
(2) y = x - 1
```

B2

```
(3) z = x * y
(4) if z < x goto (7)
```

B3

```
(5) p = x / y
(6) q = p + y
```

B4

```
(7) a = q
(8) b = x + a
(9) c = 2a - b
(10) if p == q goto (12)
```

B5

```
(11) goto (3)
```

B6

```
(12) return
```

## Input: 3AC of $P$

```
(1) x = input
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(9) c = 2a - b
(10) if p == q goto (12)
(11) goto (3)
(12) return
```

How to build CFG on  
top of BBs?

## Output: BBs of $P$

B1  
(1) x = input  
(2) y = x - 1

B2  
(3) z = x \* y  
(4) if z < x goto (7)

B3  
(5) p = x / y  
(6) q = p + y

B4  
(7) a = q  
(8) b = x + a  
(9) c = 2a - b  
(10) if p == q goto (12)

B5  
(11) goto (3)

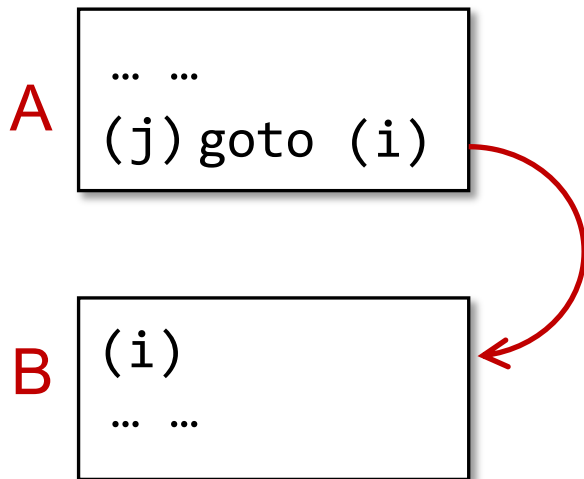
B6  
(12) return

# Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if

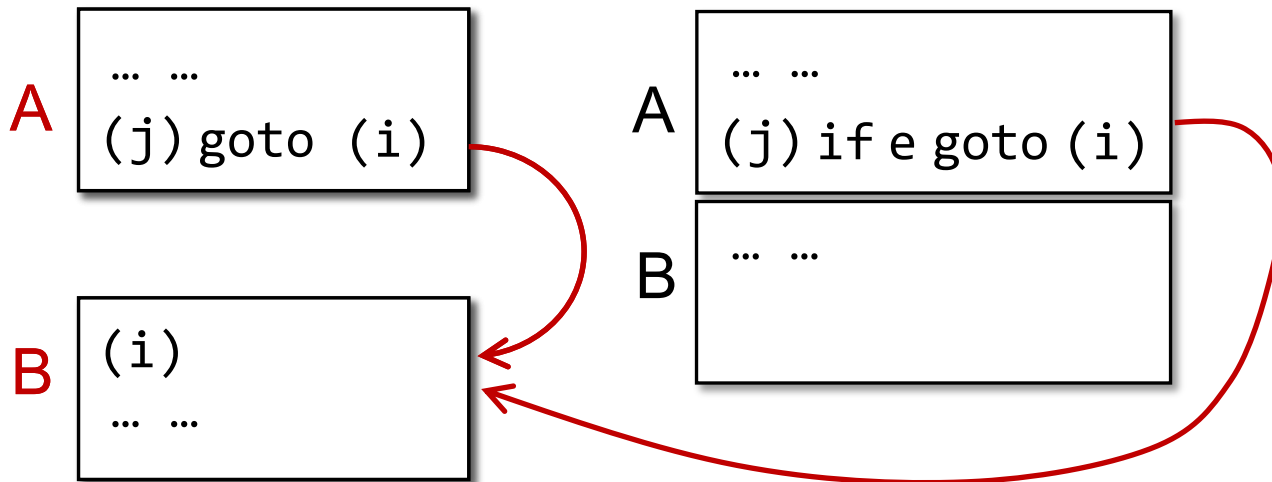
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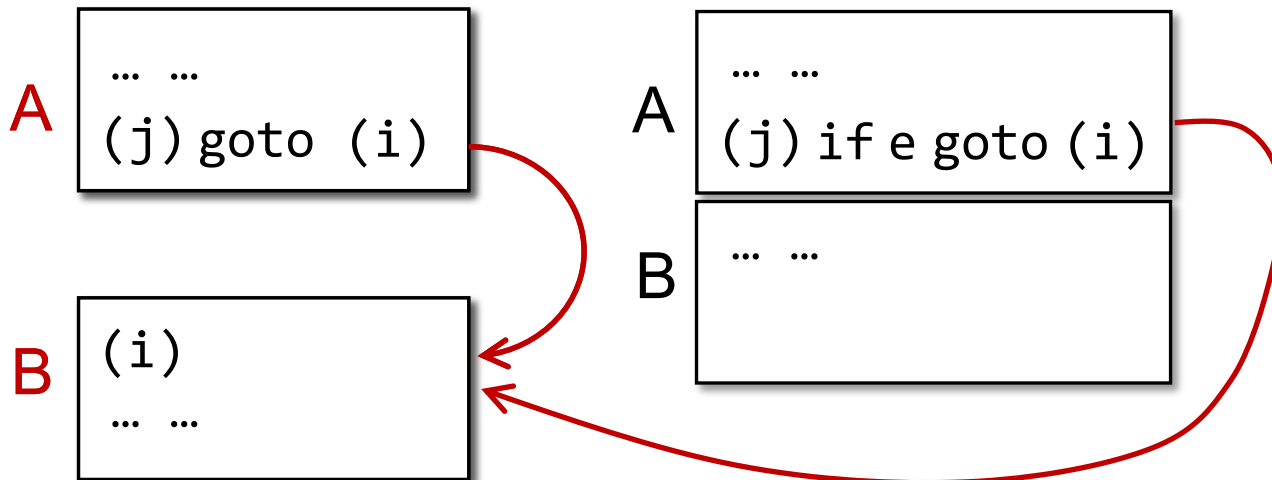
# Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
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# Control Flow Graph (CFG)

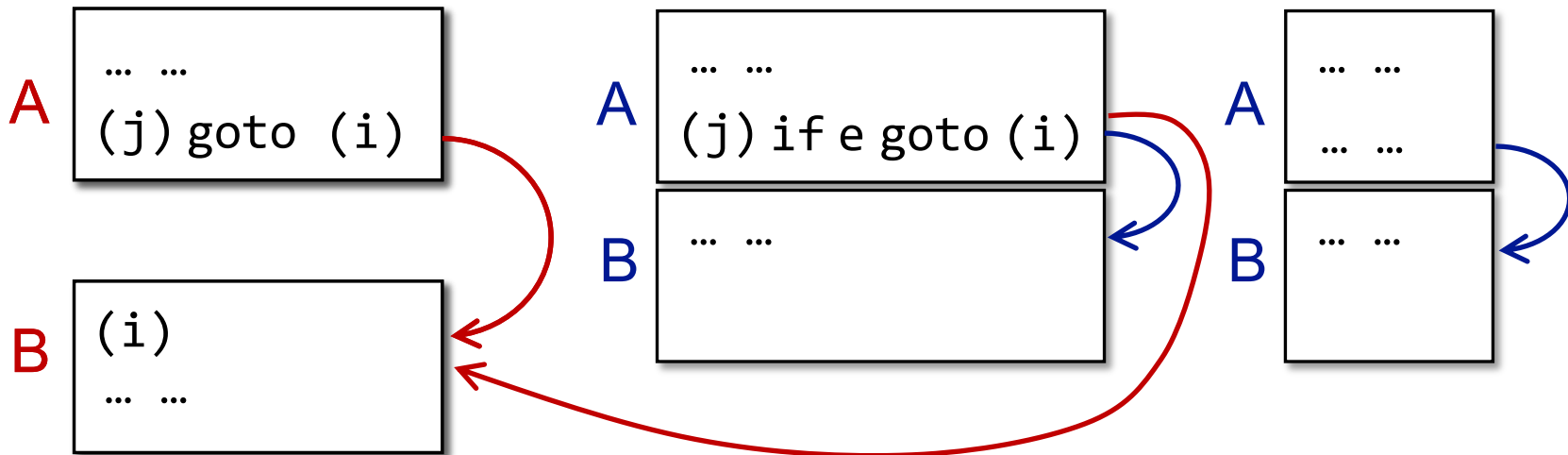
- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
  - There is a conditional or unconditional jump from the end of A to the beginning of B





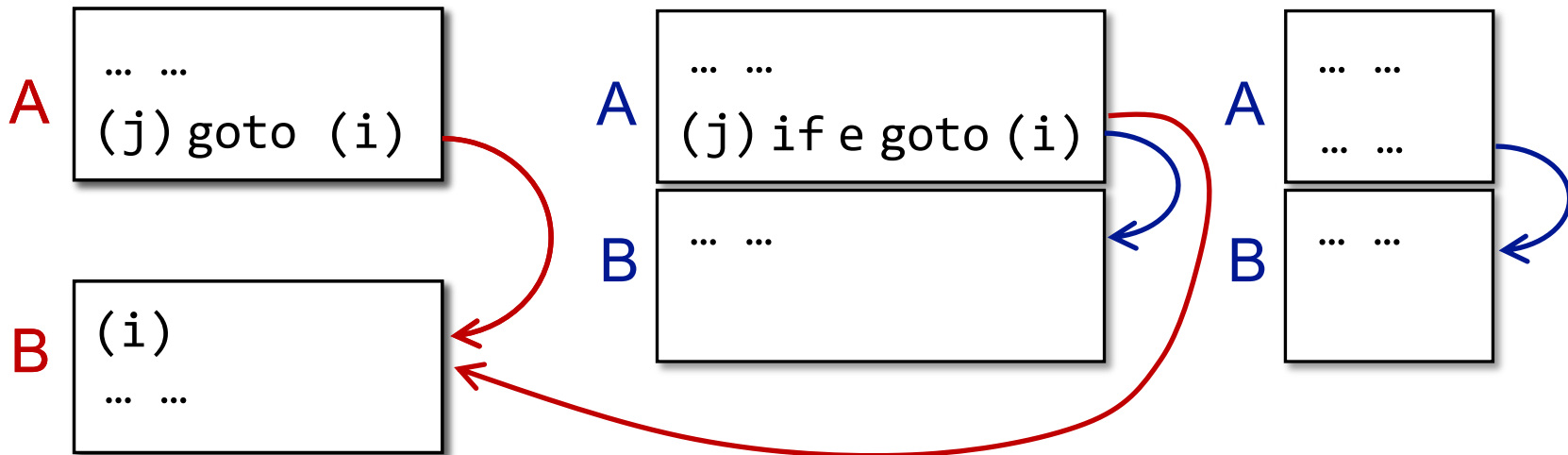
# Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
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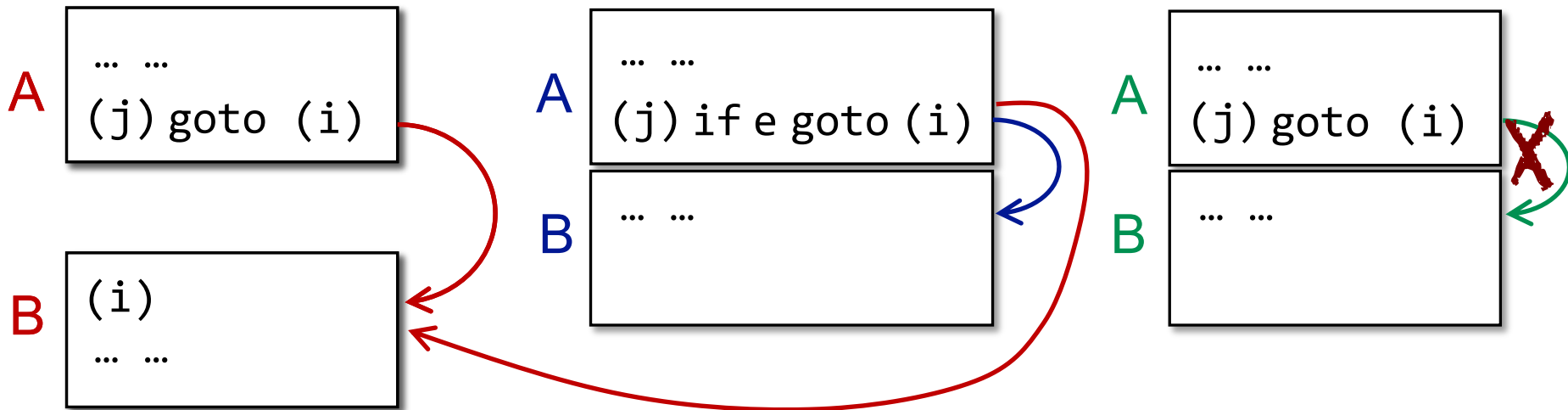
# Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
  - There is a conditional or unconditional jump from the end of A to the beginning of B
  - B immediately follows A in the original order of instructions



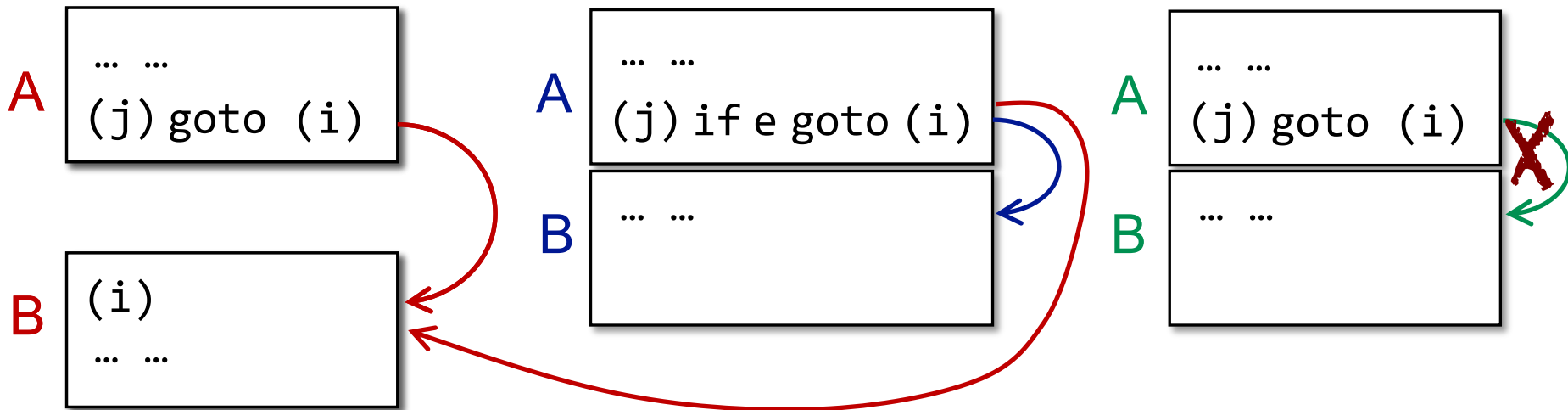
# Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
  - There is a conditional or unconditional jump from the end of A to the beginning of B
  - B immediately follows A in the original order of instructions



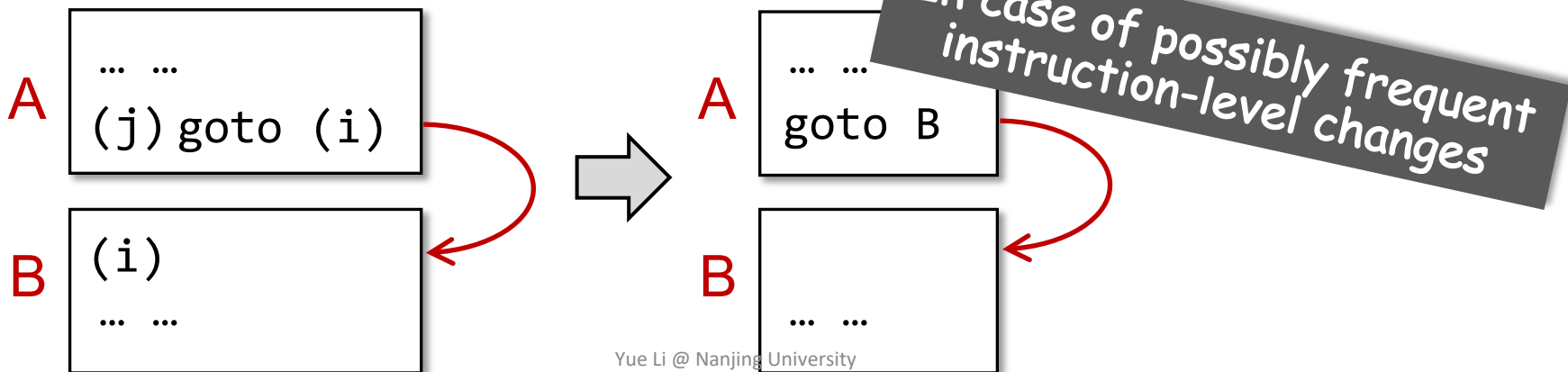
# Control Flow Graph (CFG)

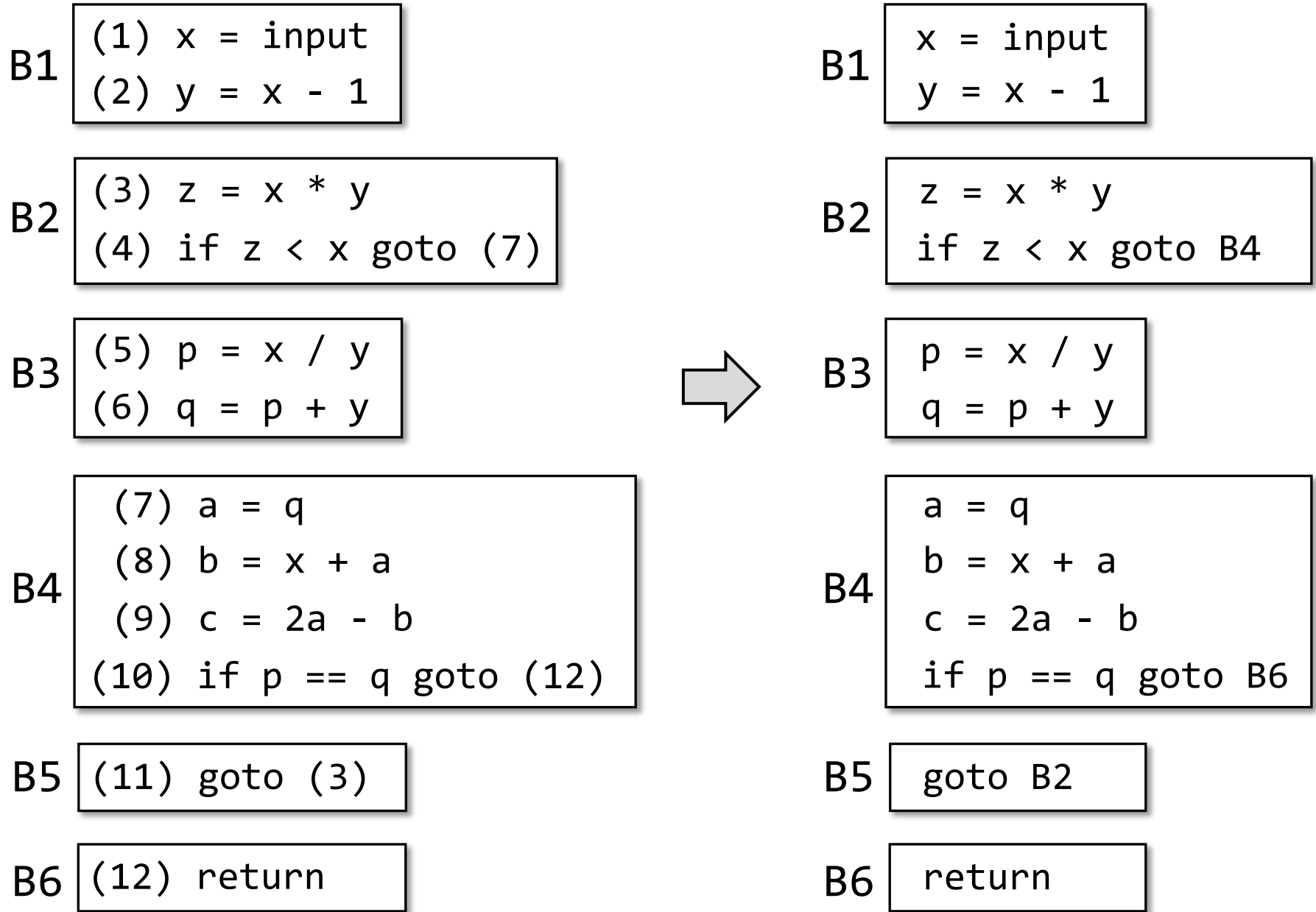
- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
  - There is a conditional or unconditional jump from the end of A to the beginning of B
  - B immediately follows A in the original order of instructions and A does not end in an unconditional jump



# Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
  - There is a conditional or unconditional jump from the end of A to the beginning of B
  - B immediately follows A in the original order of instructions and A does not end in an unconditional jump
- It is normal to replace the jumps to instruction labels by jumps to basic blocks





## Add edges in CFG

B1

```
x = input  
y = x - 1
```

B2

```
z = x * y  
if z < x goto B4
```

B3

```
p = x / y  
q = p + y
```

B4

```
a = q  
b = x + a  
c = 2a - b  
if p == q goto B6
```

B5

```
goto B2
```

B6

```
return
```

## Add edges in CFG

There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

B1

```
x = input  
y = x - 1
```

B2

```
z = x * y  
if z < x goto B4
```

B3

```
p = x / y  
q = p + y
```

B4

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a = q  
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B5

```
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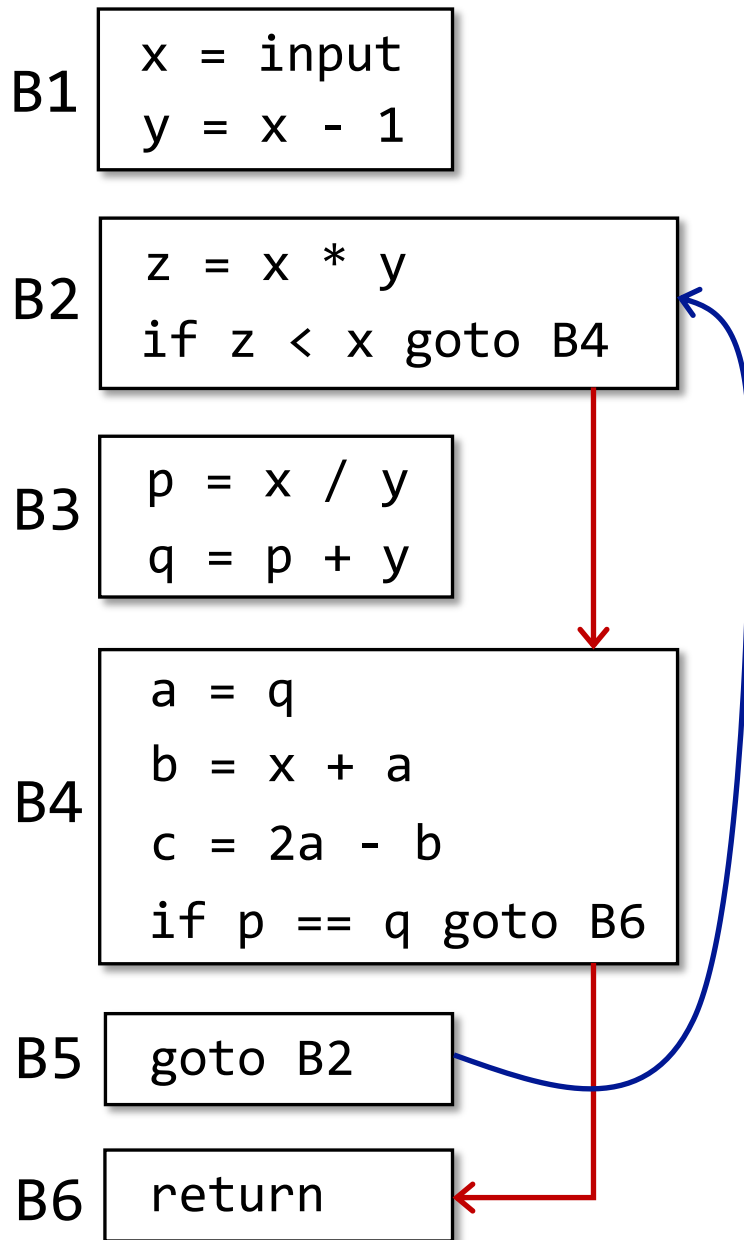
B6

```
return
```

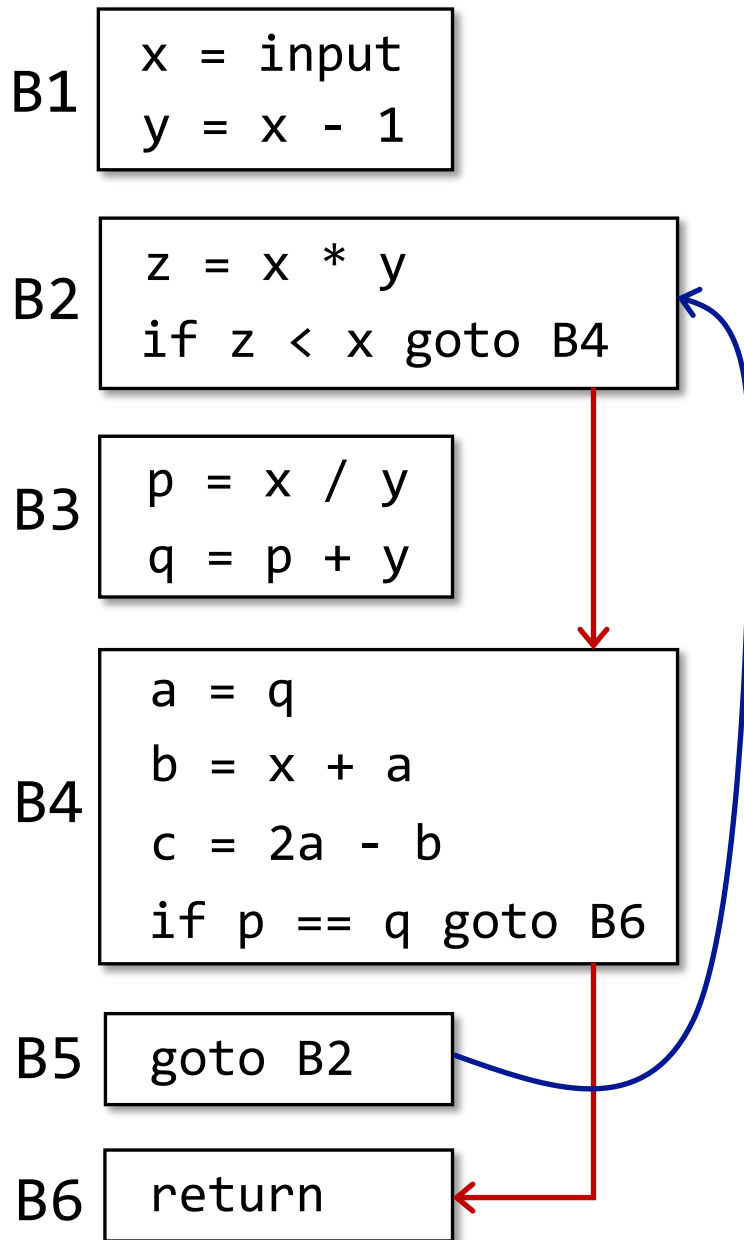


## Add edges in CFG

There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**



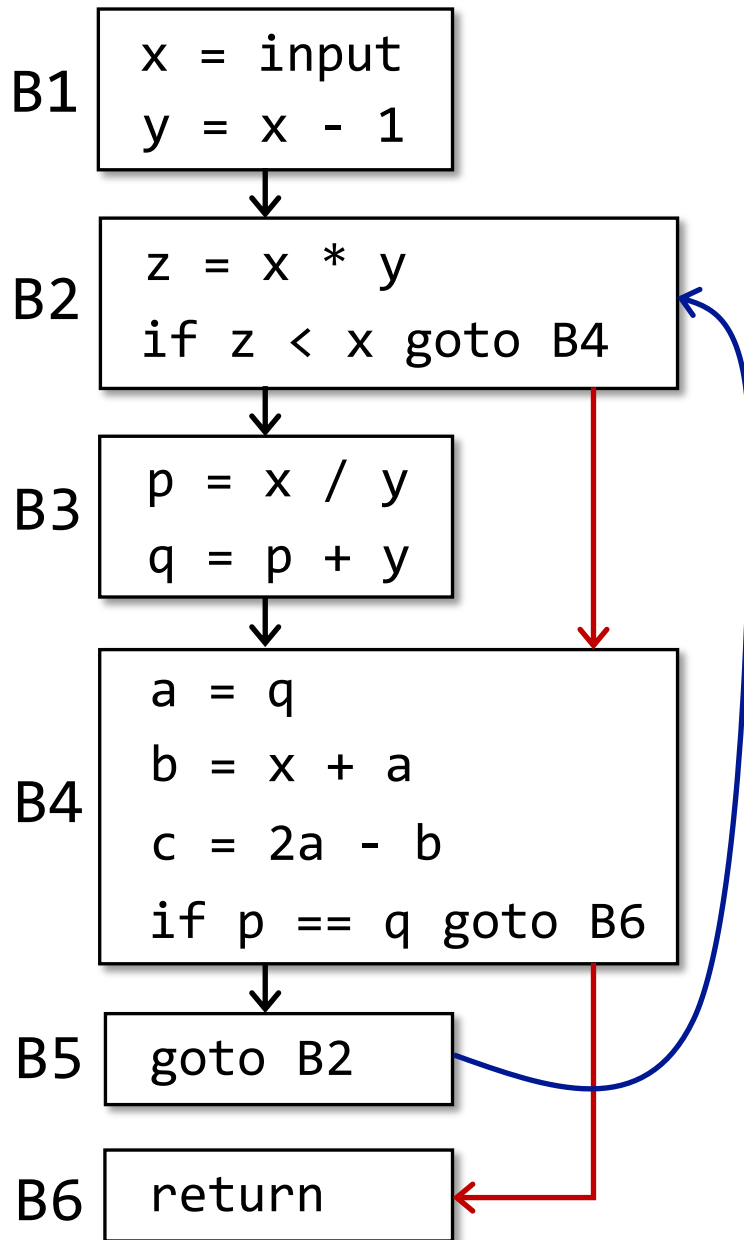
## Add edges in CFG



There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

**B** immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

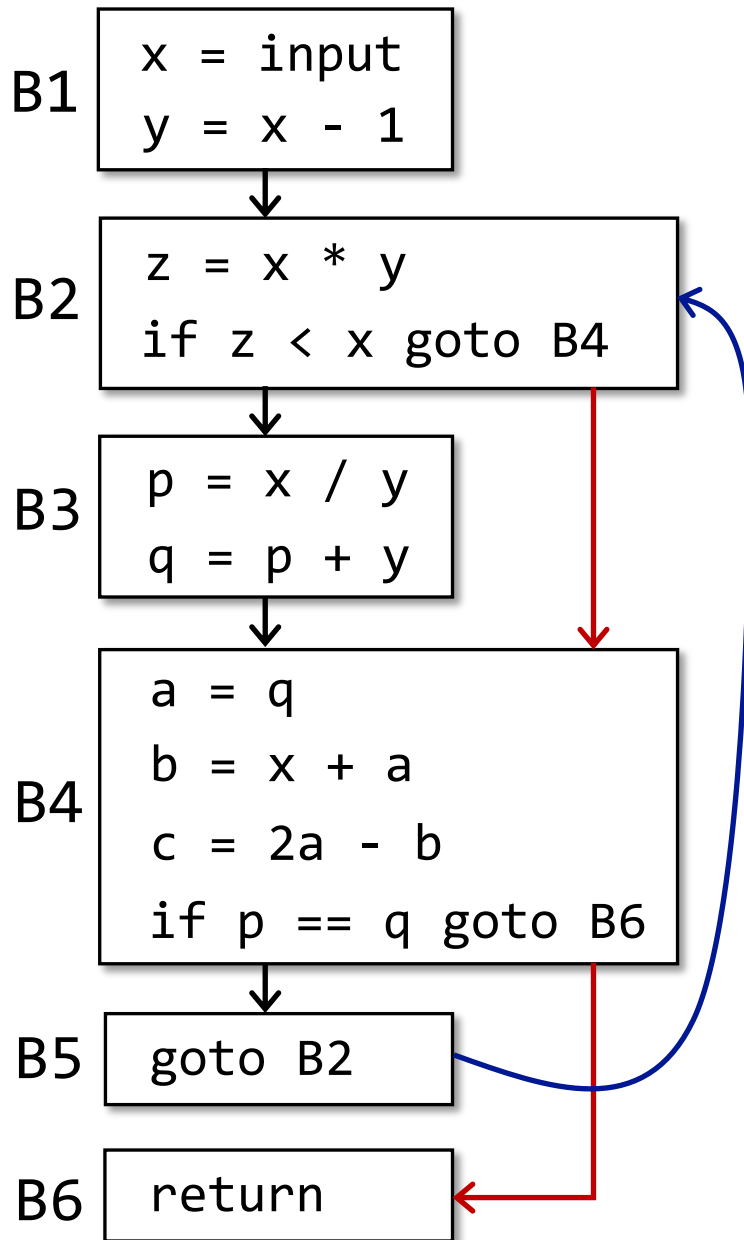
## Add edges in CFG



There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

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## Add edges in CFG

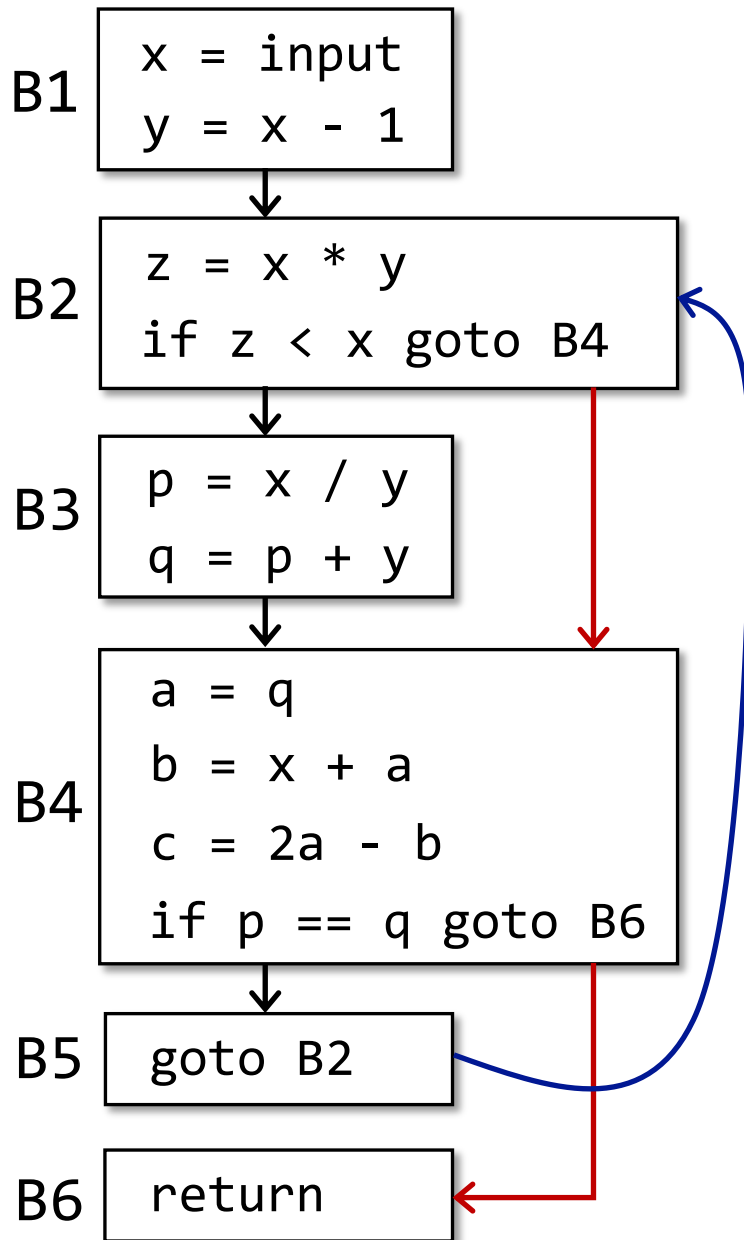


There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

**B** immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

We say that **A** is a **predecessor** of **B**, and **B** is a **successor** of **A**

## Add edges in CFG



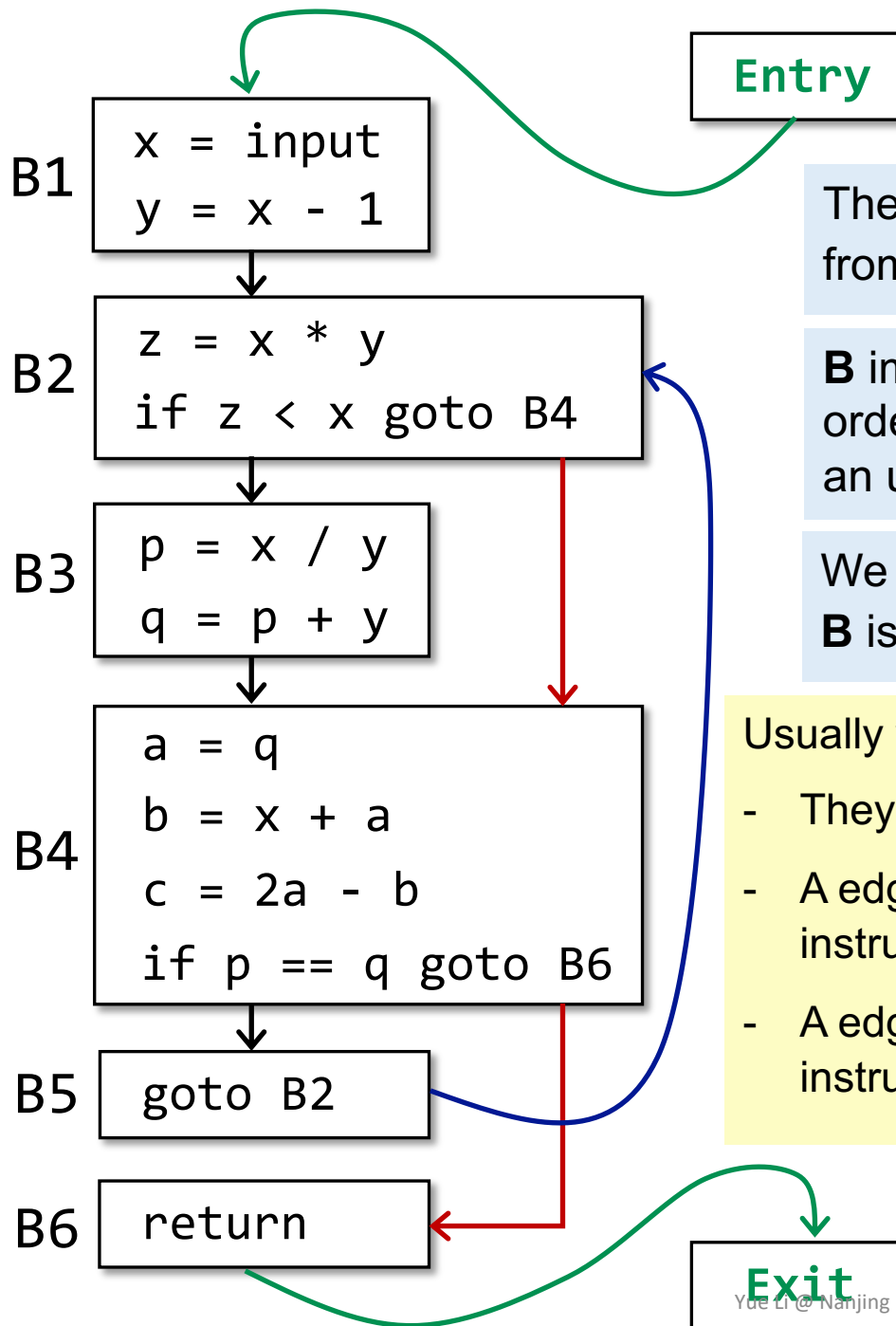
There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

**B** immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

We say that **A** is a **predecessor** of **B**, and **B** is a **successor** of **A**

Usually we add two nodes, **Entry** and **Exit**.

- They do not correspond to executable IR
- A edge from Entry to the BB containing the first instruction of IR
- A edge to Exit from any BB containing an instruction that could be the last instruction of IR



## Add edges in CFG

There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

**B** immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

We say that **A** is a **predecessor** of **B**, and **B** is a **successor** of **A**

Usually we add two nodes, **Entry** and **Exit**.

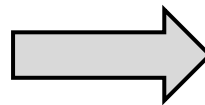
- They do not correspond to executable IR
- A edge from Entry to the BB containing the first instruction of IR
- A edge to Exit from any BB containing an instruction that could be the last instruction of IR

```

(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
(5) p = x / y
(6) q = p + v
(7) a = q
(8) b = x + a
(9) c = 2a - b
(10) if p == q goto (12)
(11) goto (3)
(12) return

```

**Input: 3AC of  $P$**



B1

```

x = input
y = x - 1

```

B2

```

z = x * y
if z < x goto B4

```

B3

```

p = x / y
q = p + v

```

B4

```

a = q
b = x + a
c = 2a - b
if p == q goto B6

```

B5

```

goto B2

```

B6

```

return

```

**Output: CFG of  $P$**

Entry

Exit

The background of the slide features a group of anime characters from the series Haikyuu!!, specifically the members of the Karasuno volleyball team. They are shown in a dynamic, cheering pose, wearing their team uniforms. The image is faded to serve as a background for the text.

# Summary

1. Compilers and Static Analyzers
2. AST vs. IR
3. IR: Three-Address Code (3AC)
4. 3AC in Real Static Analyzer: Soot
5. Static Single Assignment (SSA)
6. Basic Blocks (BB)
7. Control Flow Graphs (CFG)



# The X You Need To Understand in This Lecture

- The relation between compilers and static analyzers
- Understand 3AC and its common forms (in IR jimple)
- How to build basic blocks on top of IR
- How to construct control flow graphs on top of BBs?

注意注意!  
划重点了!

