

Static Program Analysis

Yue Li and Tian Tan



2020 Spring

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Data Flow Analysis — Foundations

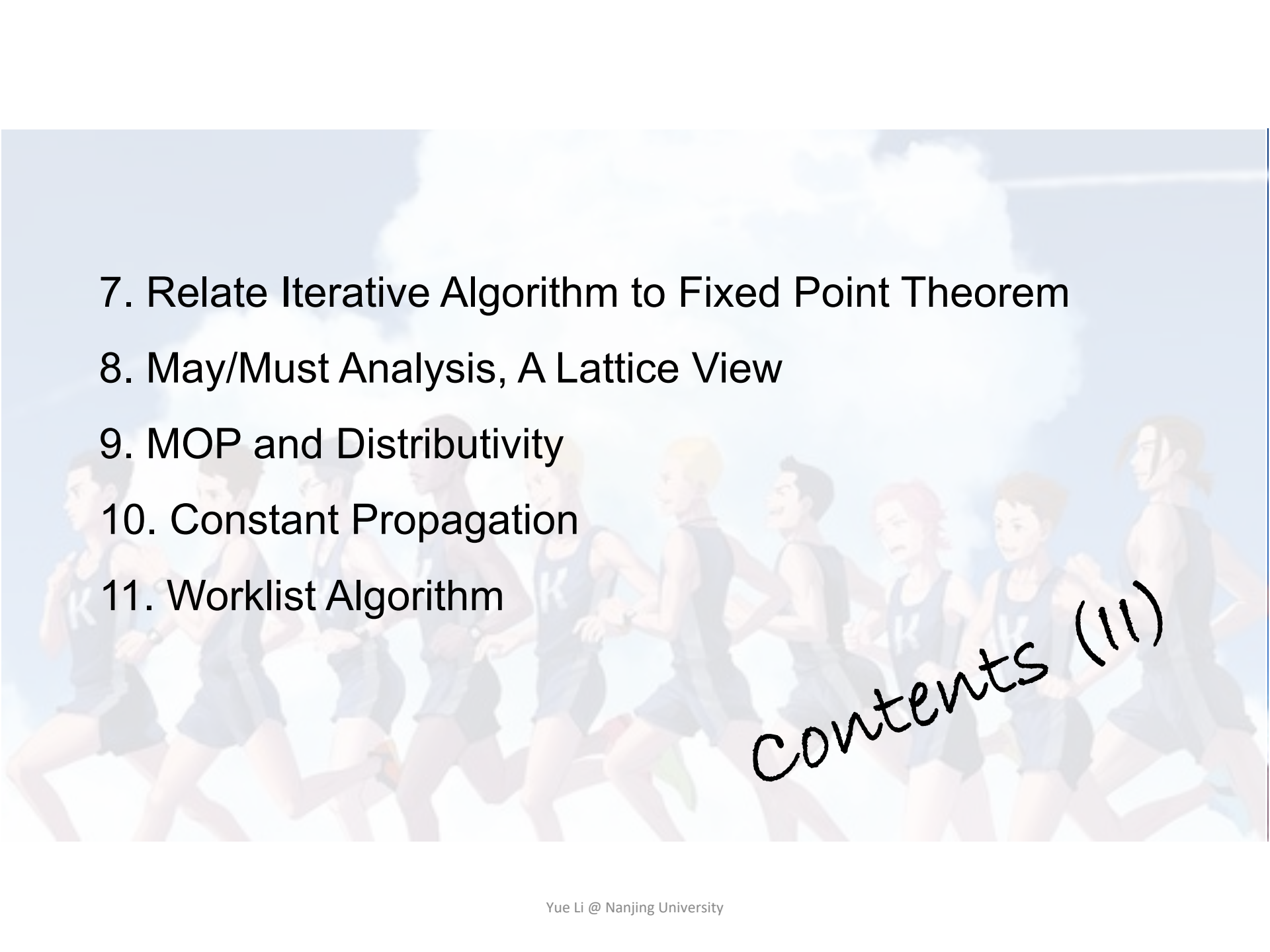
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Yue Li

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Let us first recall the iterative algorithm
for data flow analysis

*This general iterative algorithm produces
a solution to data flow analysis*

Iterative Algorithm for May & Forward Analysis

INPUT: CFG ($kill_B$ and gen_B computed for each basic block B)

OUTPUT: $IN[B]$ and $OUT[B]$ for each basic block B

METHOD:

```
OUT[entry] =  $\emptyset$ ;  
for (each basic block  $B \setminus entry$ )  
    OUT[B] =  $\emptyset$ ;  
while (changes to any OUT occur)  
    for (each basic block  $B \setminus entry$ ) {  
         $IN[B] = \bigcup_{P \text{ a predecessor of } B} OUT[P]$ ;  
         $OUT[B] = gen_B \cup (IN[B] - kill_B)$ ;  
    }
```

View Iterative Algorithm in Another Way

- Given a CFG (program) with k nodes, the iterative algorithm updates $OUT[n]$ for every node n in each iteration.

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- Assume the domain of the values in data flow analysis is V , then we can define a k -tuple

$$(OUT[n_1], OUT[n_2], \dots, OUT[n_k])$$

as an element of set $(V_1 \times V_2 \dots \times V_k)$ denoted as V^k , to hold the values of the analysis after each iteration.

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- Each iteration can be considered as taking an action to map an element of V^k to a new element of V^k , through applying the transfer functions and control-flow handing, abstracted as a function $F: V^k \rightarrow V^k$
- Then the algorithm outputs a series of k -tuples iteratively until a k -tuple is the same as the last one in two consecutive iterations


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To answer these questions, let us learn some math first

Partial Order

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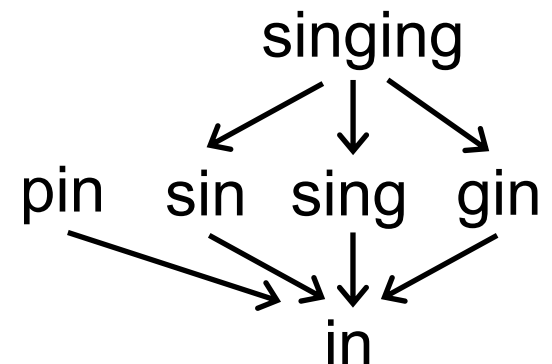
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Example 3. Is (S, \sqsubseteq) a poset where S is a set of English words and \sqsubseteq represents the *substring* relation, i.e., $s_1 \sqsubseteq s_2$ means s_1 is a substring of s_2 ?



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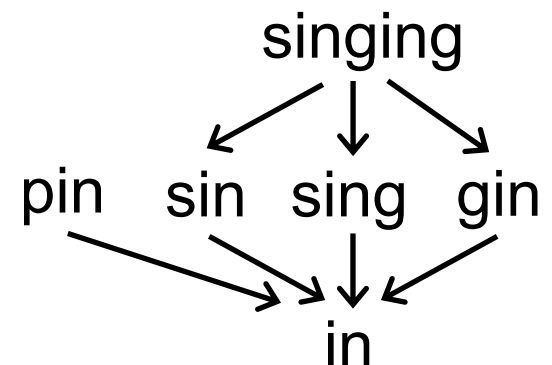
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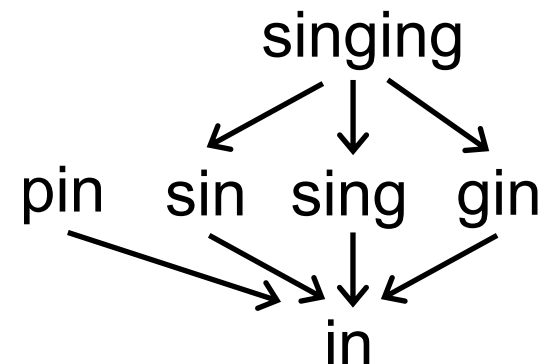
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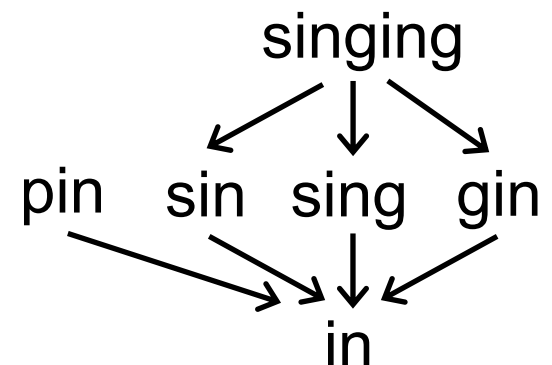
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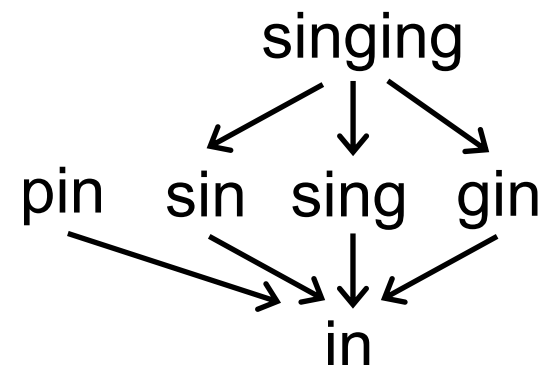
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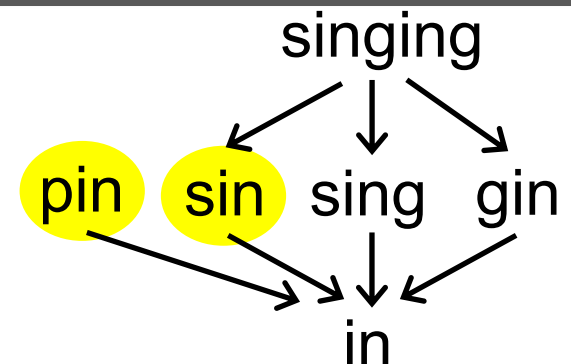
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partial means for a pair of set elements in P , they could be **incomparable**; in other words, not necessary that every pair of set elements must satisfy the ordering \sqsubseteq

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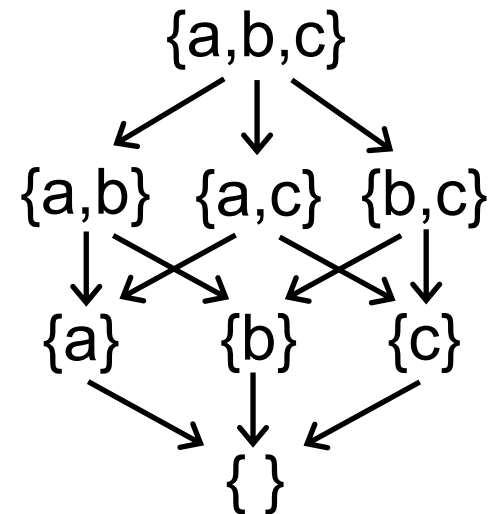
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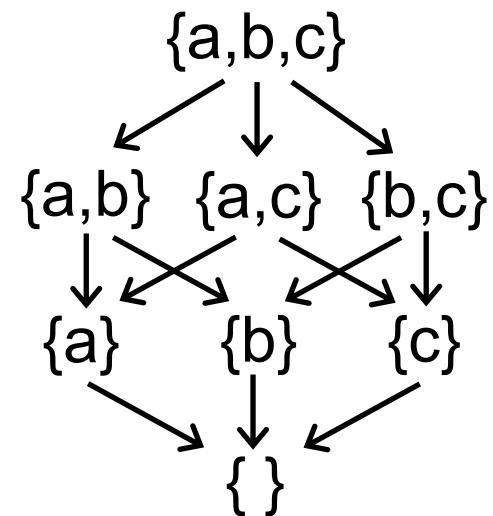
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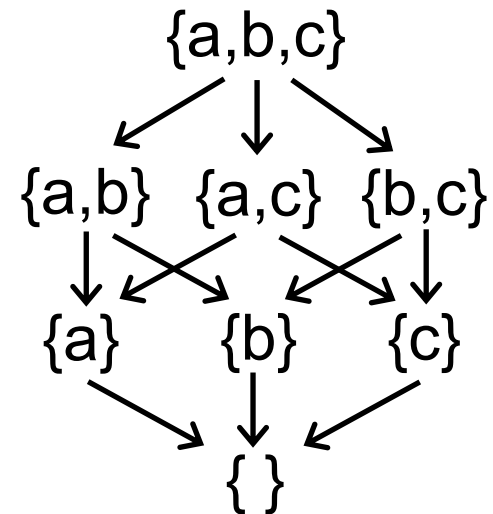
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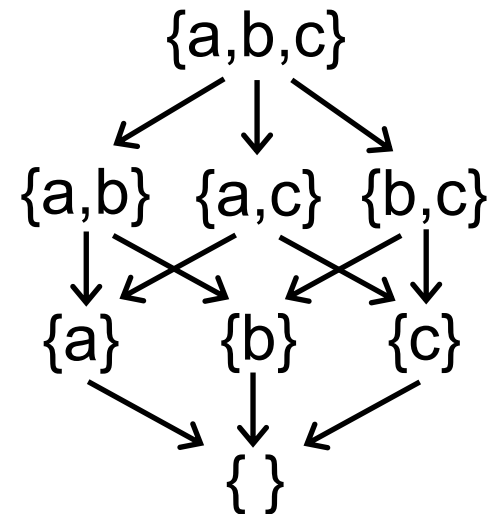
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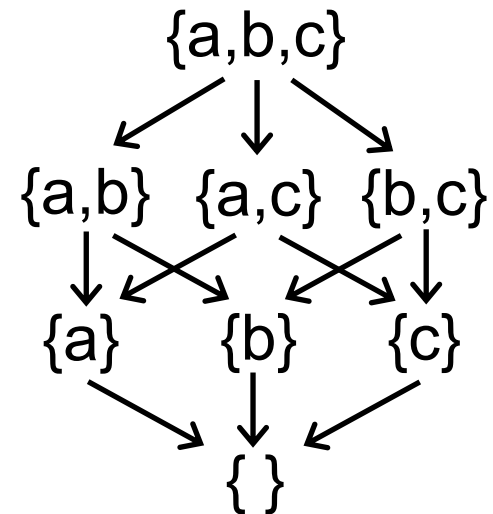
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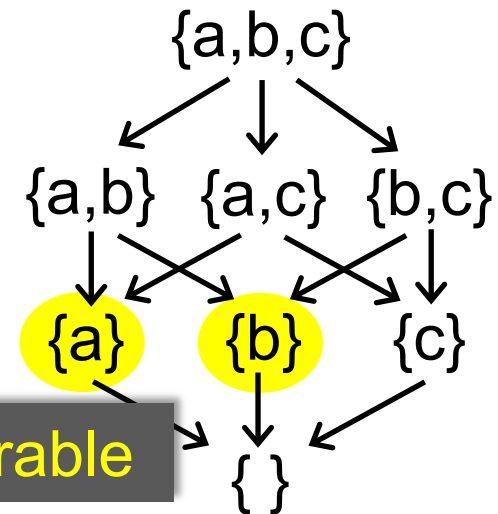
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partial → incomparable

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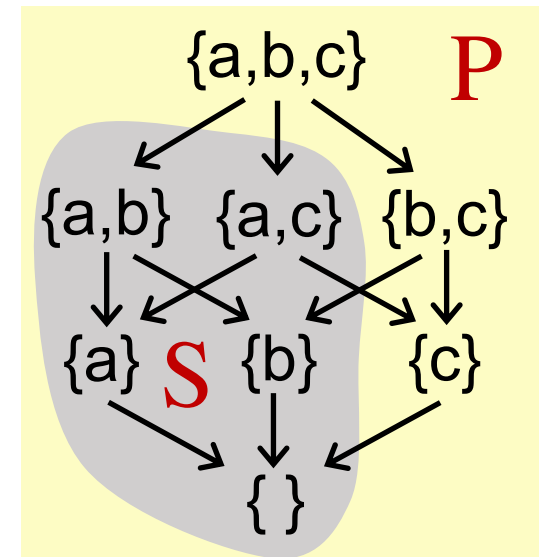
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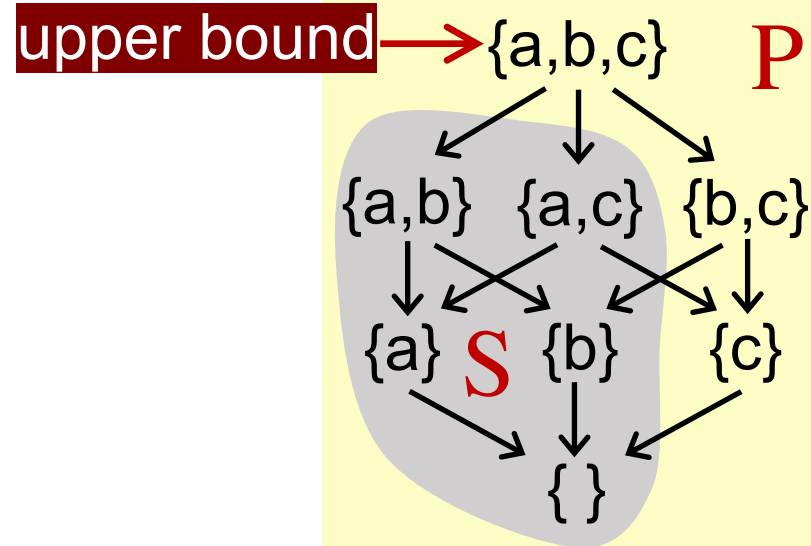
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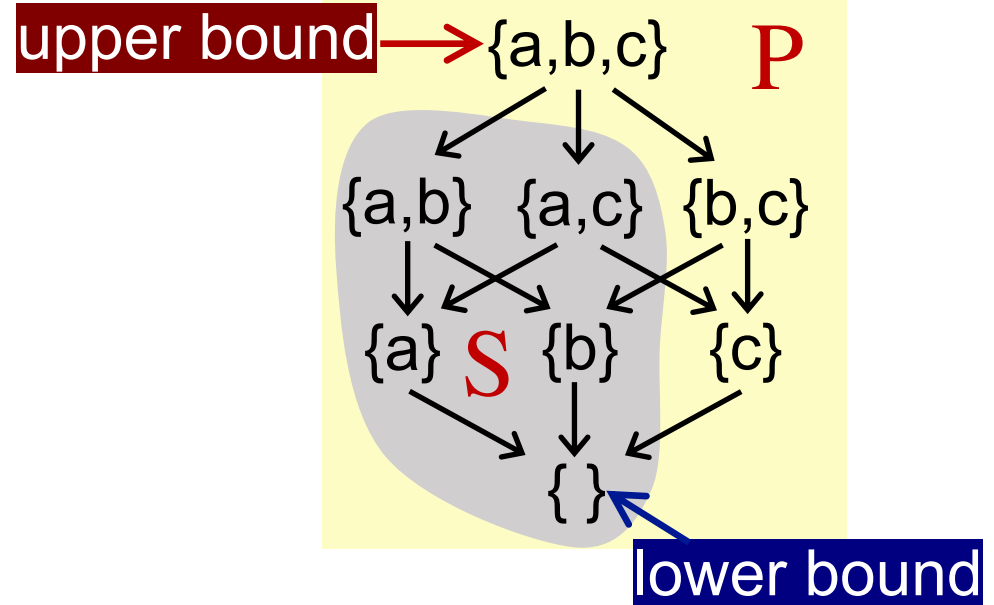
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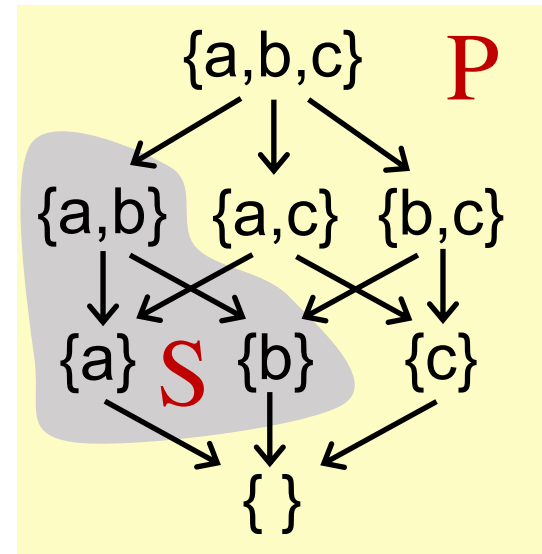
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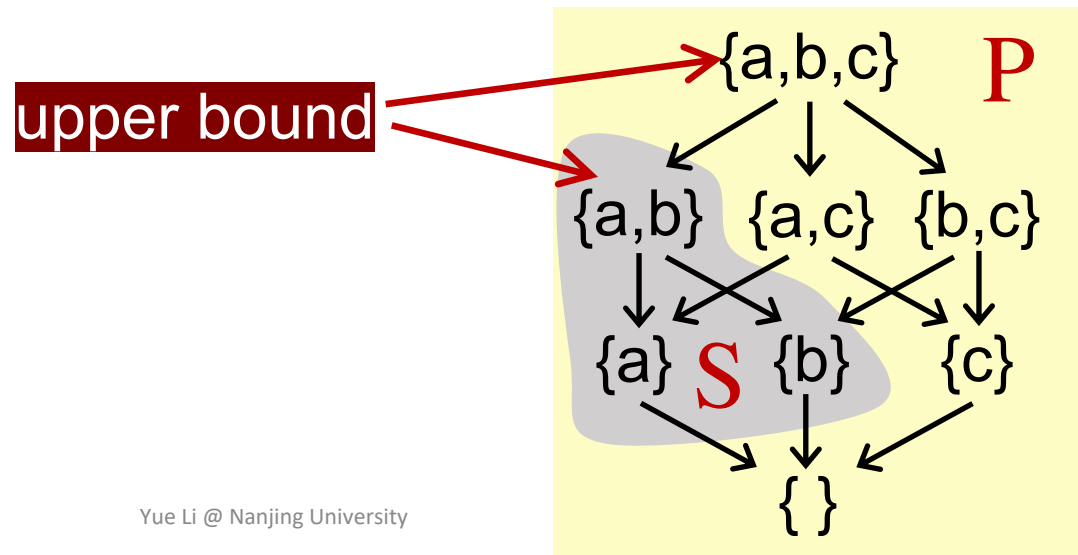
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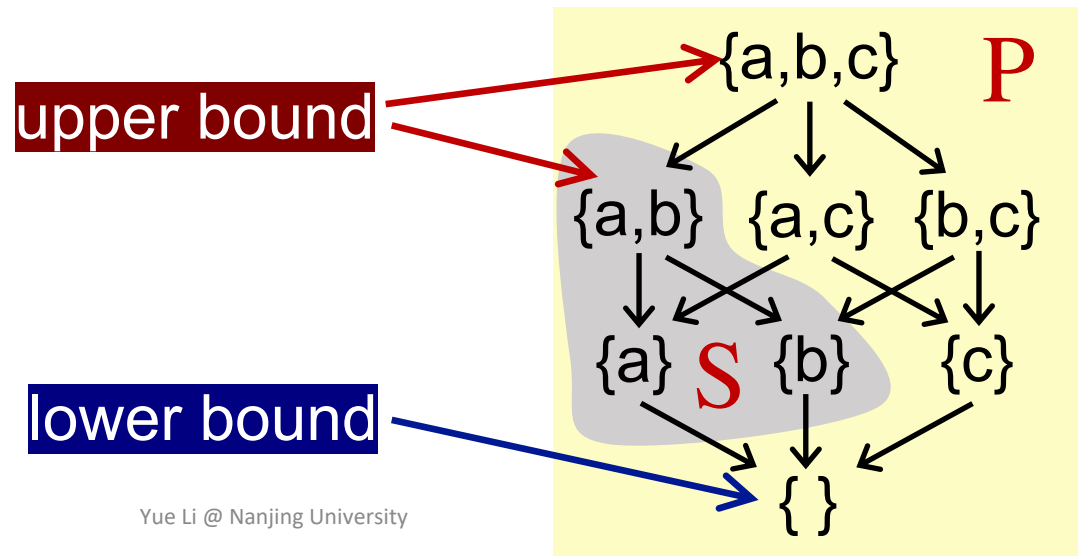
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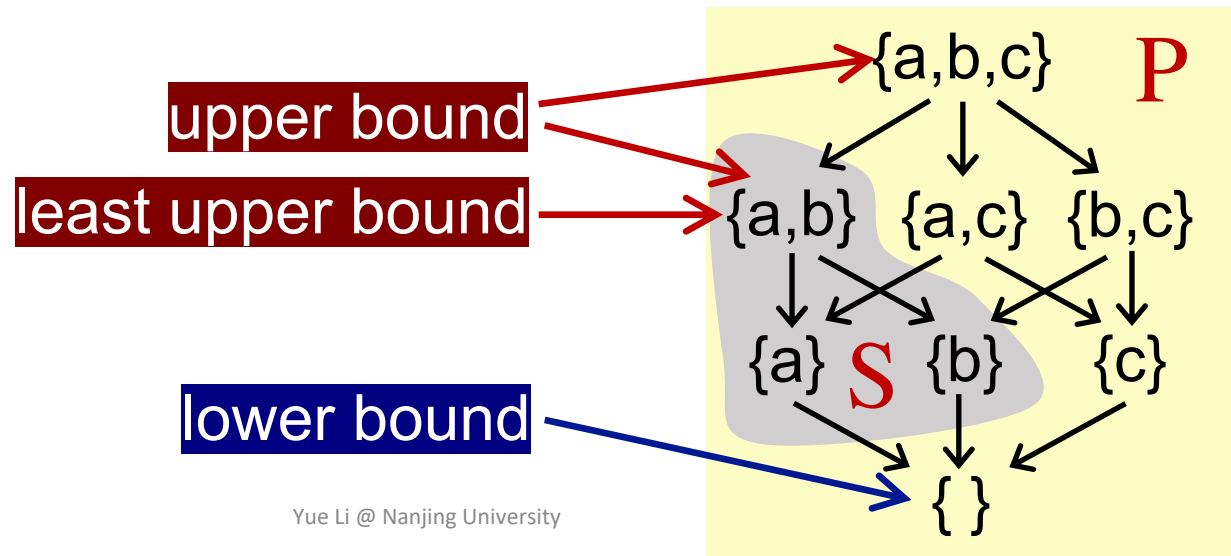
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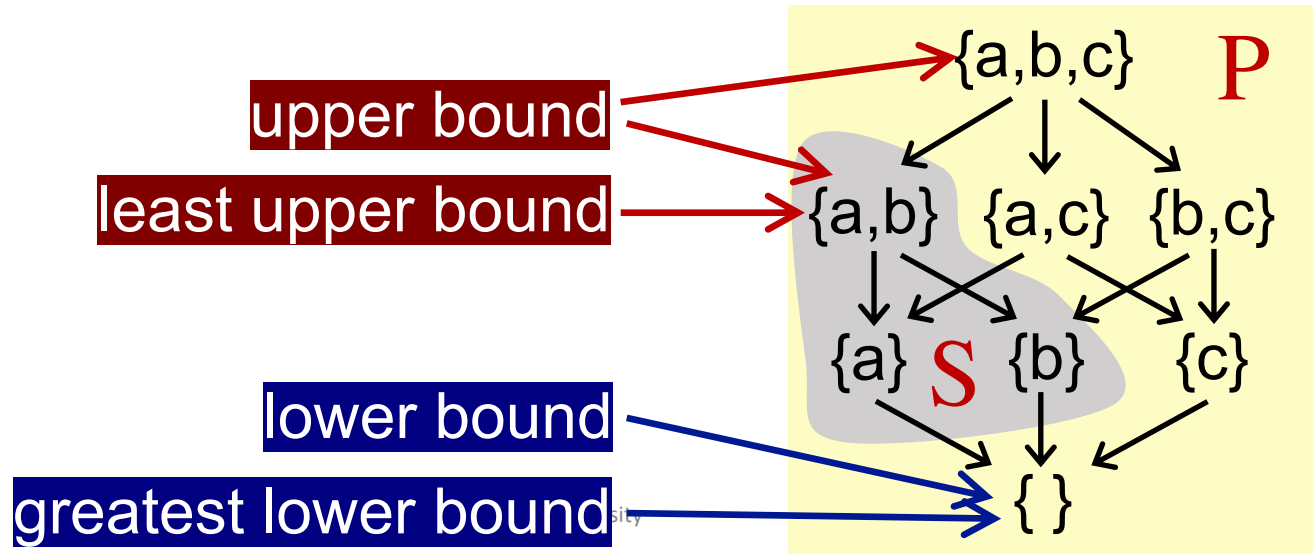
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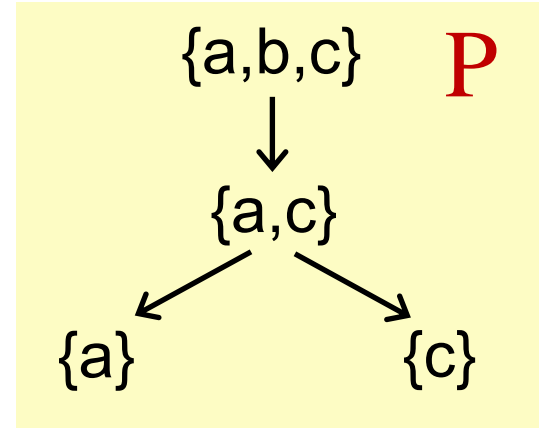
Usually, if S contains only two elements a and b ($S = \{a, b\}$), then $\sqcup S$ can be written $a \sqcup b$ (the join of a and b)
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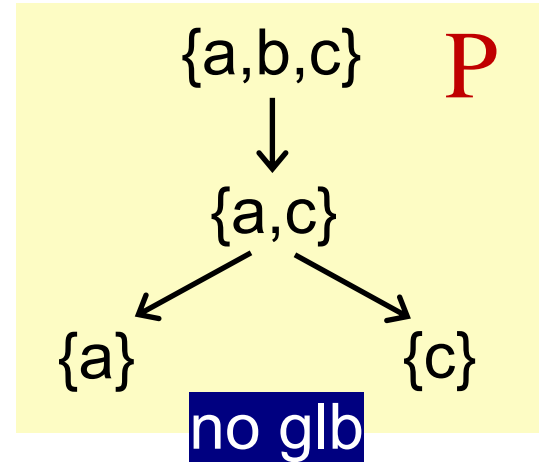
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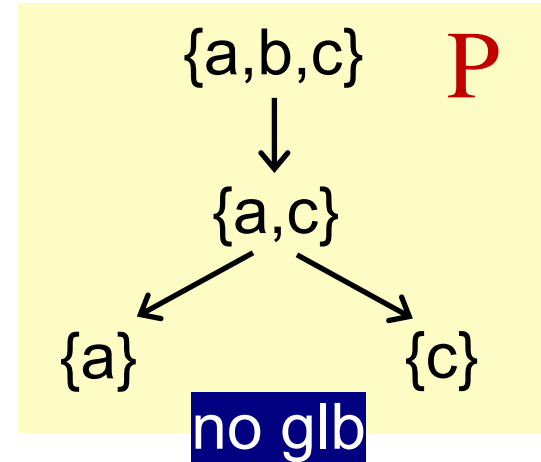
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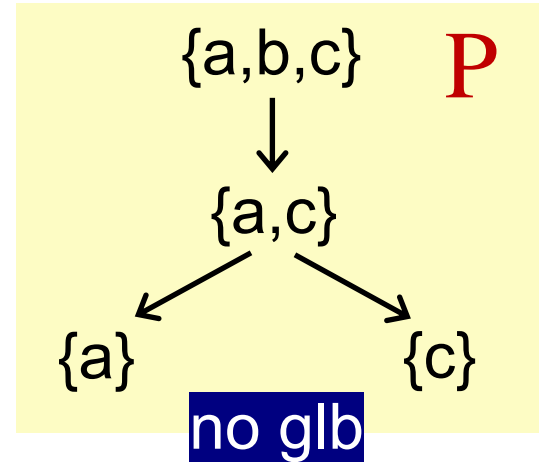
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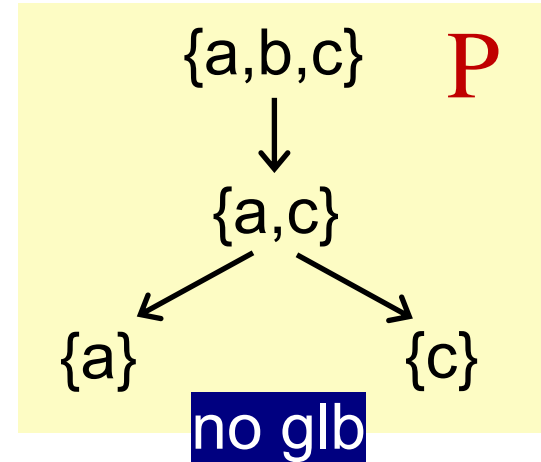


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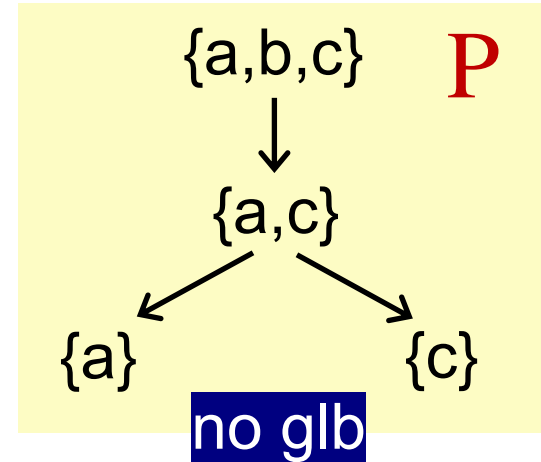
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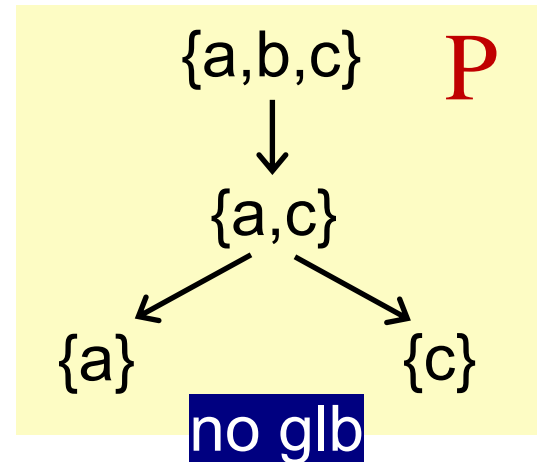
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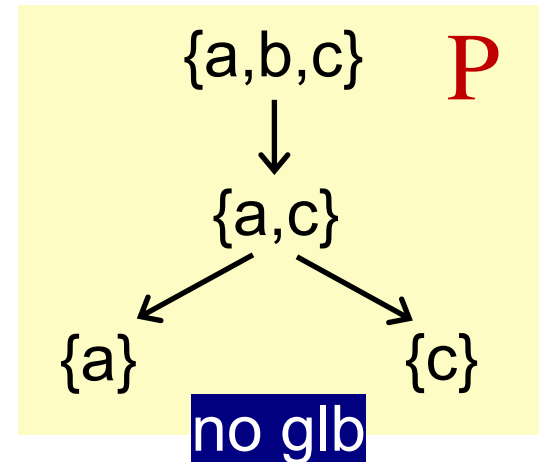
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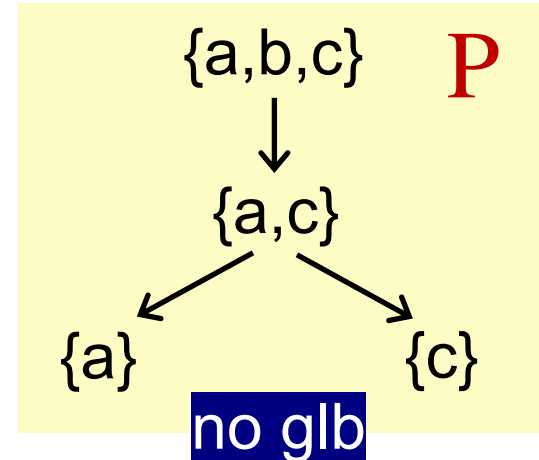
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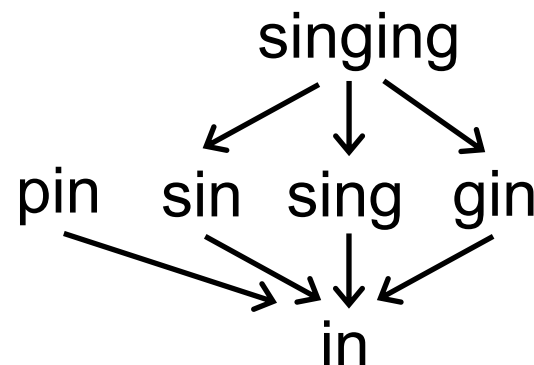
✓ The \sqcup operator means “max”
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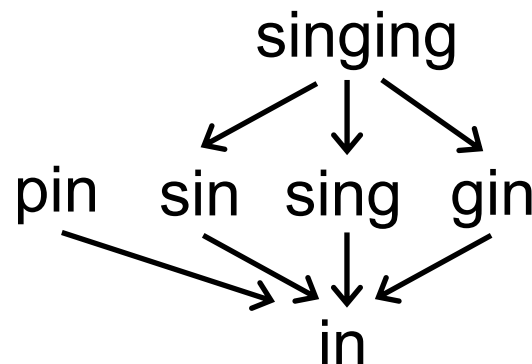
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✗ $\text{pin} \sqcup \text{sin} = ?$

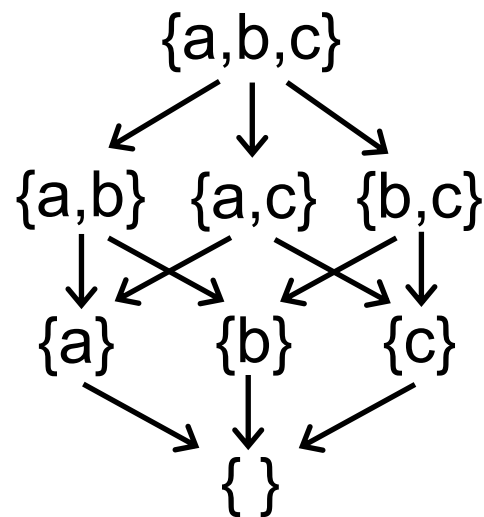


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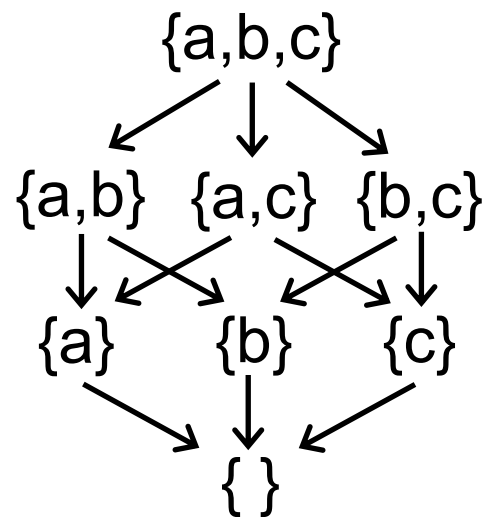
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✓ The \sqcup operator means \cup
and \sqcap operator means \cap



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Semilattice

Given a poset (P, \sqsubseteq) , $\forall a, b \in P$,
if only $a \sqcup b$ exists, then (P, \sqsubseteq) is called a join semilattice
if only $a \sqcap b$ exists, then (P, \sqsubseteq) is called a meet semilattice

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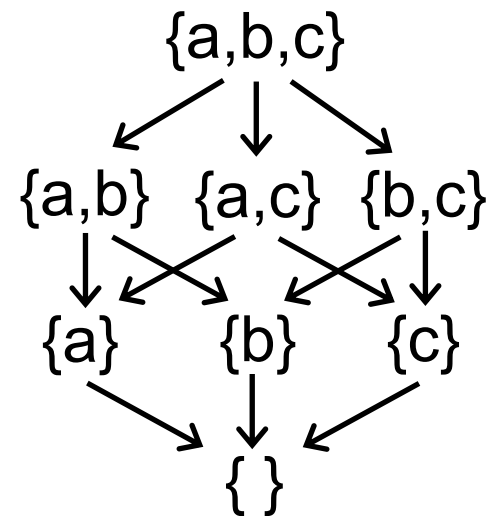
✗ For a subset S^+ including all positive integers, it has no $\sqcup S^+$ ($+\infty$)

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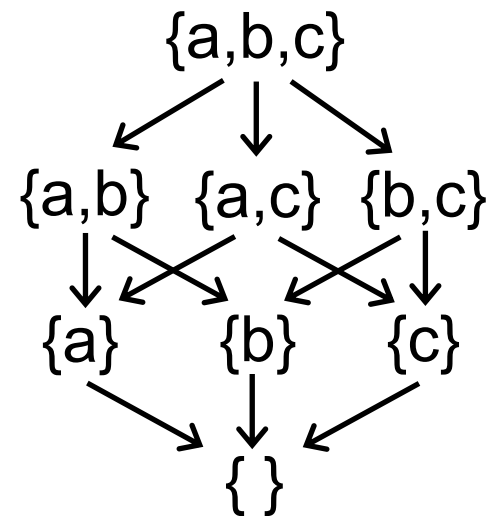
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✓ Note: the definition of bounds implies that the bounds are not necessarily in the subsets (but they must be in the lattice)

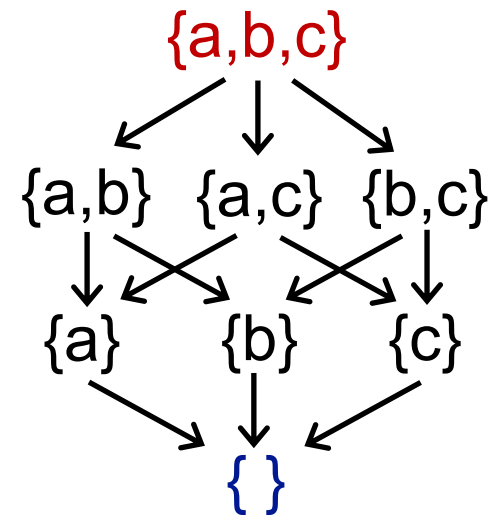


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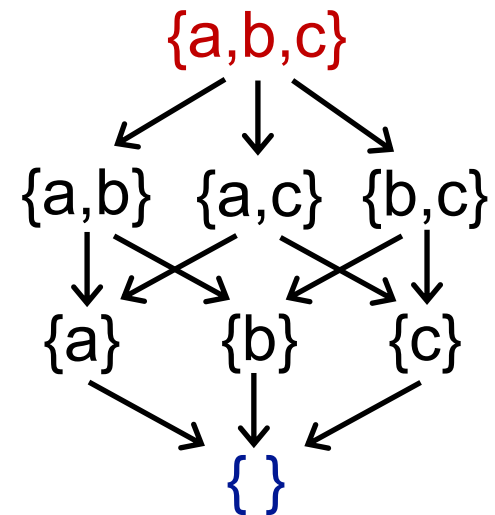
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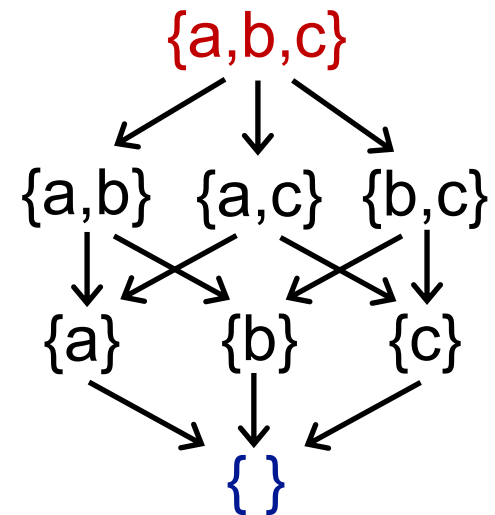
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What about the opposite one?

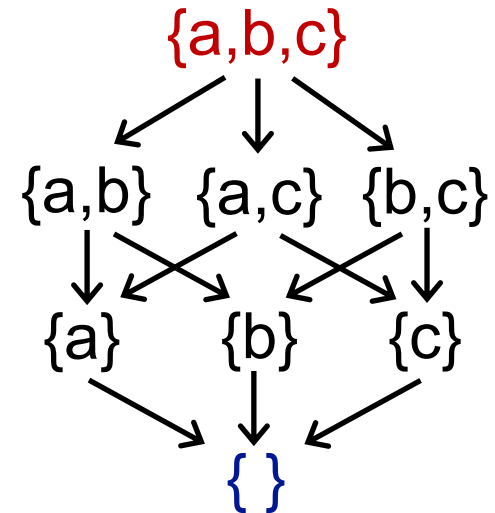
Complete Lattice Mostly focused in data flow analysis

Given a lattice (P, \sqsubseteq) , for arbitrary subset S of P , if $\sqcup S$ and $\sqcap S$ exist, then (P, \sqsubseteq) is called a complete lattice

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Product Lattice

Given lattices $L_1 = (P_1, \Xi_1)$, $L_2 = (P_2, \Xi_2)$, ..., $L_n = (P_n, \Xi_n)$, if for all i , (P_i, Ξ_i) has \sqcup_i (least upper bound) and \sqcap_i (greatest lower bound), then we can have a **product lattice** $L^n = (P, \Xi)$ that is defined by:

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- A product lattice is a lattice
- If a product lattice L is a product of complete lattices, then L is also complete

Data Flow Analysis Framework via Lattice

A data flow analysis framework (D, L, F) consists of:

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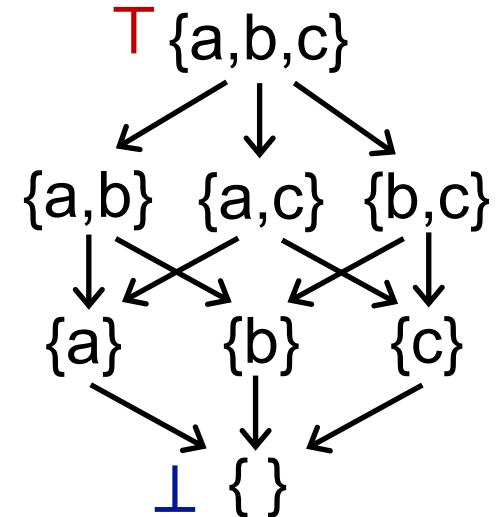
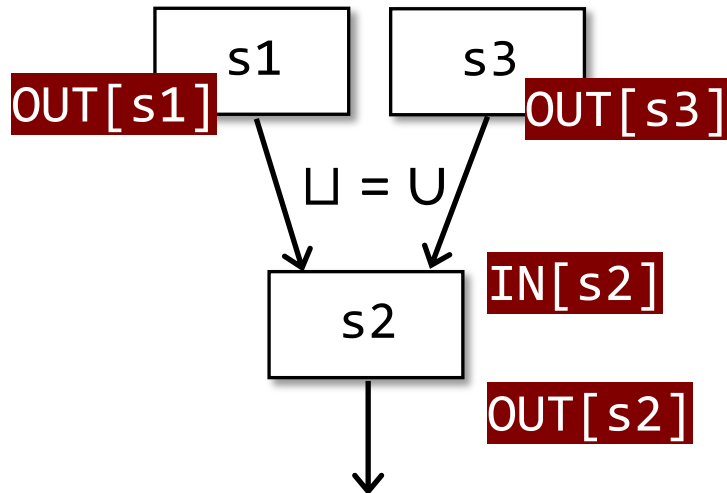
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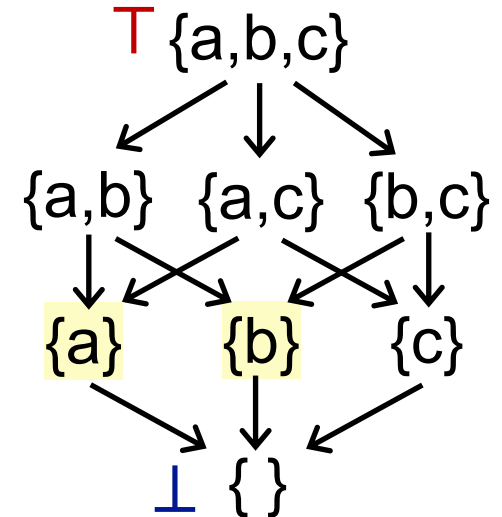
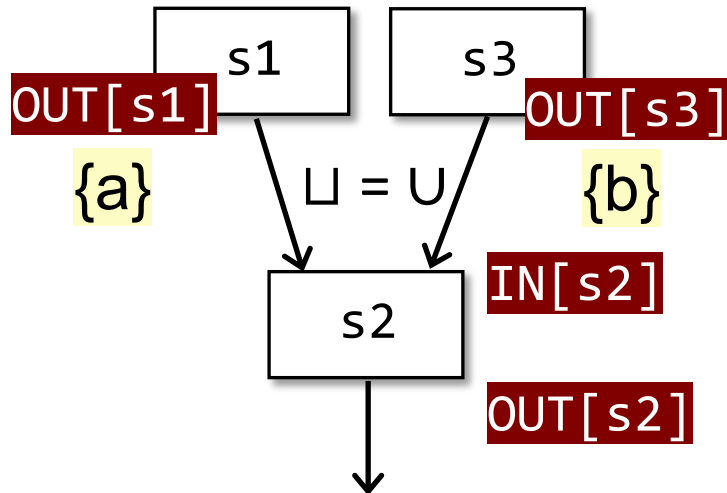
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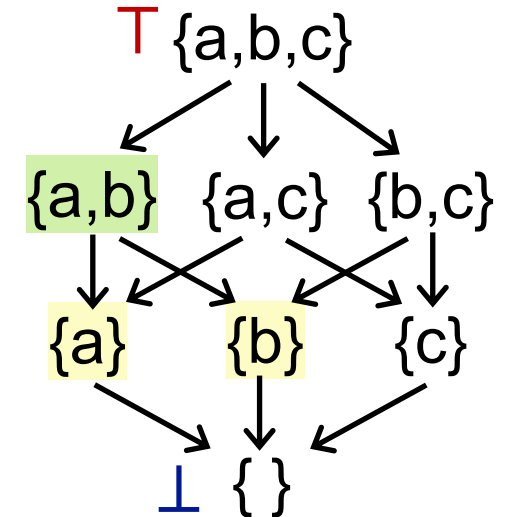
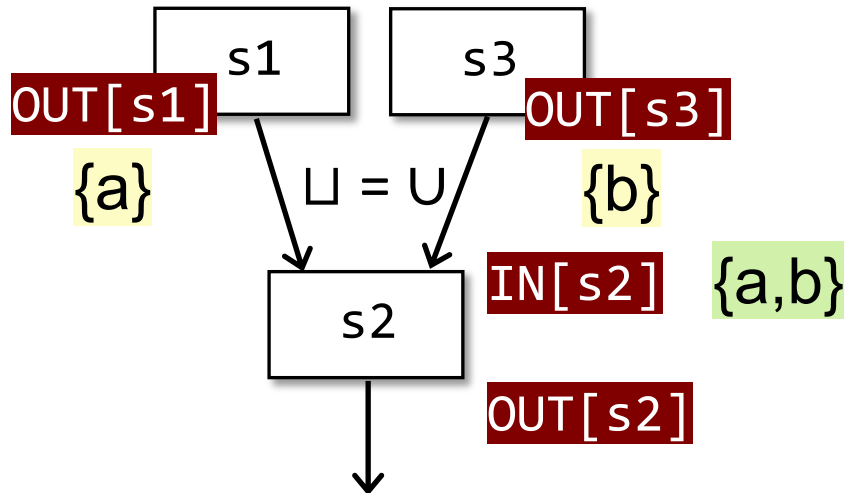
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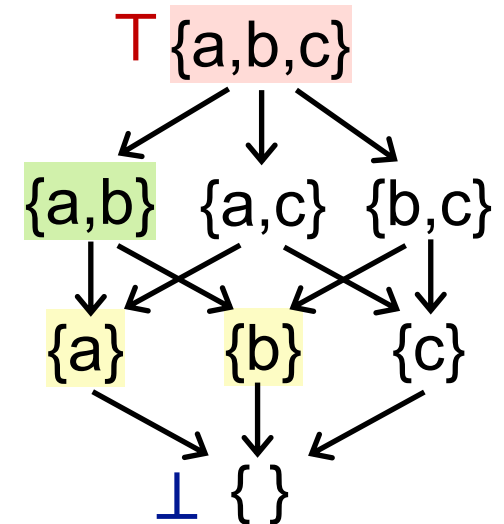
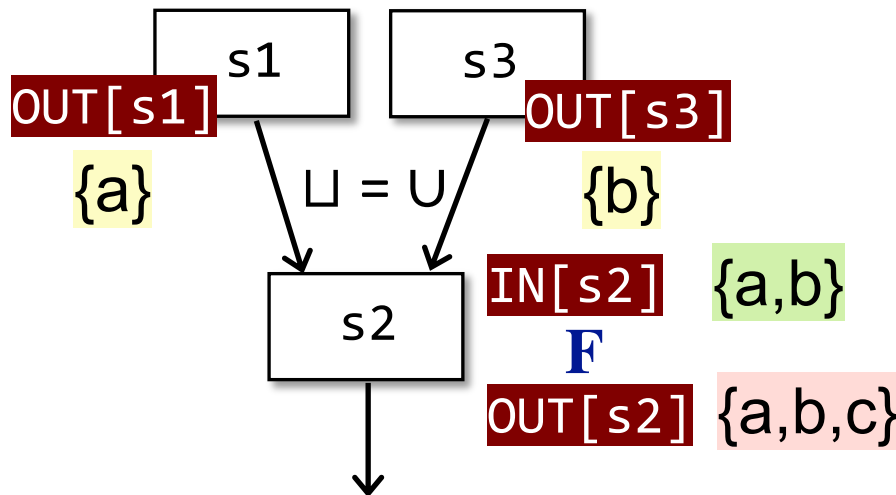
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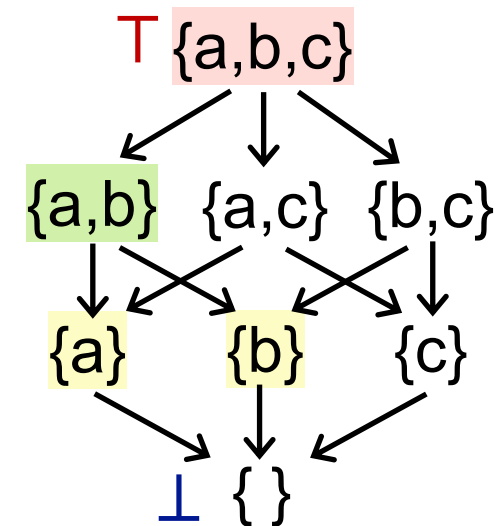
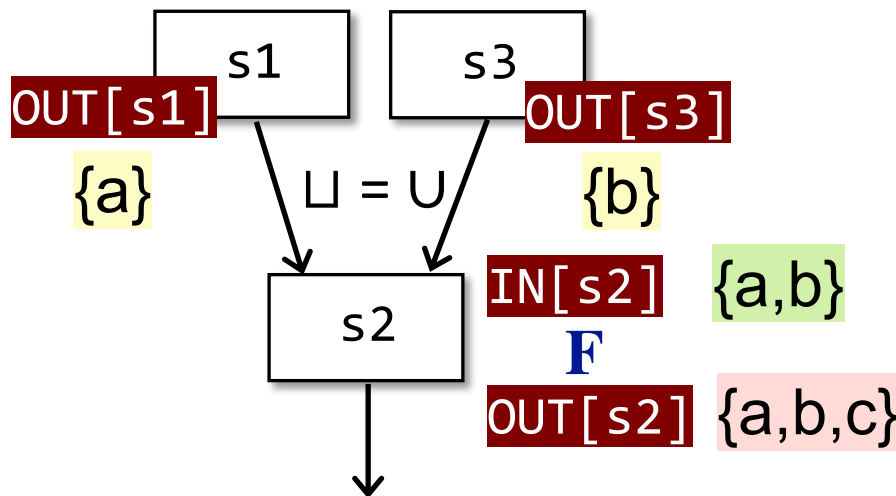
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Data flow analysis can be seen as iteratively applying transfer functions and meet/join operations on the values of a lattice


Review The Questions We Have Seen Before

The iterative algorithm (or the IN/OUT equation system) produces a solution to a data flow analysis

- Is the algorithm guaranteed to terminate or reach the fixed point, or does it always have a solution?
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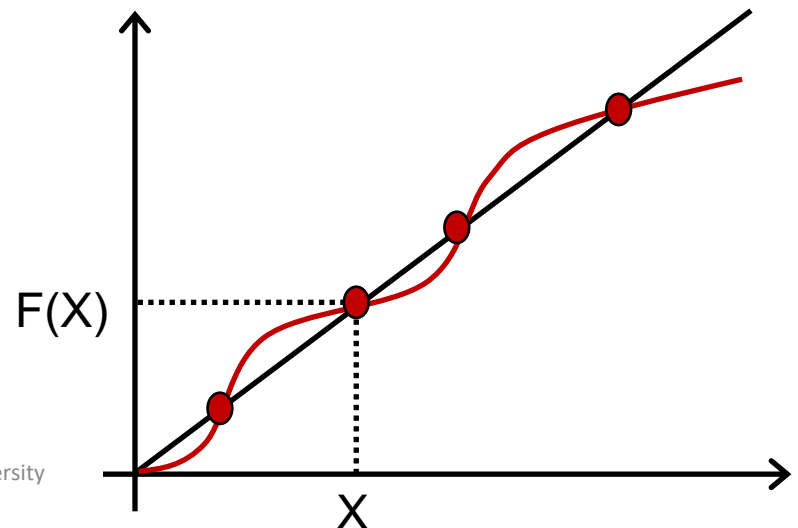
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Let us prove

(1) Existence of fixed point

(2) The fixed point is the least

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Proof:

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As L is finite, for some k , we have

$$f^{\text{Fix}} = f^k(\perp) = f^{k+1}(\perp)$$

Thus, the fixed point exists.

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Thus by induction, we have

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$$f(\perp) \sqsubseteq f(x)$$

Assume $f^i(\perp) \sqsubseteq f^i(x)$, as f is monotonic, we have

$$f^{i+1}(\perp) \sqsubseteq f^{i+1}(x)$$

Thus by induction, we have

$$f^i(\perp) \sqsubseteq f^i(x)$$

Thus $f^i(\perp) \sqsubseteq f^i(x) = x$, then we have

$$f^{\text{Fix}} = f^k(\perp) \sqsubseteq x$$

Thus the fixed point is the least

Fixed-Point Theorem (Least Fixed Point)

Proof:

Assume we have another fixed point x , i.e., $x = f(x)$

By the definition of \perp , we have $\perp \sqsubseteq x$

Induction begins:

As f is monotonic, we have

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The proof for greatest fixed point is similar

Fixed-Point Theorem

Given a complete lattice (L, \sqsubseteq) , if

(1) $f: L \rightarrow L$ is monotonic and (2) L is finite, then the **least fixed point** of f can be found by iterating



$f(\perp), f(f(\perp)), \dots, f^k(\perp)$ until a fixed point is reached

the **greatest fixed point** of f can be found by iterating

$f(\top), f(f(\top)), \dots, f^k(\top)$ until a fixed point is reached

Review The Questions We Have Seen Before

The iterative algorithm (or the IN/OUT equation system) produces a solution to a data flow analysis

-  Is the **algorithm** guaranteed to terminate or **reach the fixed point**, or does it always have a solution?
-  If so, is there only one solution or only one **fixed point**? If more than one, **is our solution the best one** (most precise)?
- When will the algorithm reach the fixed point, or when can we get the solution?

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- When will the algorithm reach the fixed point? How many iterations will we get the solution?

Review The Questions We Have Seen Before

The iterative algorithm (or the IN/OUT equation system) produces a solution to a data flow analysis

- ? Is the **algorithm** guaranteed to terminate or **reach the fixed point**, or does it always have a solution?
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- When will the algorithm reach the fixed point? How many iterations will we get the solution?

greatest or least fixed point

Now what we have just seen is the property (fixed point theorem) for the **function on a lattice**. We cannot say our iterative algorithm also has that property unless we can *relate the algorithm to the fixed point theorem*, if possible

Relate Iterative Algorithm to Fixed-Point Theorem

$\rightarrow (\perp, \perp, \dots, \perp)$
iter 1 $\rightarrow (v_1^1, v_2^1, \dots, v_k^1)$
iter 2 $\rightarrow (v_1^2, v_2^2, \dots, v_k^2)$
 \vdots
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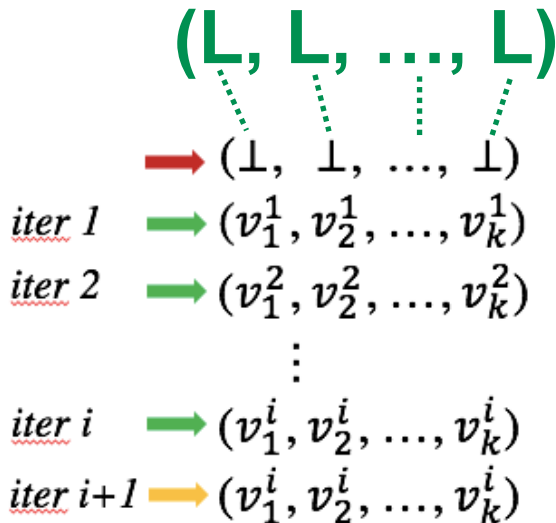
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Relate Iterative Algorithm to Fixed-Point Theorem



If a product lattice L^k is a product of complete (and finite) lattices, i.e., (L, L, \dots, L) , then L^k is also complete (and finite)

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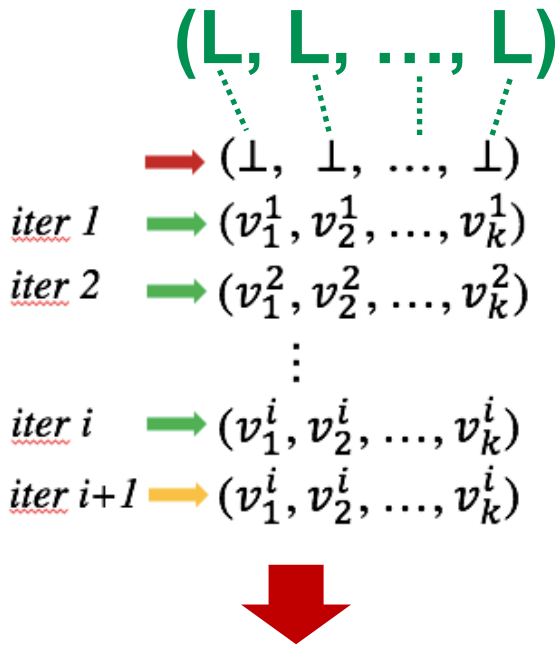
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Relate Iterative Algorithm to Fixed-Point Theorem



If a product lattice L^k is a product of complete (and finite) lattices, i.e., (L, L, \dots, L) , then L^k is also complete (and finite)

In each iteration, it is equivalent to think that we apply **function F** which consists of

- (1) transfer function $f_i: L \rightarrow L$ for every node
- (2) join/meet function $\sqcup/\sqcap: L \times L \rightarrow L$ for control-flow confluence

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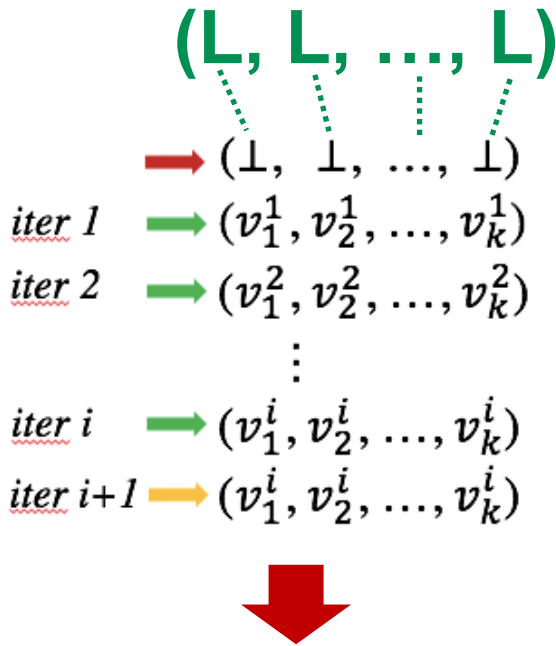
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Now the remaining issue is to prove that **function F** is monotonic

Prove Function F is Monotonic

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$\forall x, y, z \in L, x \sqsubseteq y$, we want to prove $x \sqcup z \sqsubseteq y \sqcup z$

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thus $y \sqcup z$ is an upper bound for x , and also for z (by \sqcup 's definition)

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as $x \sqcup z$ is the least upper bound of x and z

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Thus the fixed point theorem applies to the iterative algorithm for data flow analysis (by \sqcup 's definition)

Review The Questions We Have Seen Before

The iterative algorithm (or the IN/OUT equation system) produces a solution to a data flow analysis

• ? Is the **algorithm** guaranteed to terminate or **reach the fixed point**, or does it always have a solution?

• ? If so, is there only one solution or only one **fixed point**? If more than one, **is our solution the best one** (m

greatest or least fixed point

• When will the algorithm reach the fixed point, or when can we get the solution?

Now what we have just seen is the property (fixed point theorem) for the function on a lattice. ~~We cannot say our iterative algorithm also has that property unless~~ **we can relate the algorithm to the fixed point theorem, if possible**

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YES

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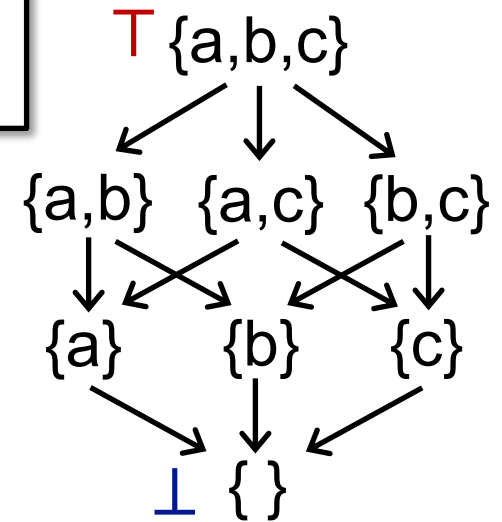
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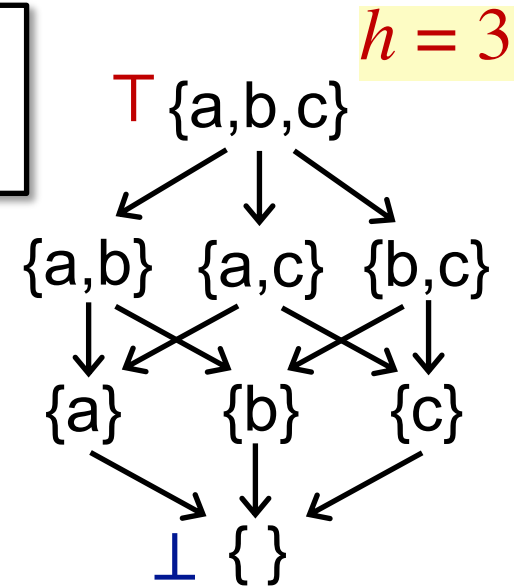
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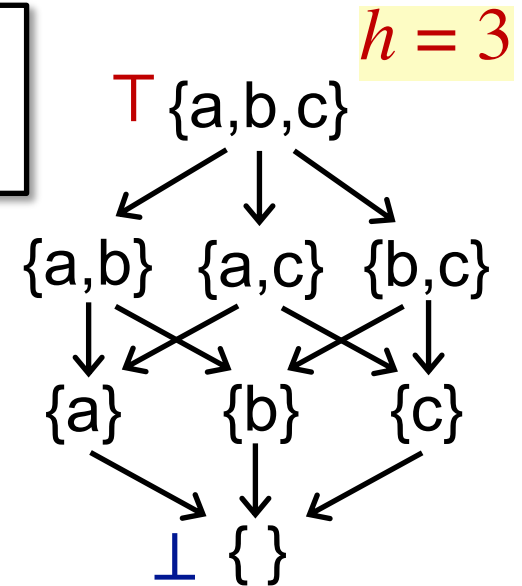


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The maximum iterations i needed to reach the fixed point

-  $(\perp, \perp, \dots, \perp)$
- iter 1*  $(v_1^1, v_2^1, \dots, v_k^1)$
- iter 2*  $(v_1^2, v_2^2, \dots, v_k^2)$
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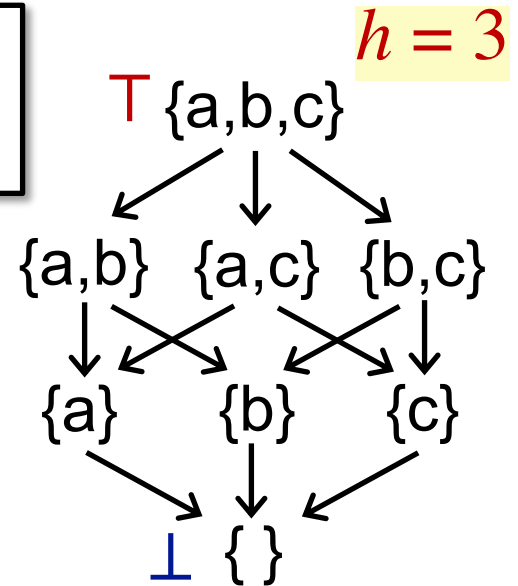


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In each iteration, assume only **one step in the lattice** (upwards or downwards) is made in **one node** (e.g., one 0- \rightarrow 1 in RD)

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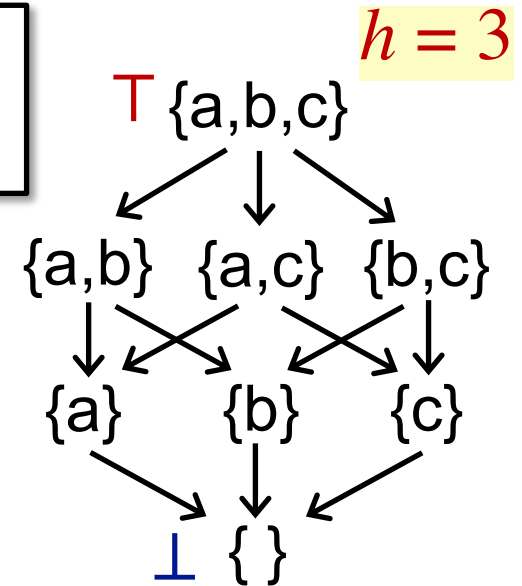
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Assume the lattice height is h and the number of nodes in CFG is k

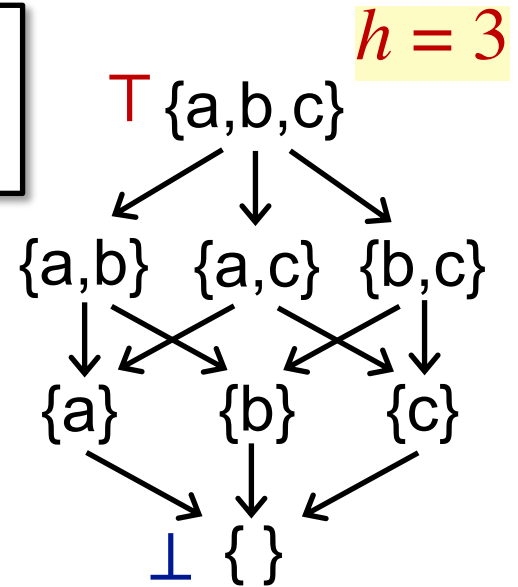


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Assume the lattice height is h and the number of nodes in CFG is k

We need at most $i = h * k$ iterations

Review The Questions We Have Seen Before

The iterative algorithm (or the IN/OUT equation system) produces a solution to a data flow analysis

YES

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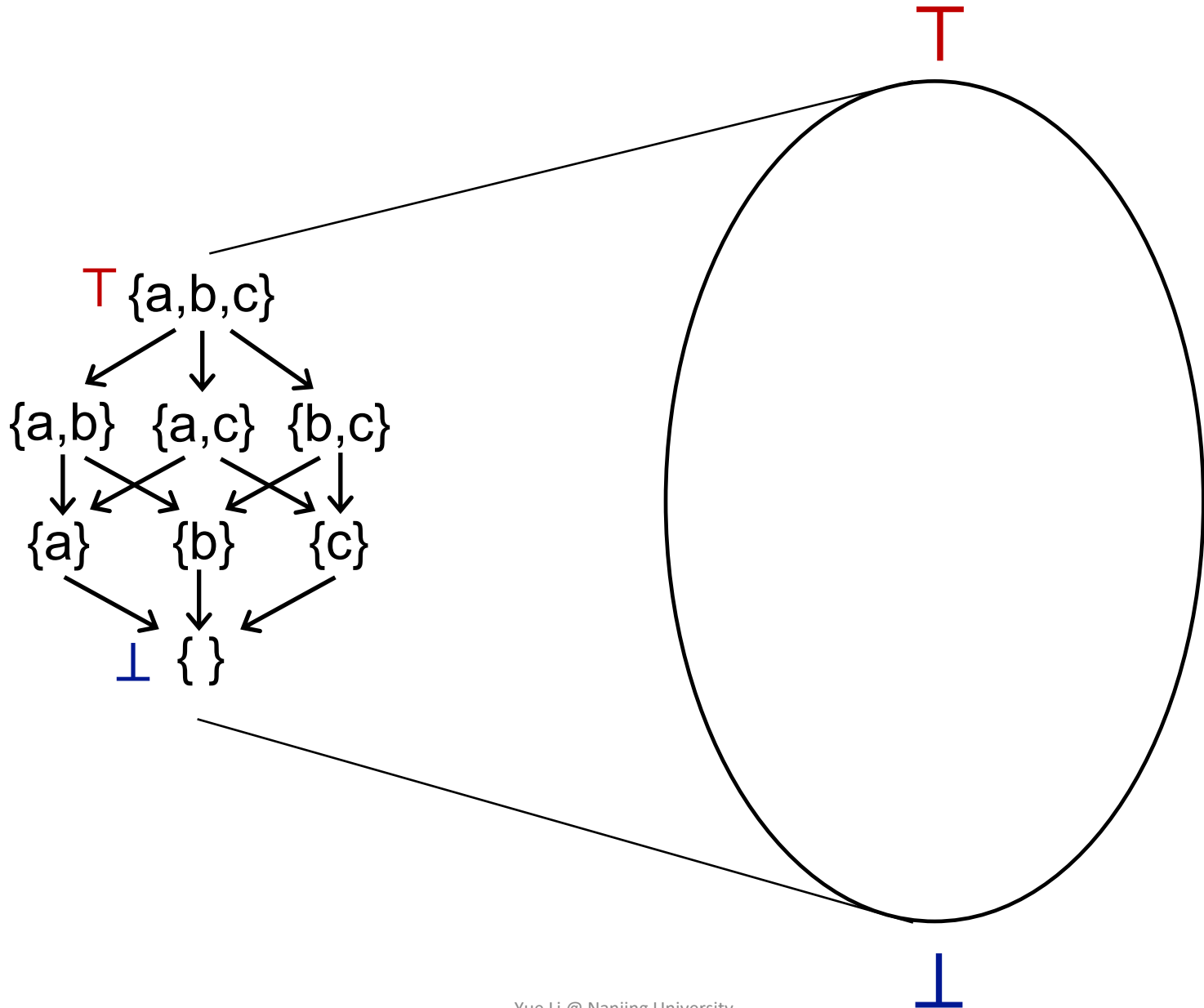
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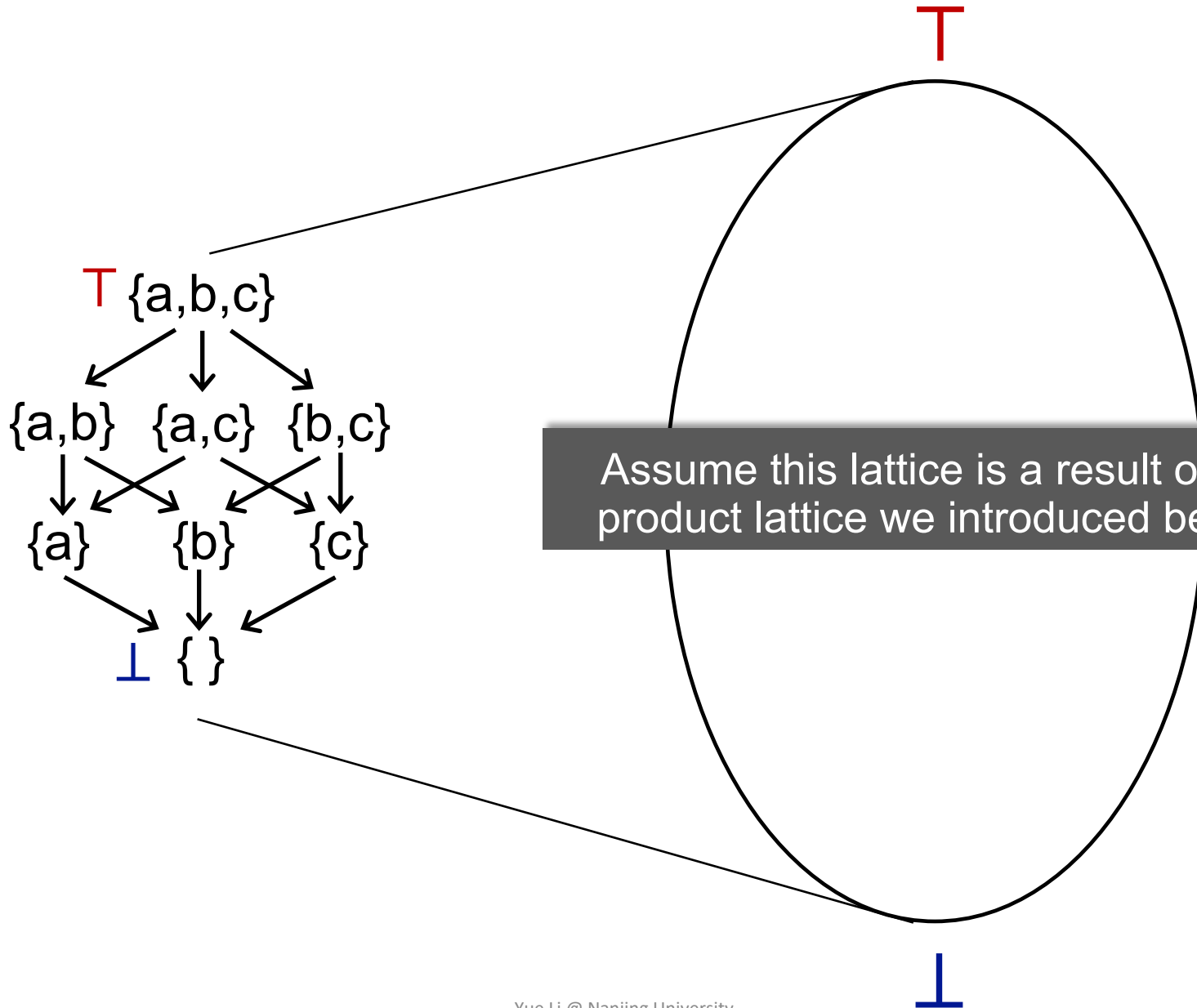
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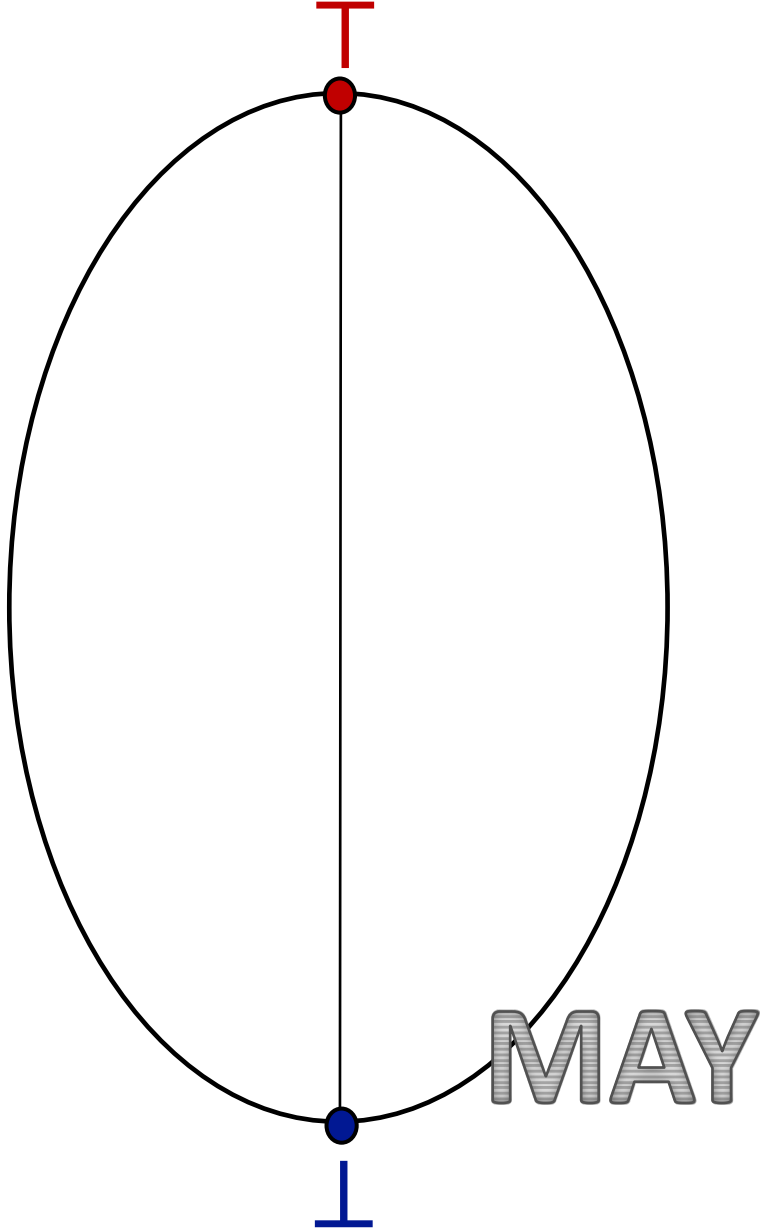
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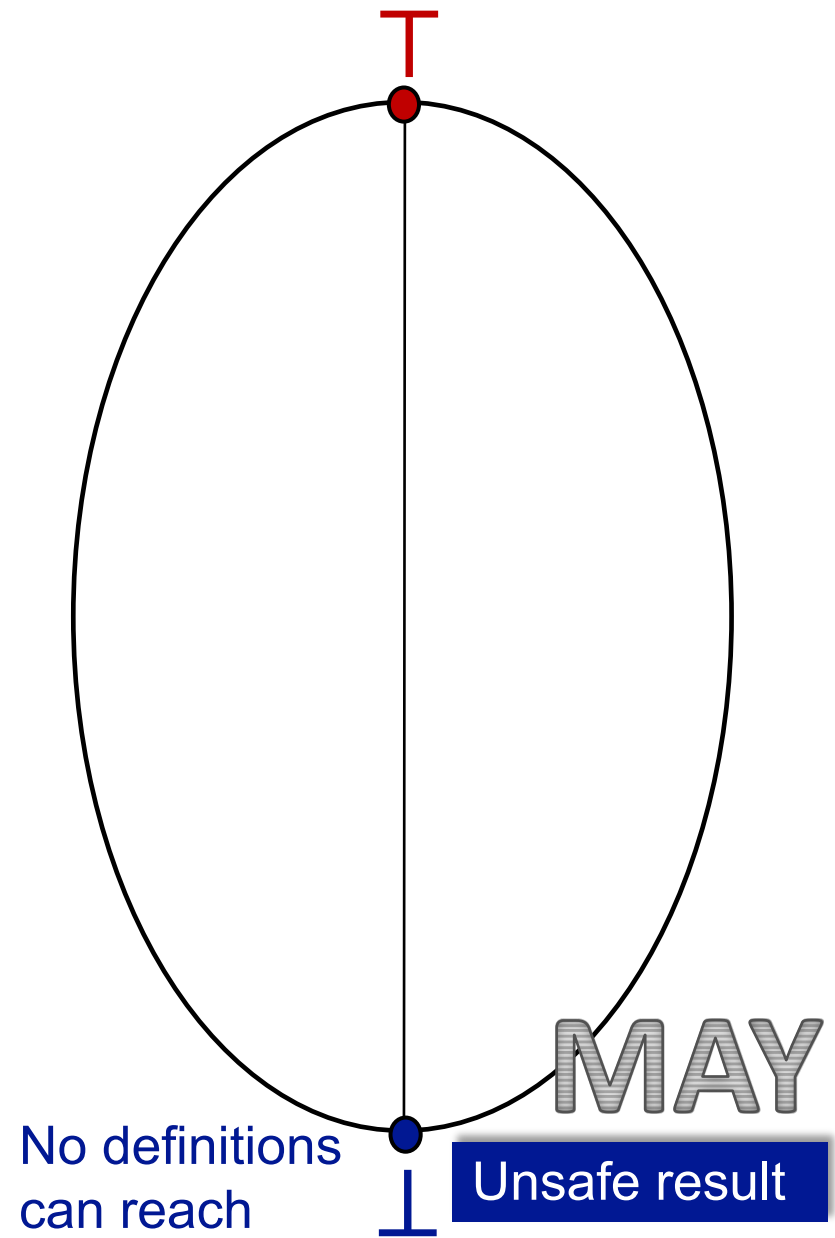
Worst case of #iterations:
the product of the lattice height and
the number of nodes in CFG

May and Must Analyses, a Lattice View







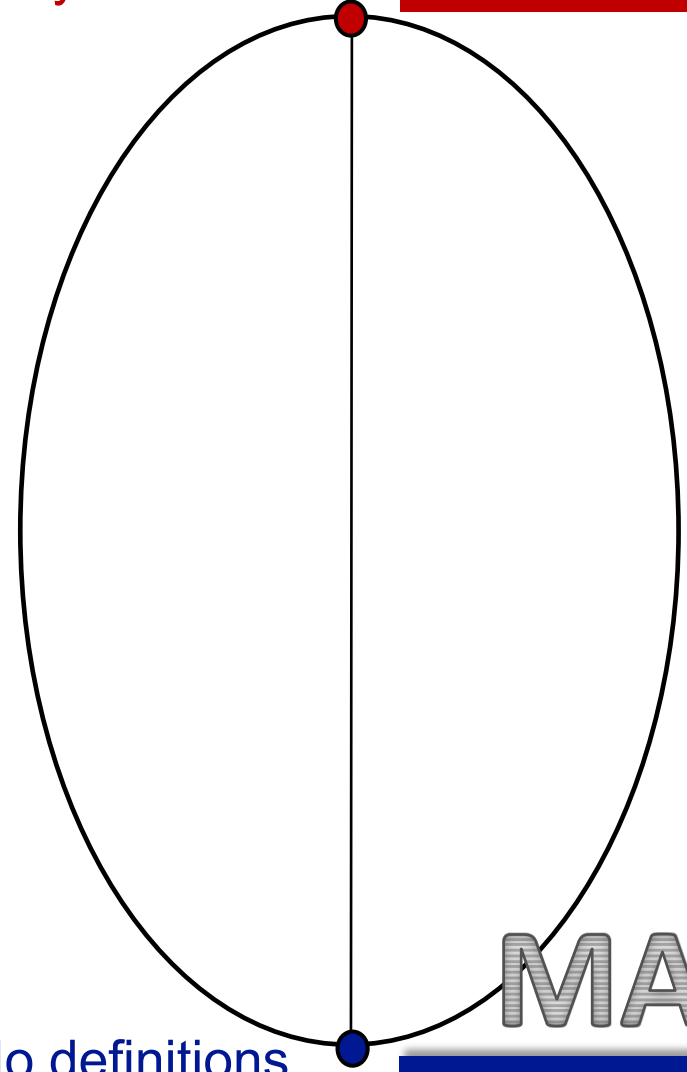


No definitions
can reach

Unsafe result

All definitions
may reach

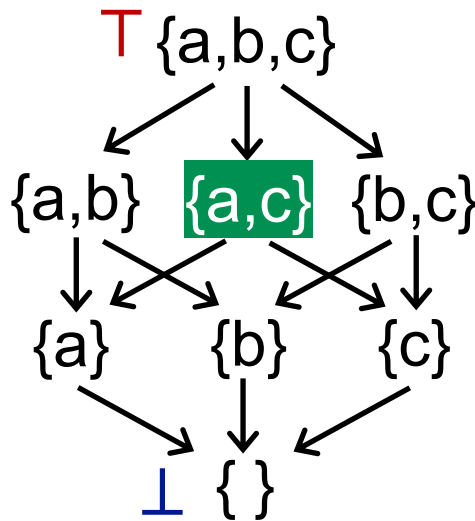
Safe but
Useless result



No definitions
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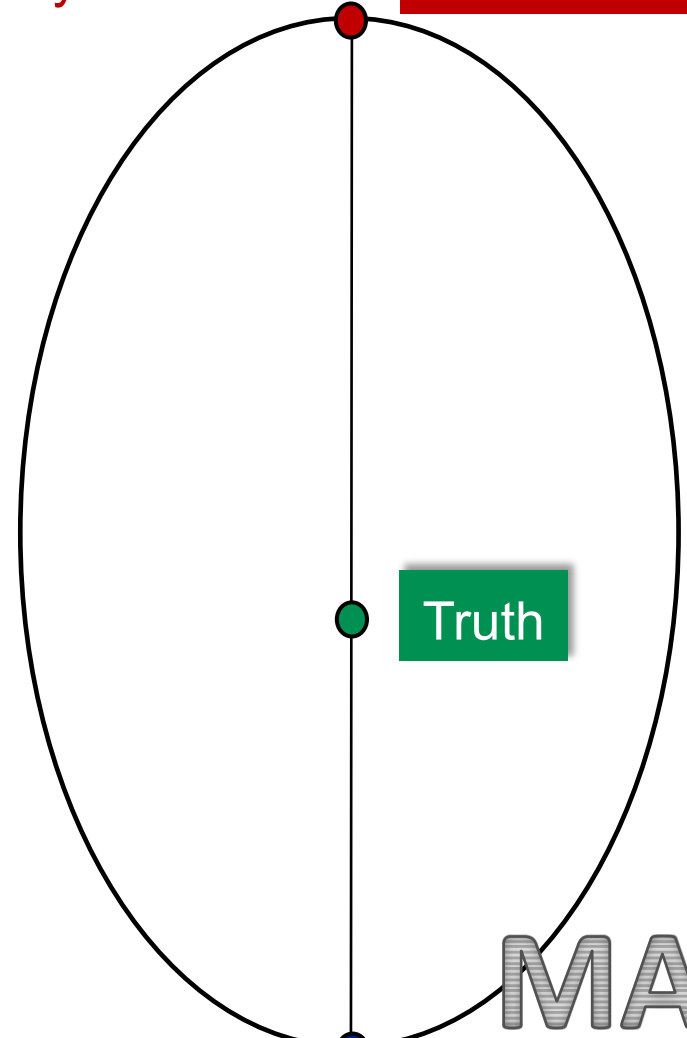
Unsafe result

MAY



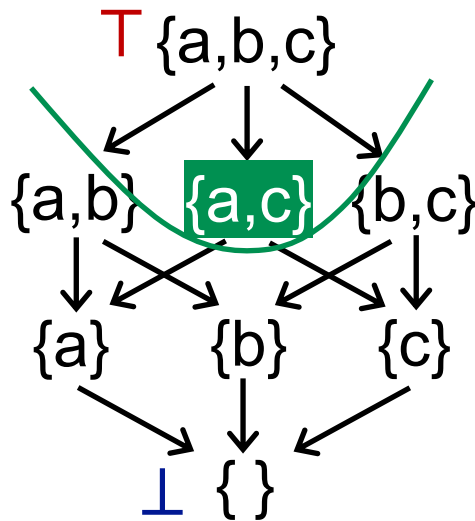
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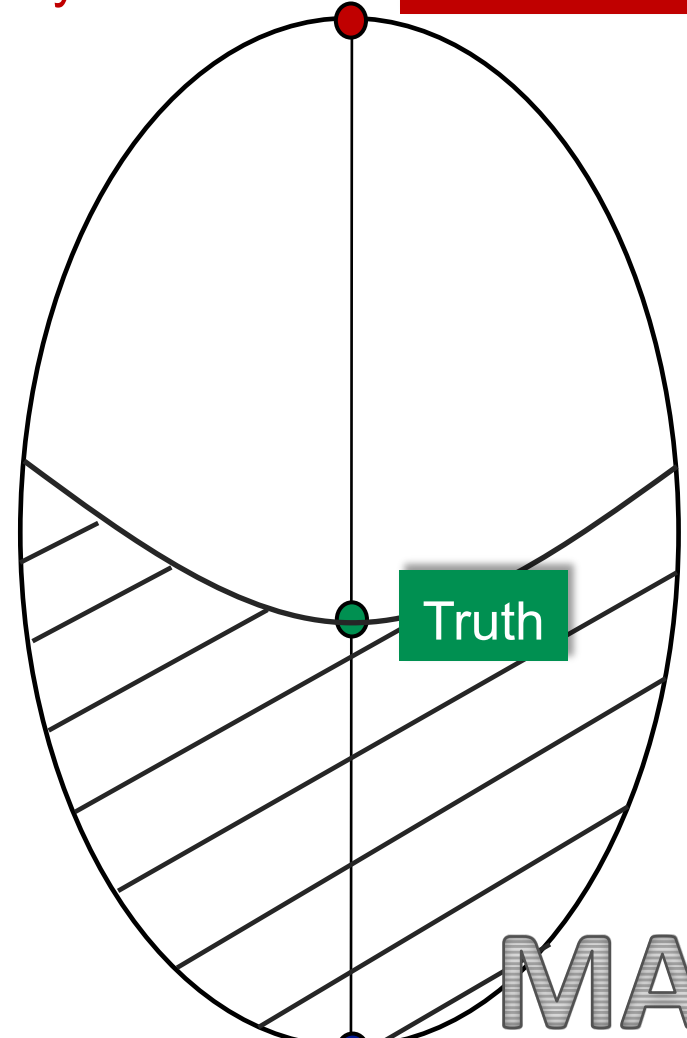
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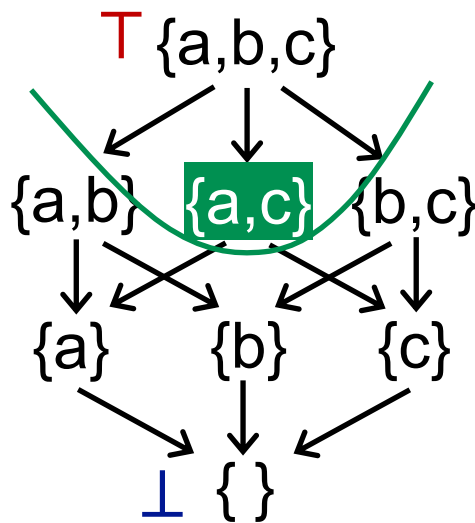
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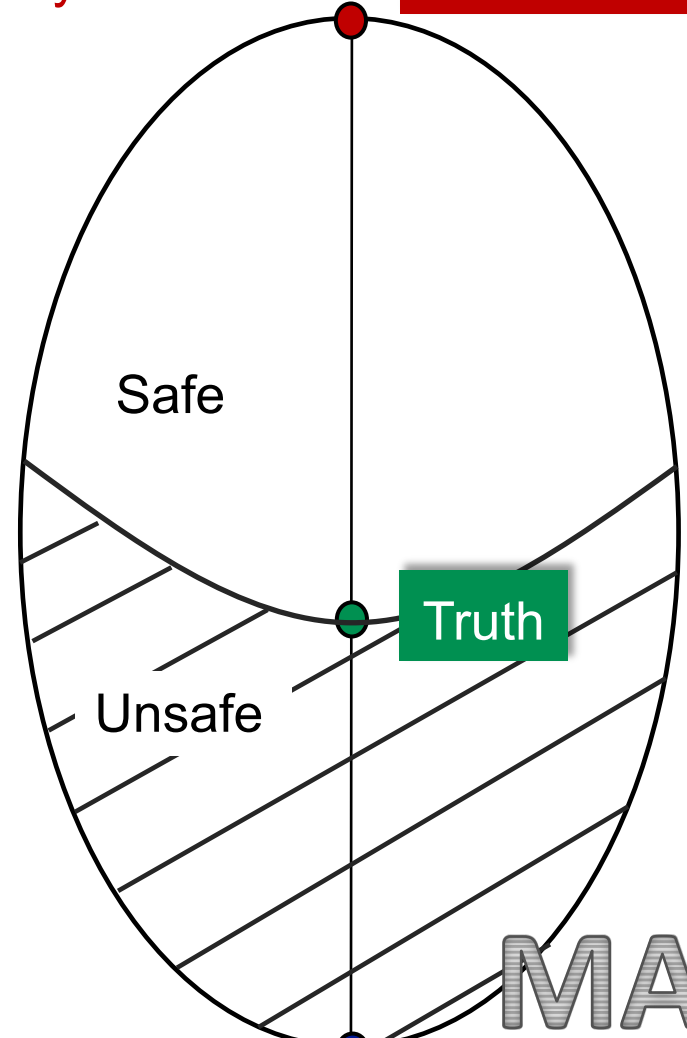
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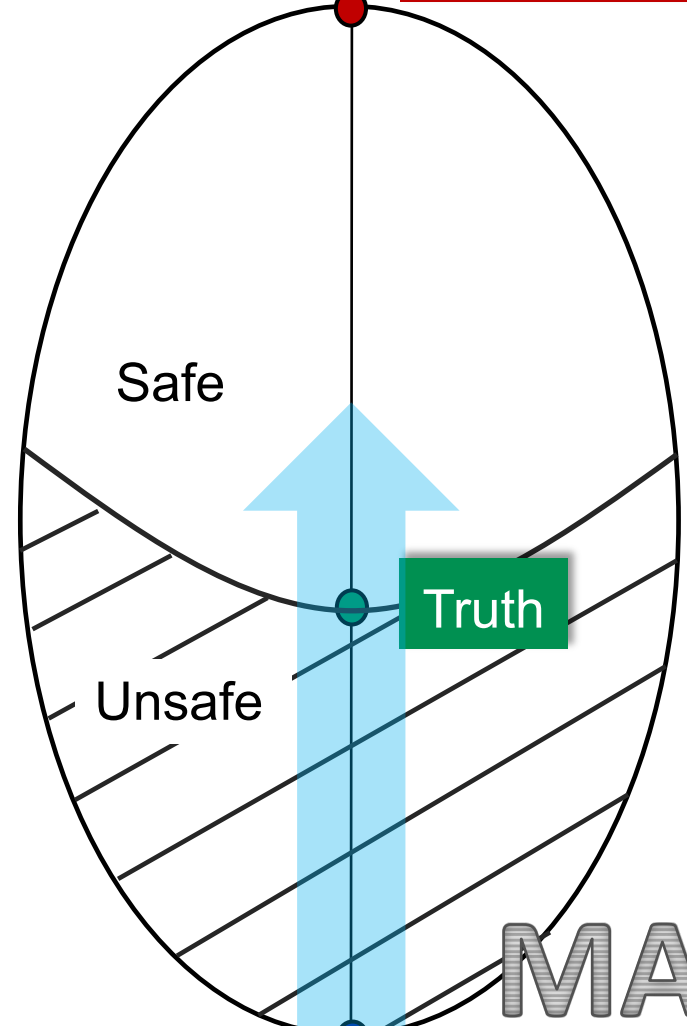
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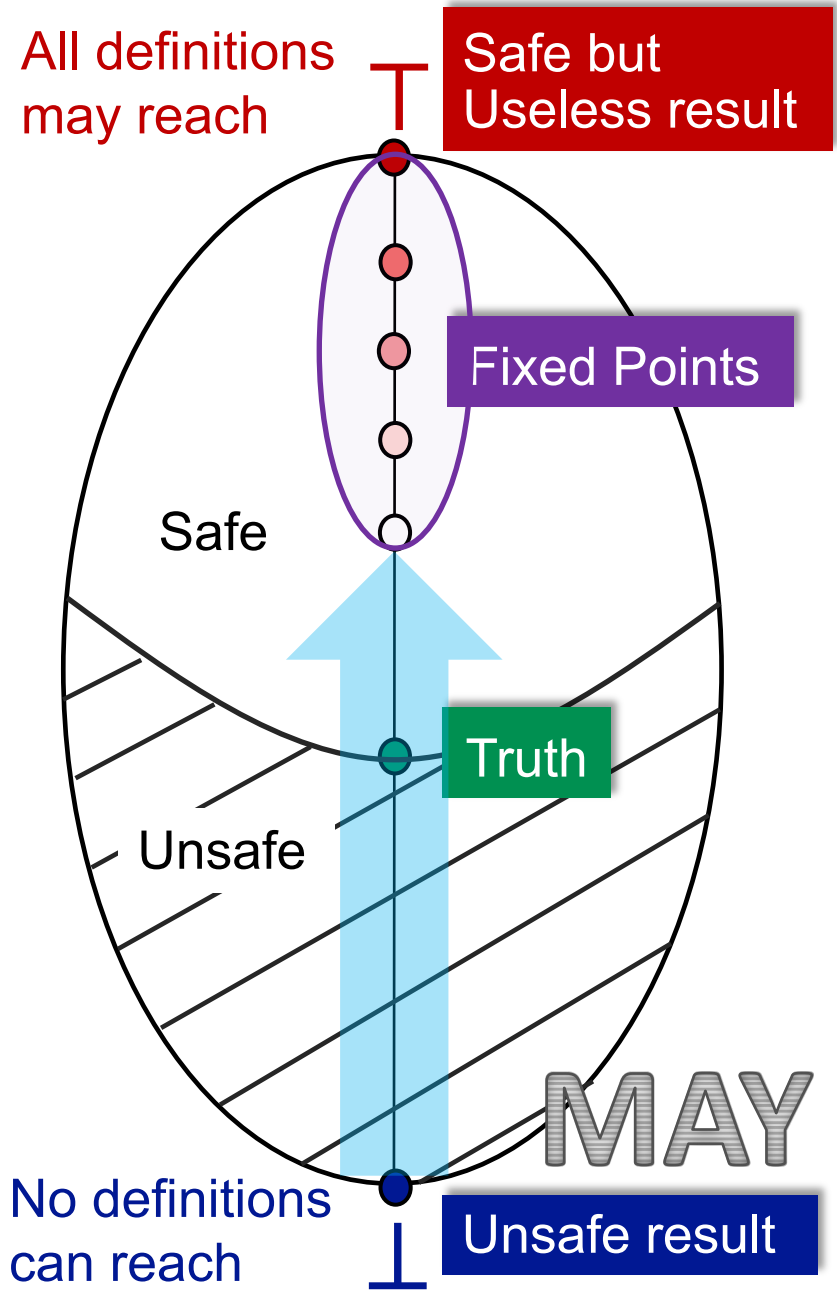
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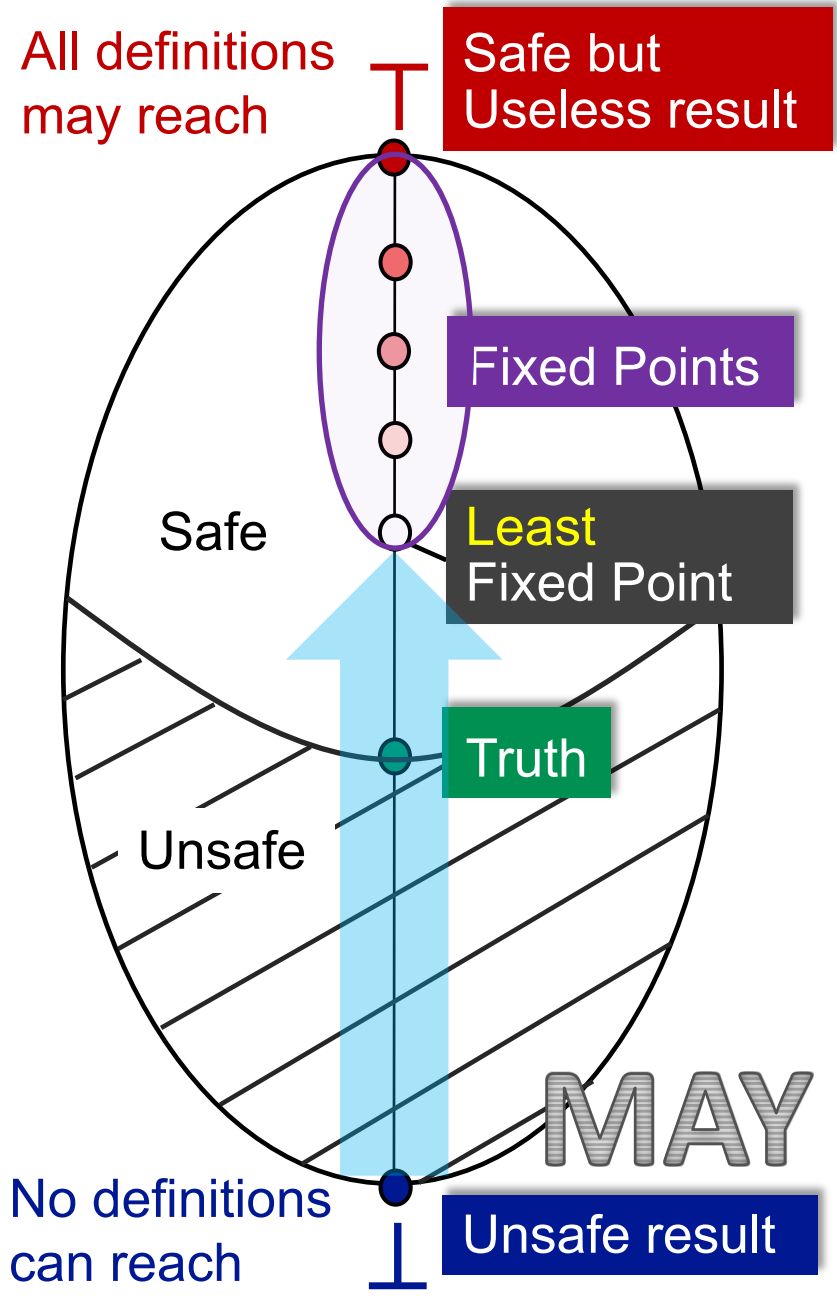
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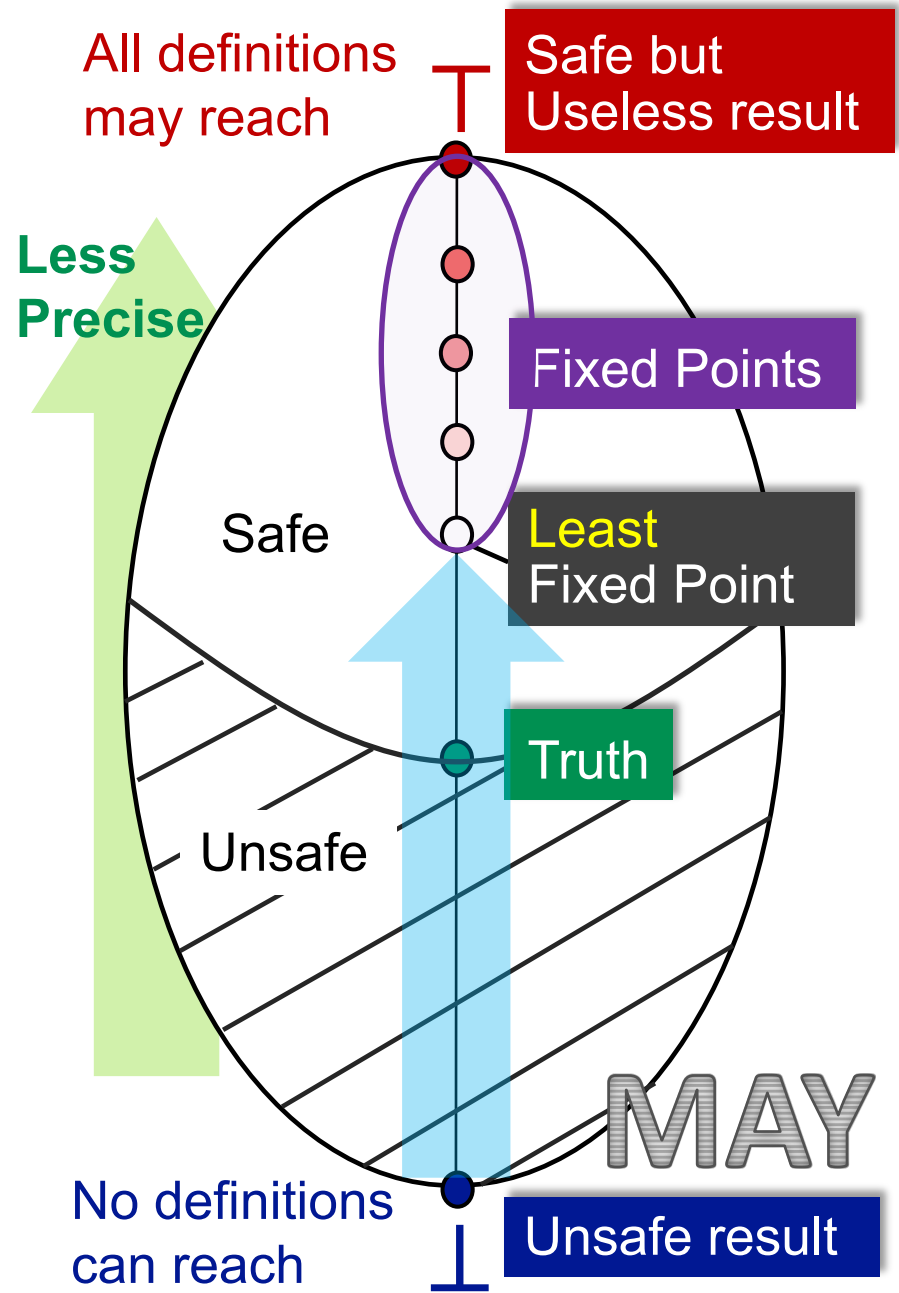


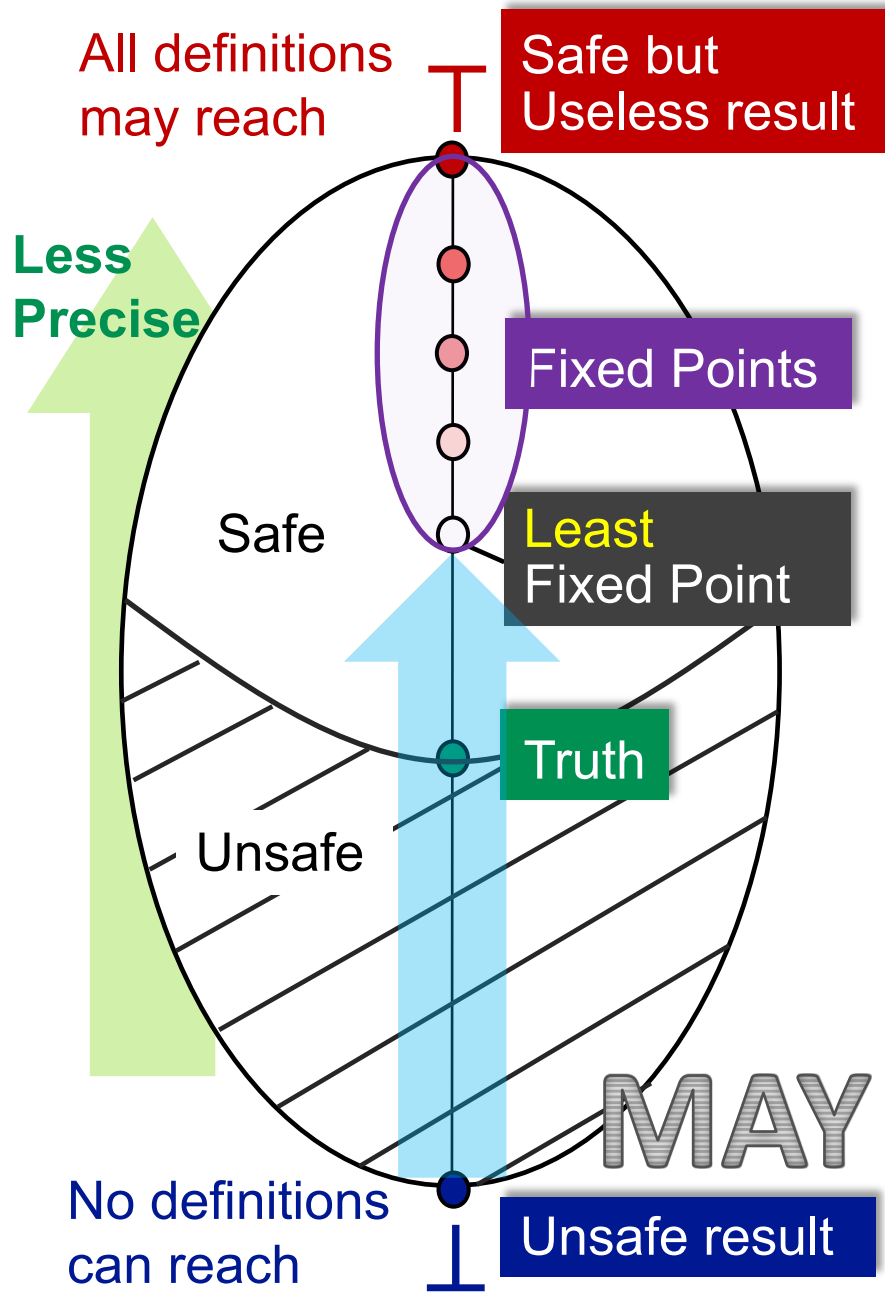
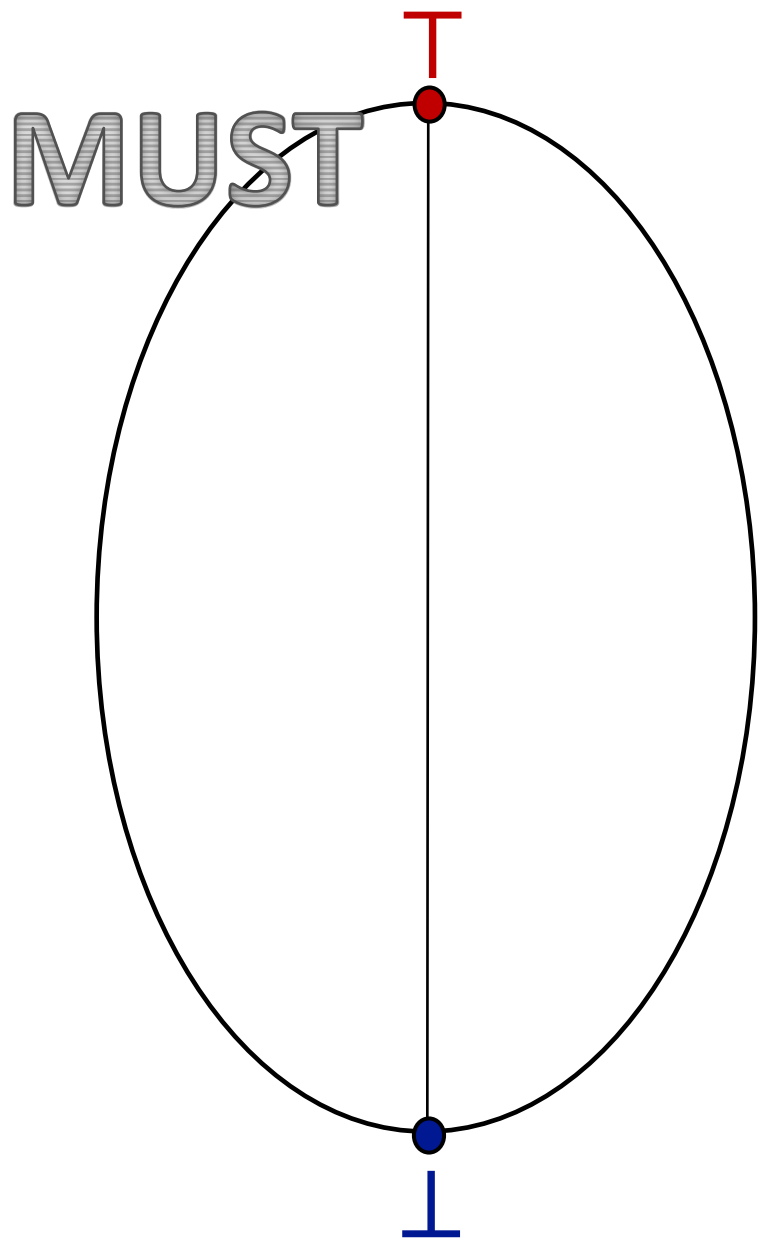
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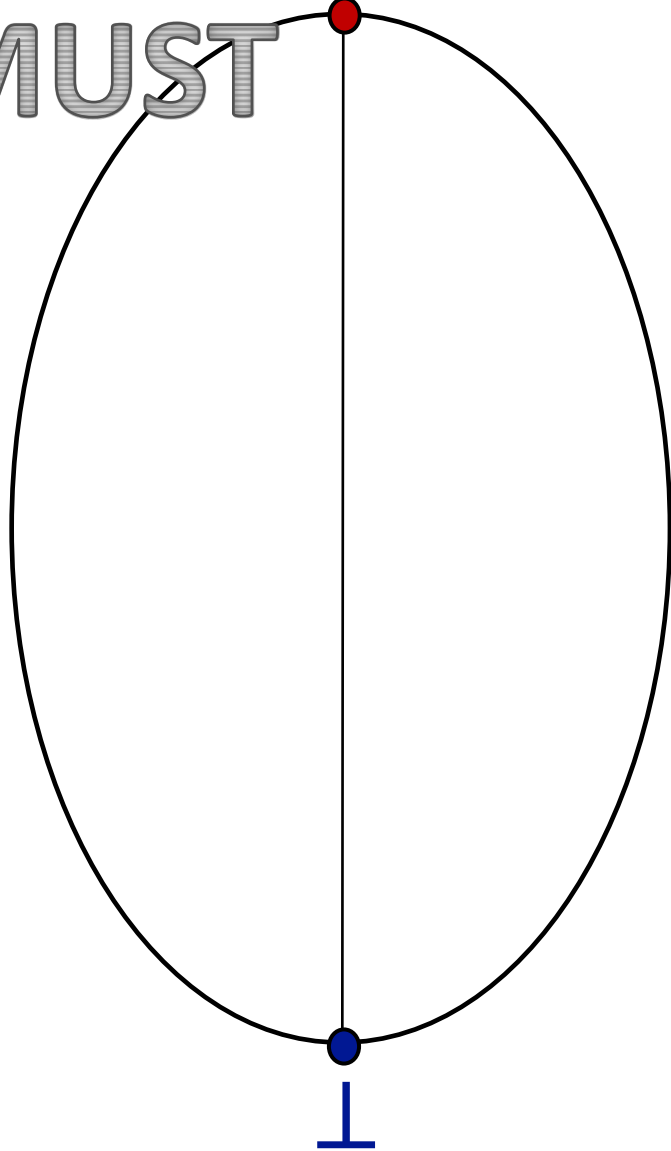




Unsafe result

All expressions must be available

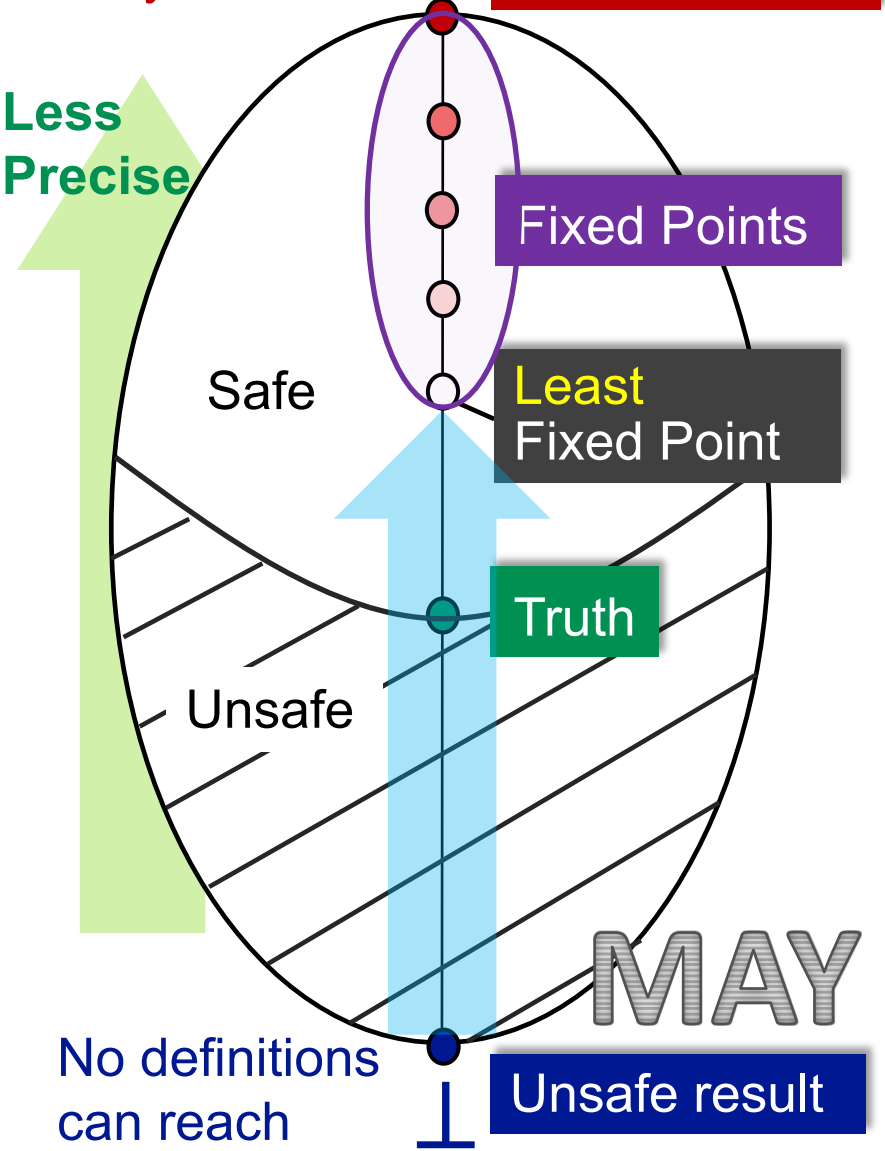
MUST



All definitions may reach

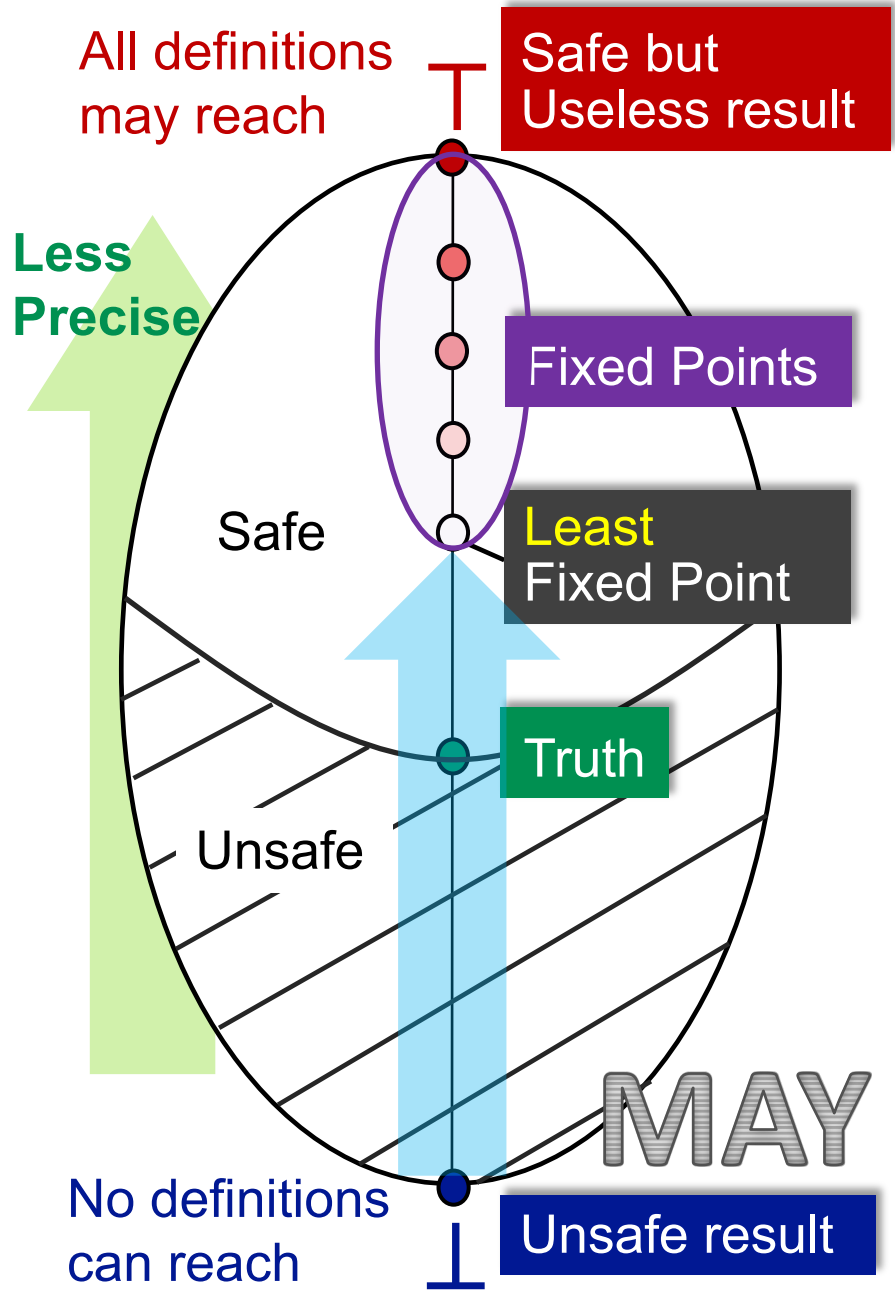
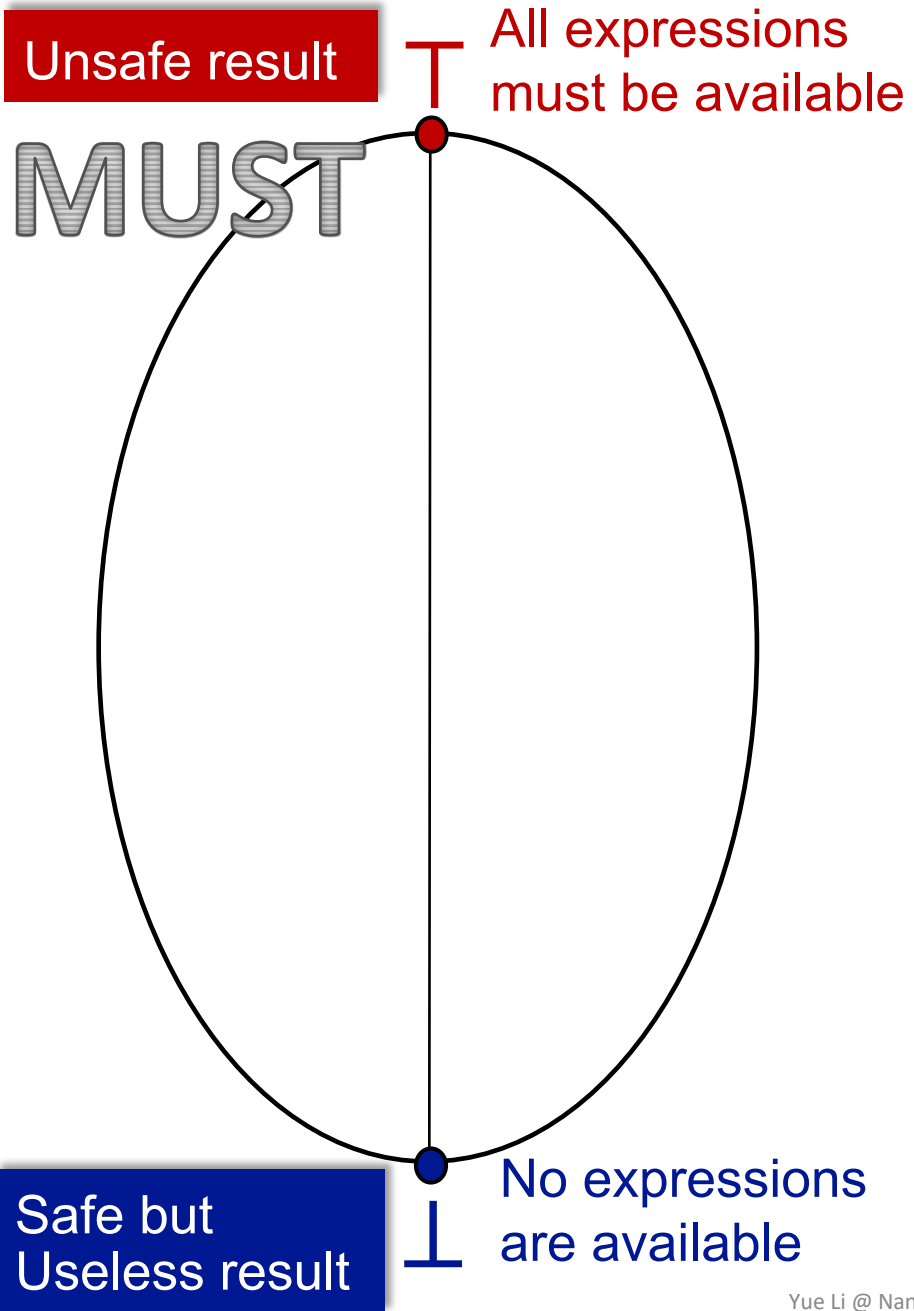
Safe but Useless result

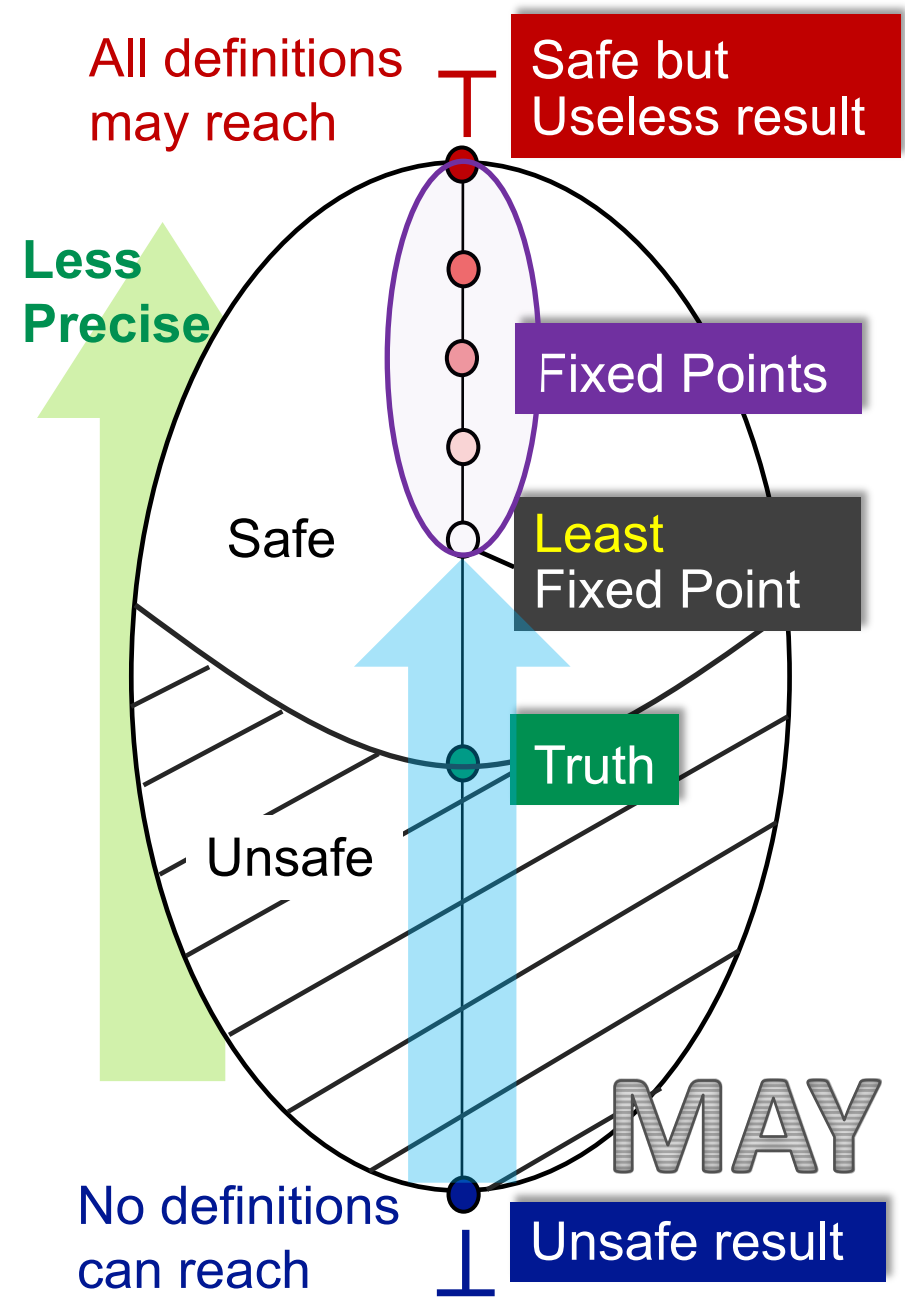
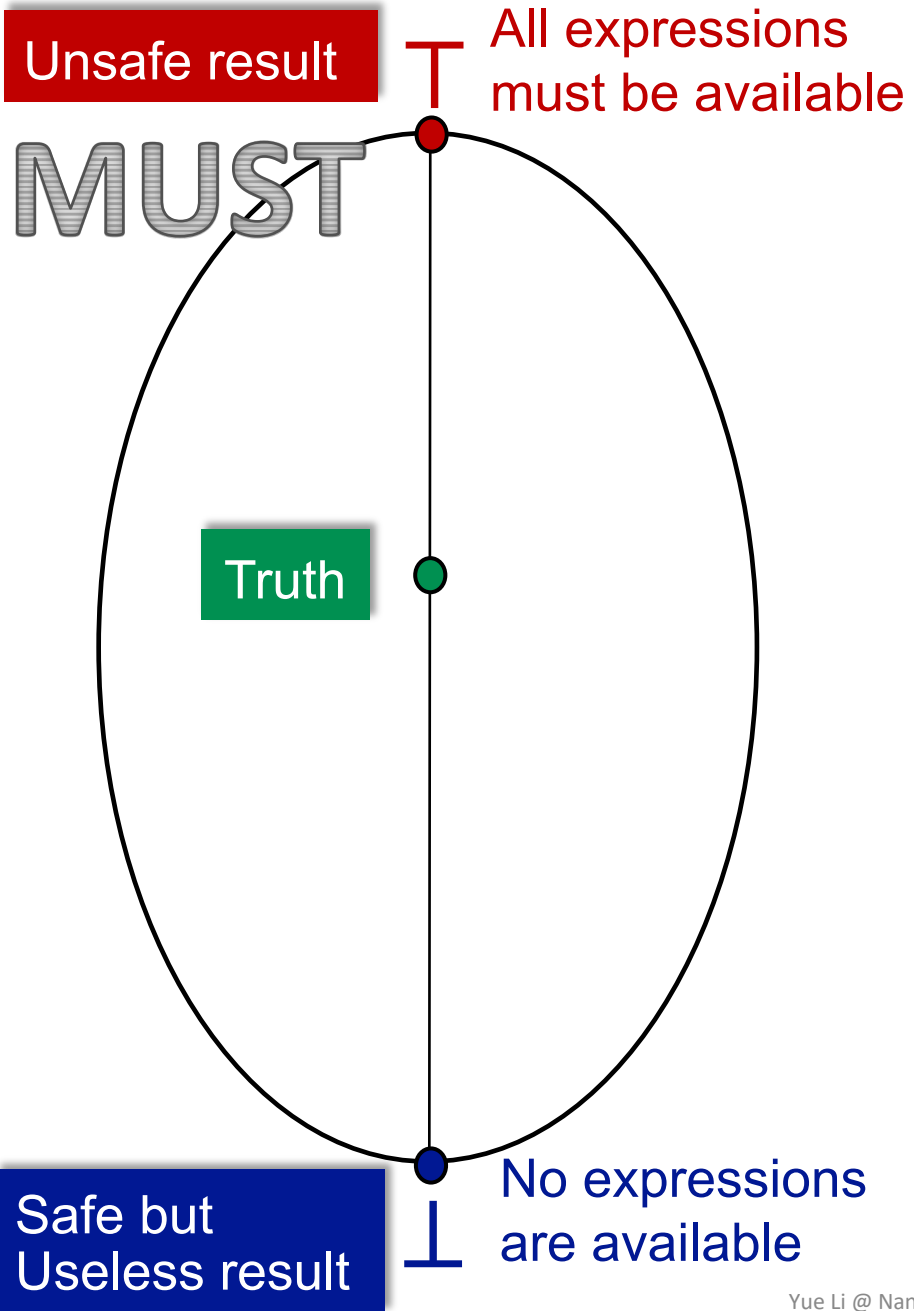
Less Precise



No definitions can reach

Unsafe result

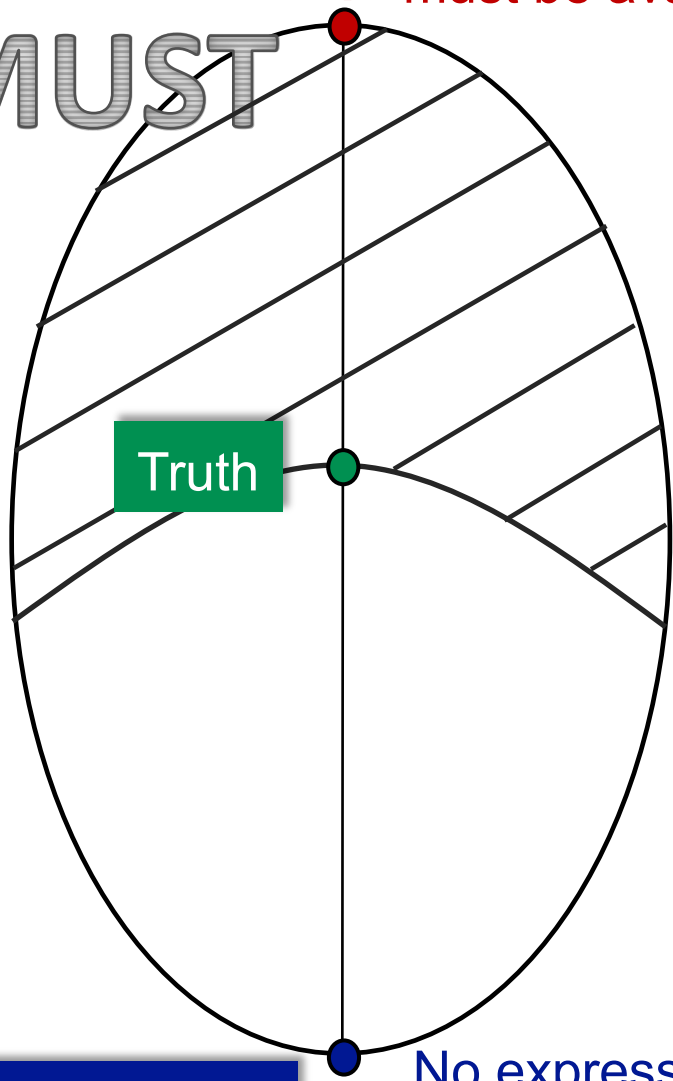




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MUST



Truth

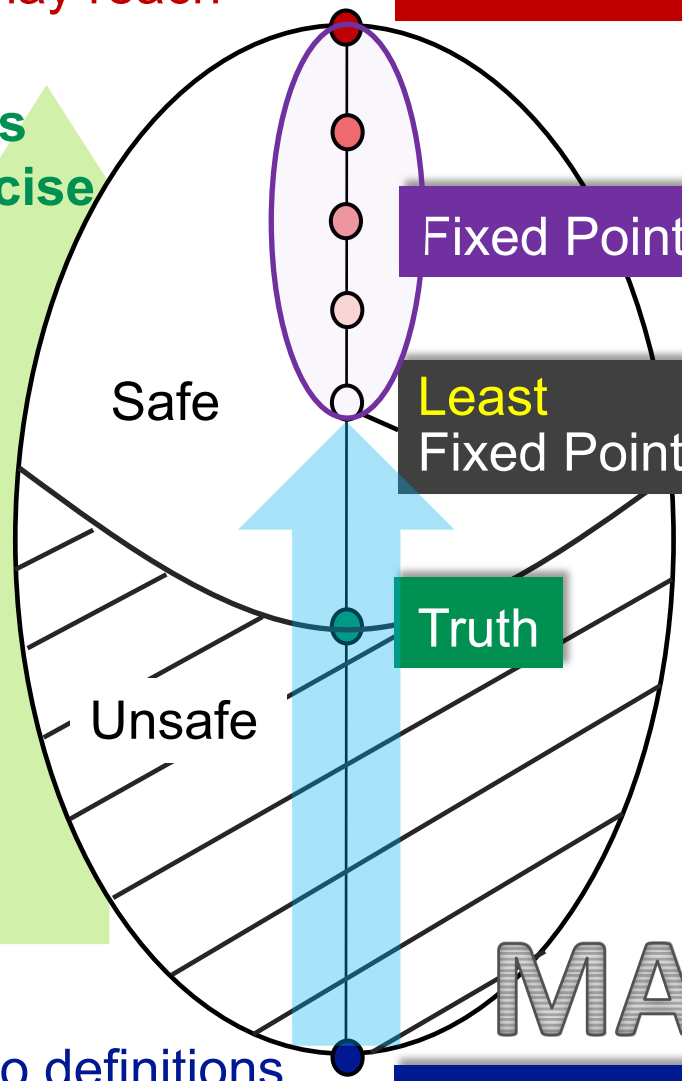
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Fixed Points

Least Fixed Point

Truth

Unsafe result

Safe

Unsafe

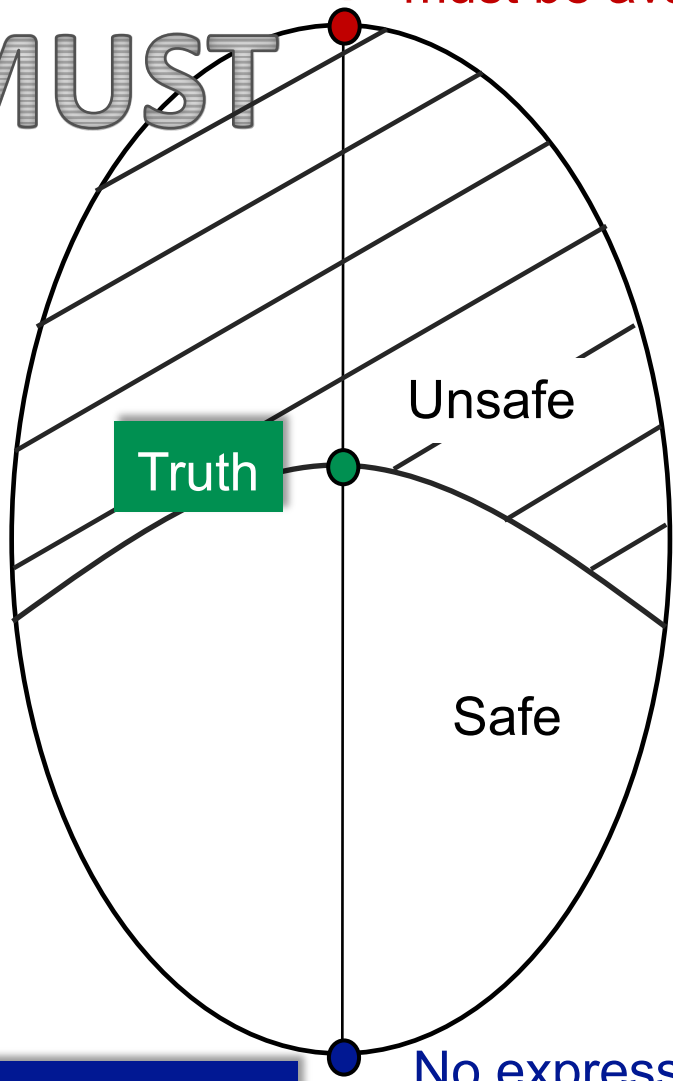
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Unsafe

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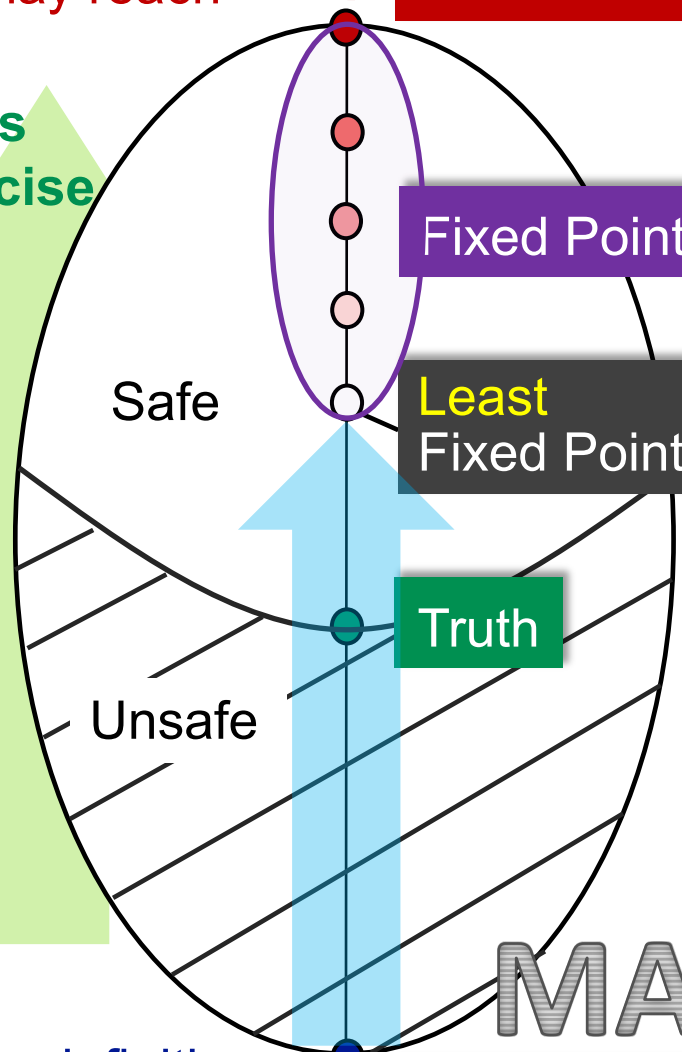
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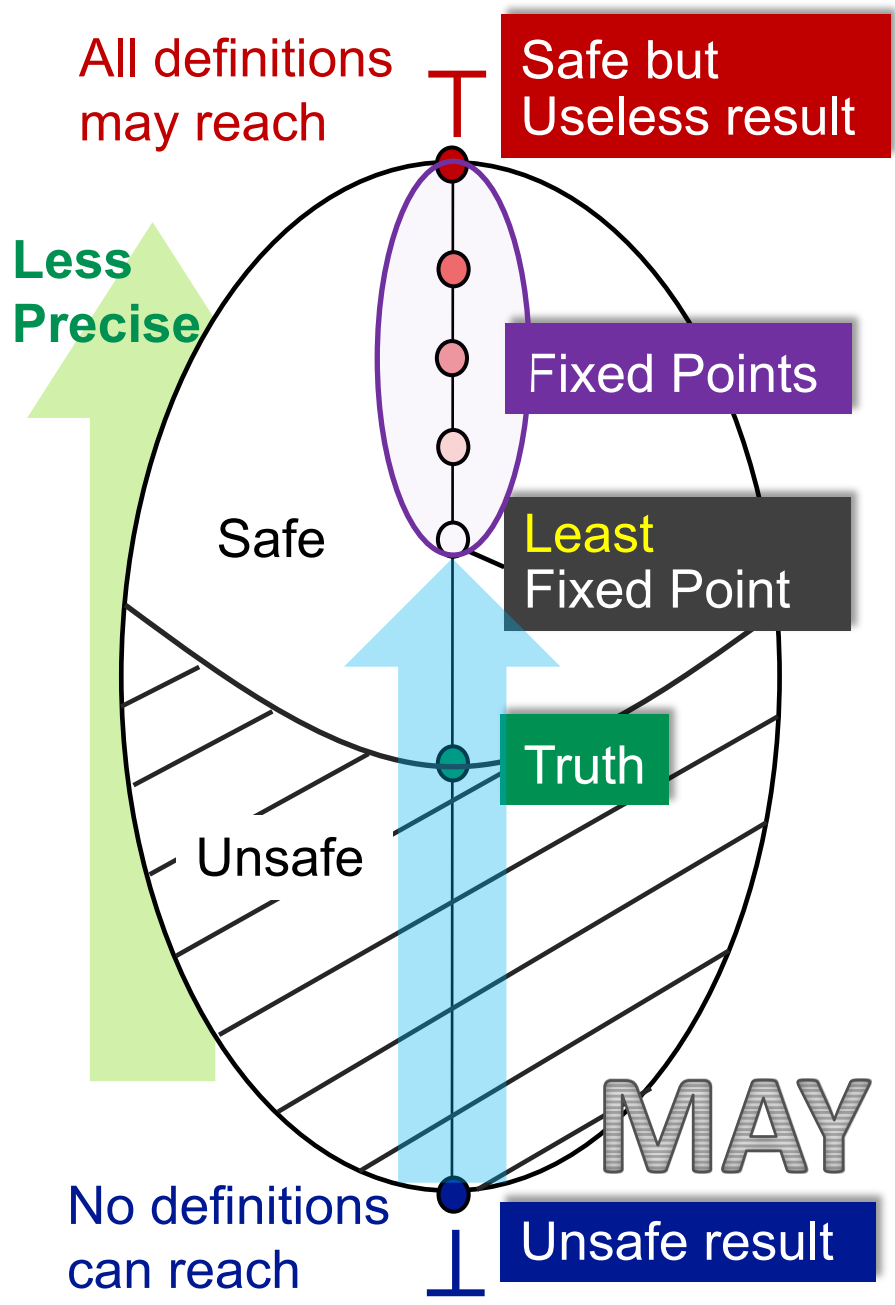
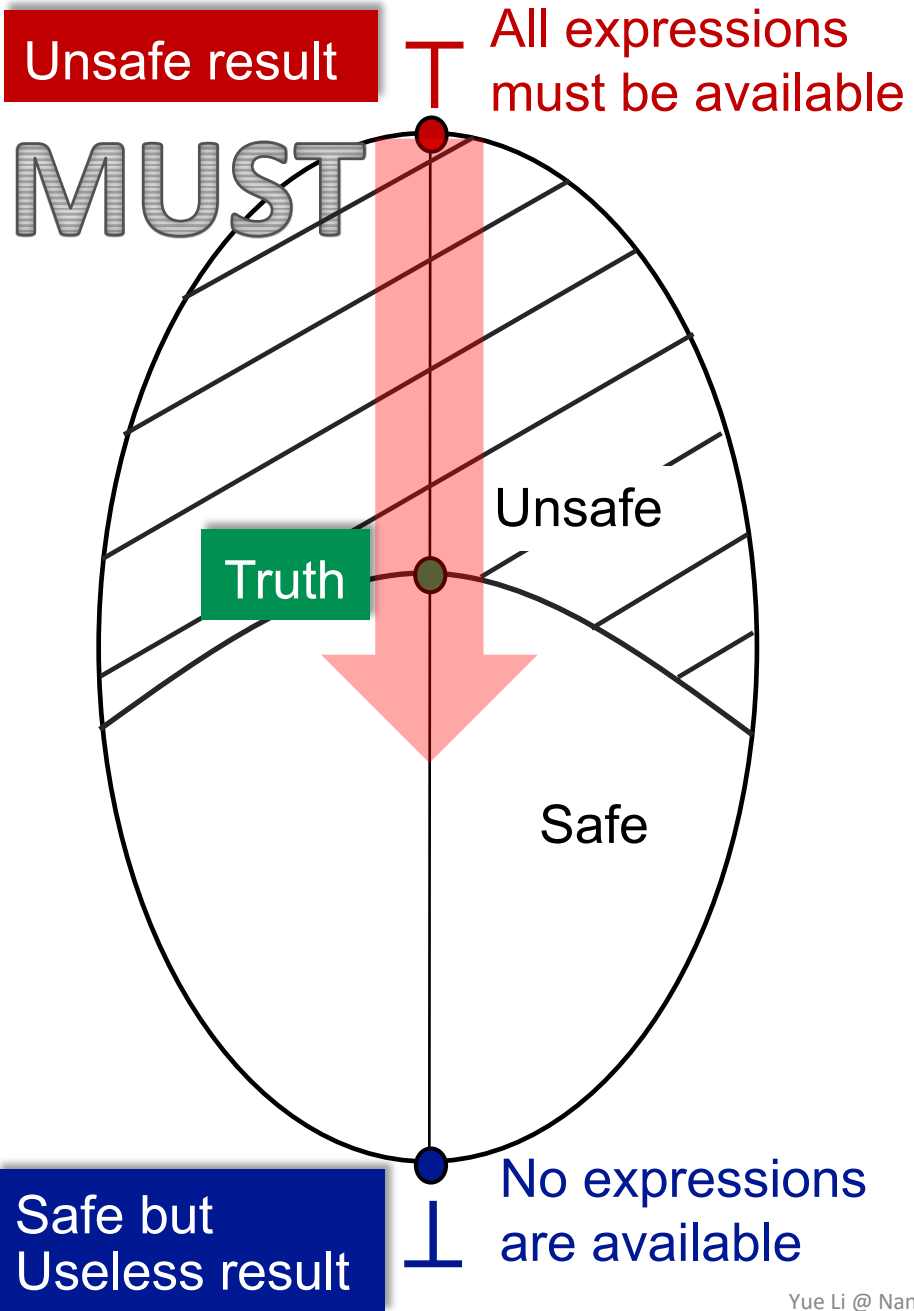
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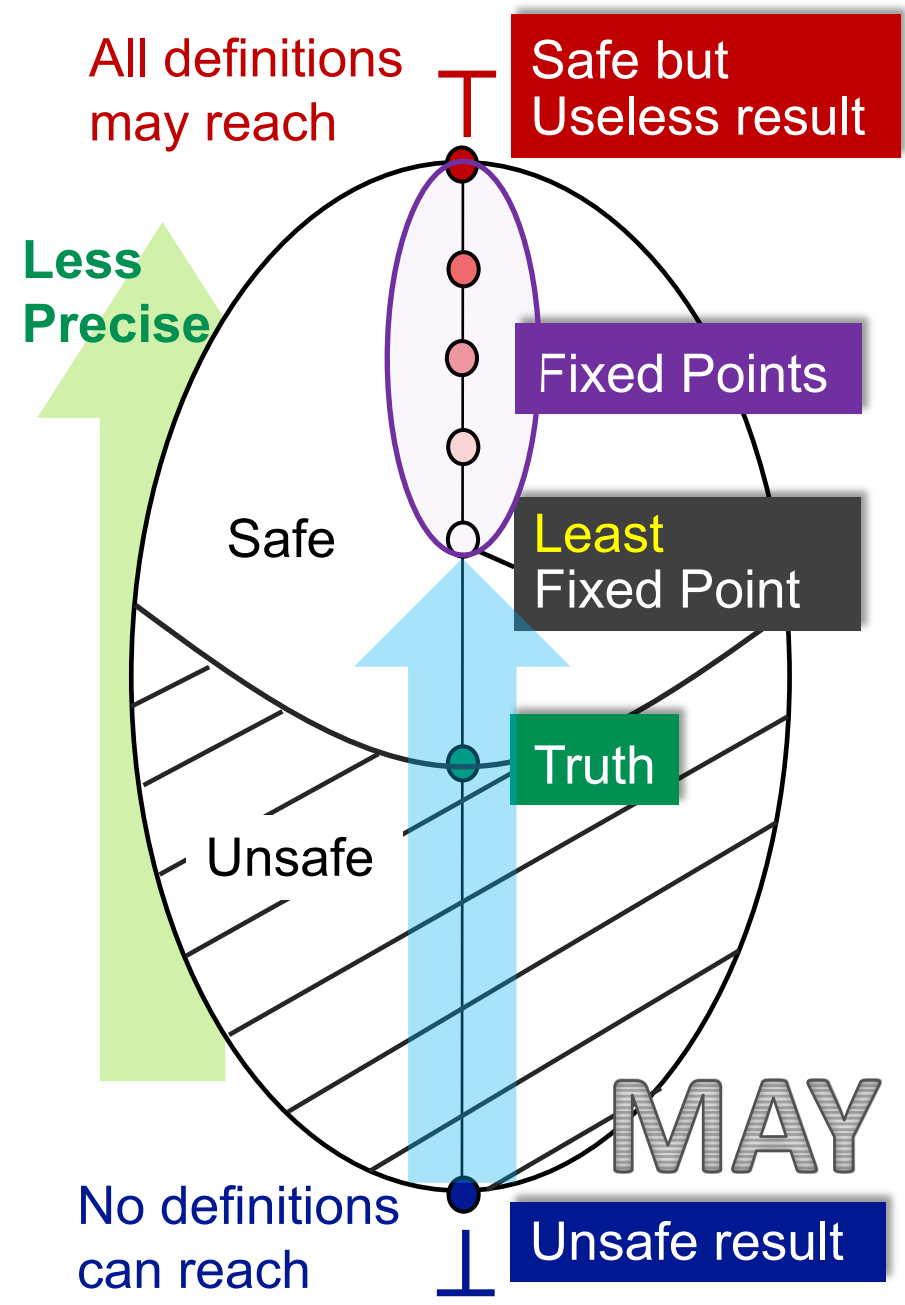
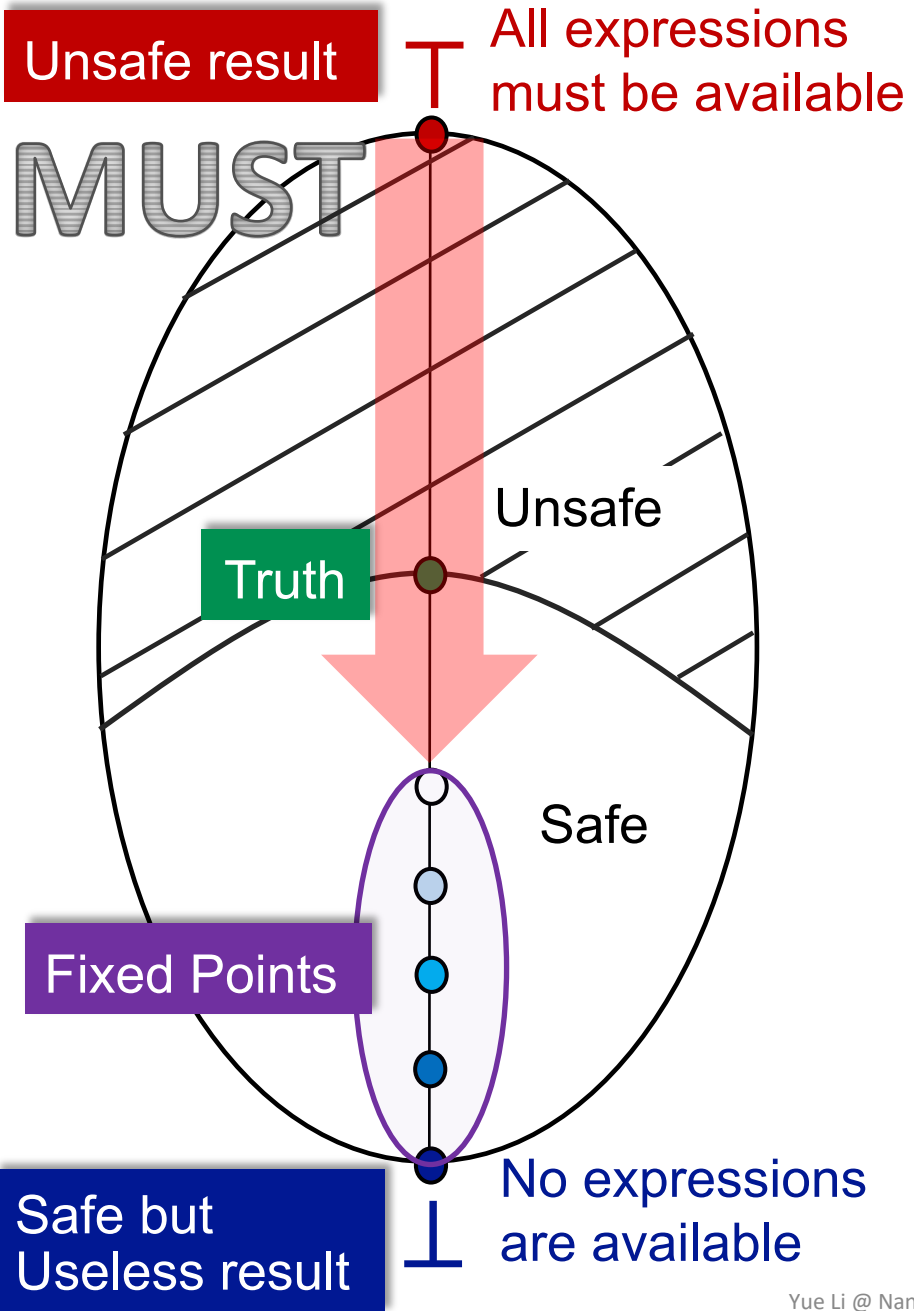
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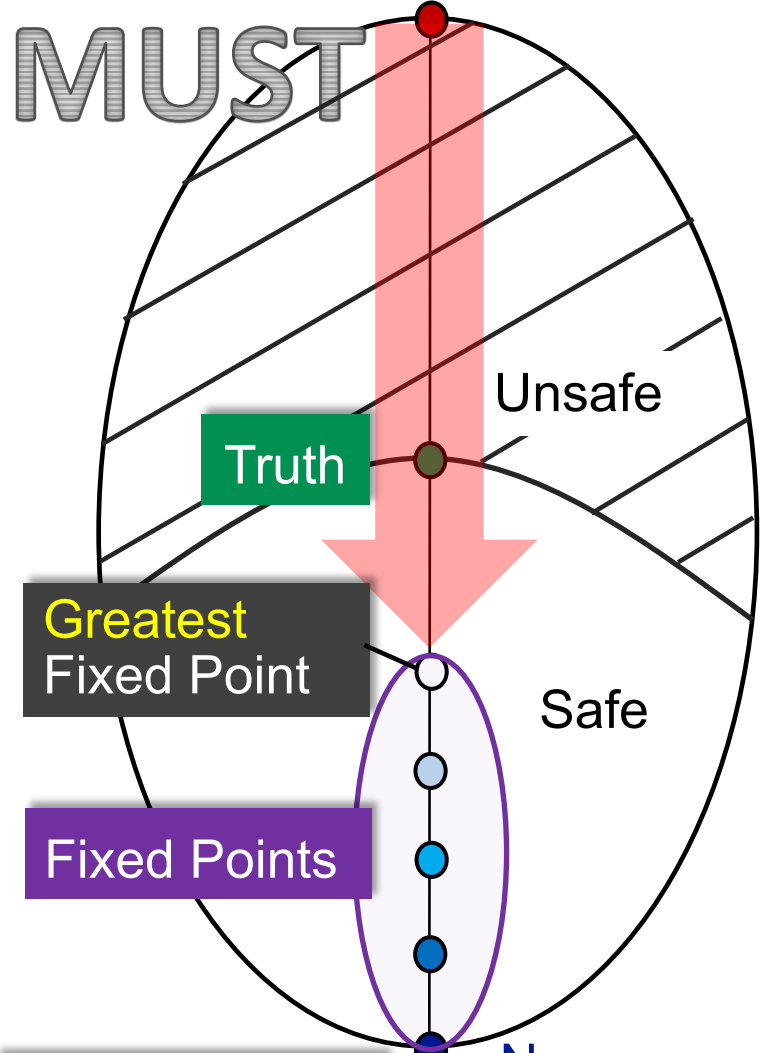




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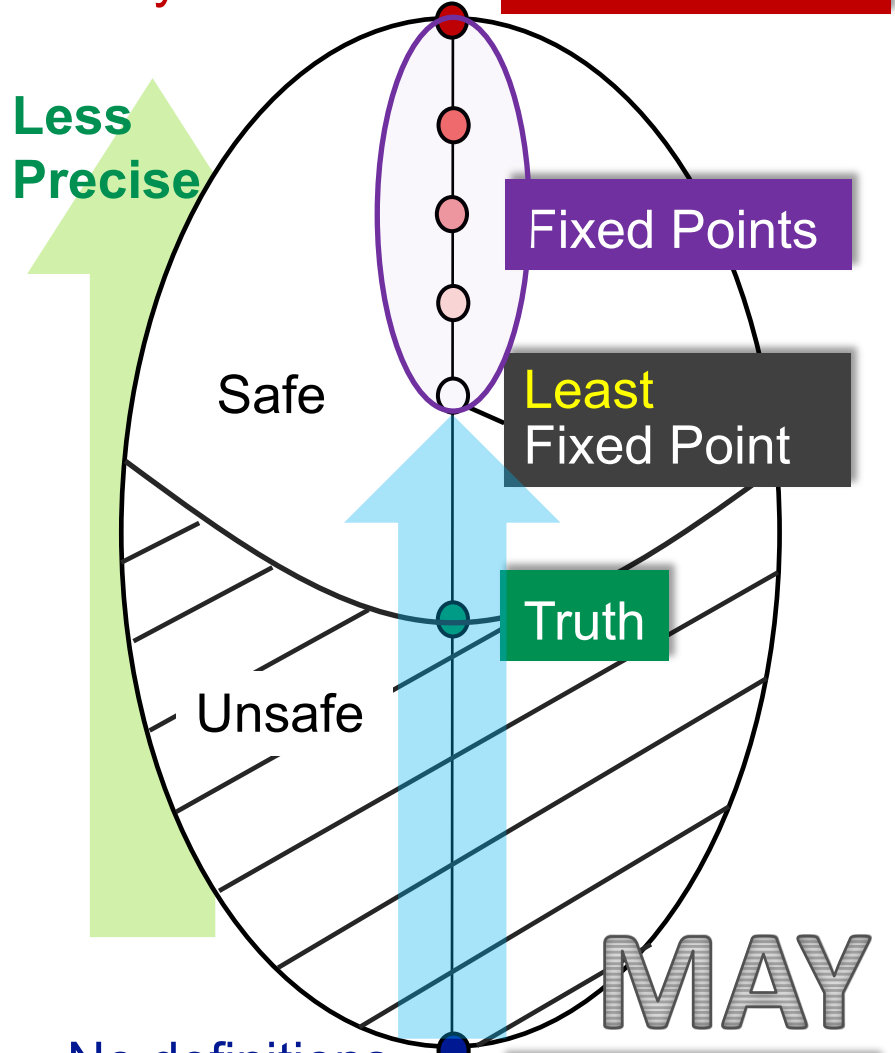
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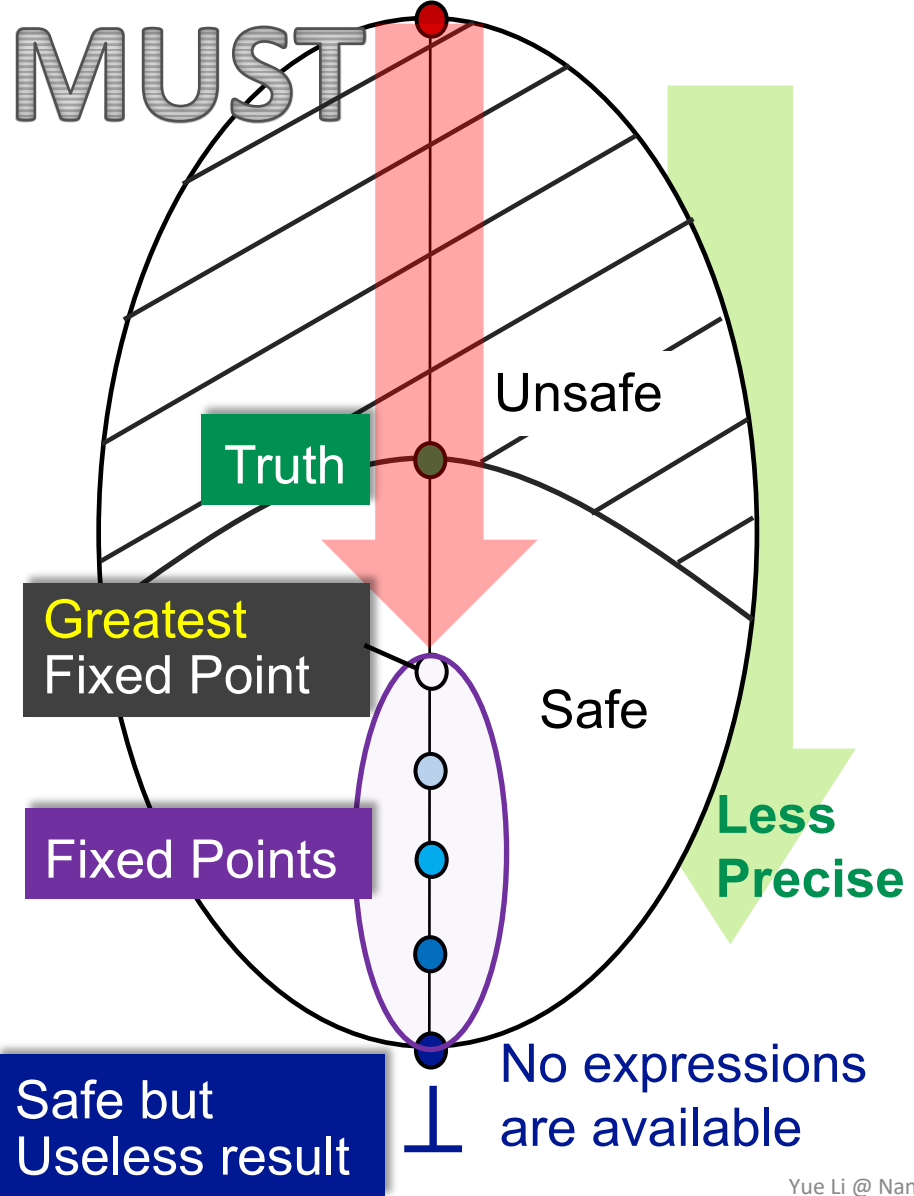
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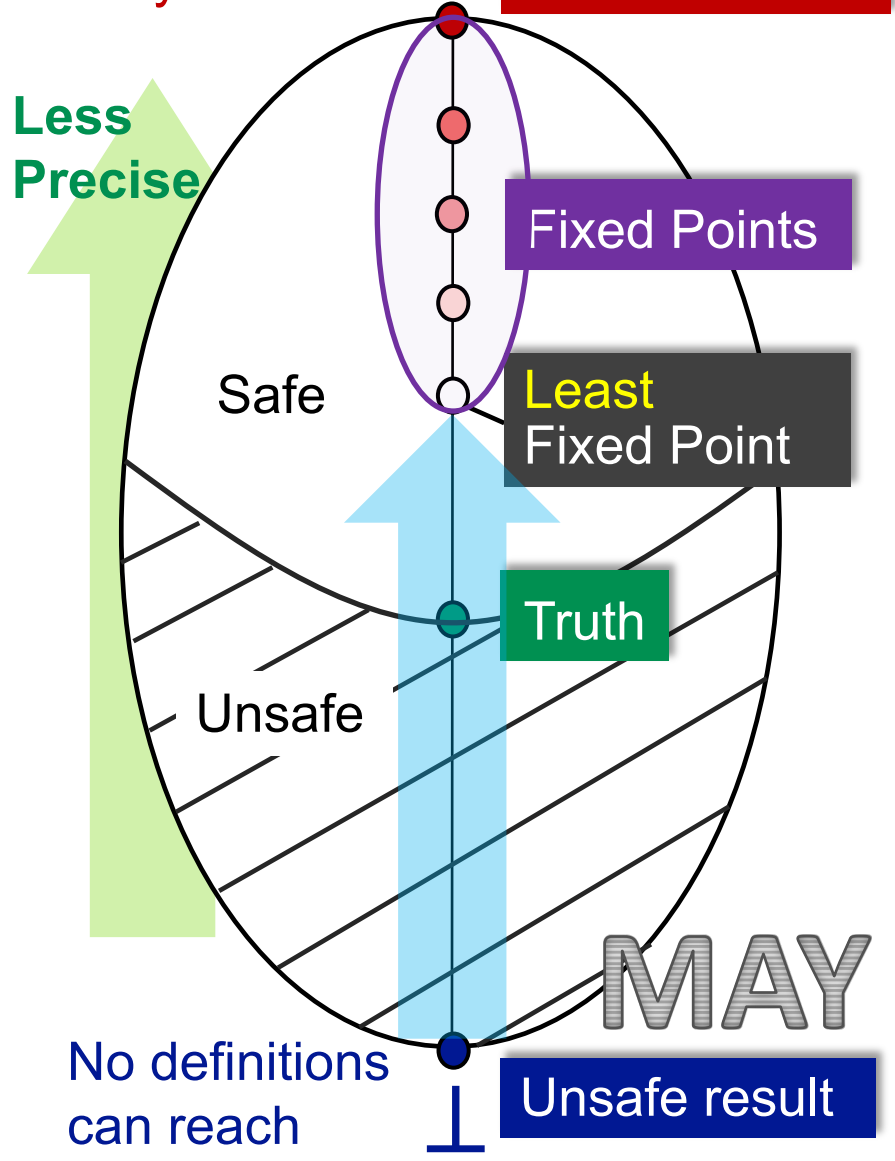
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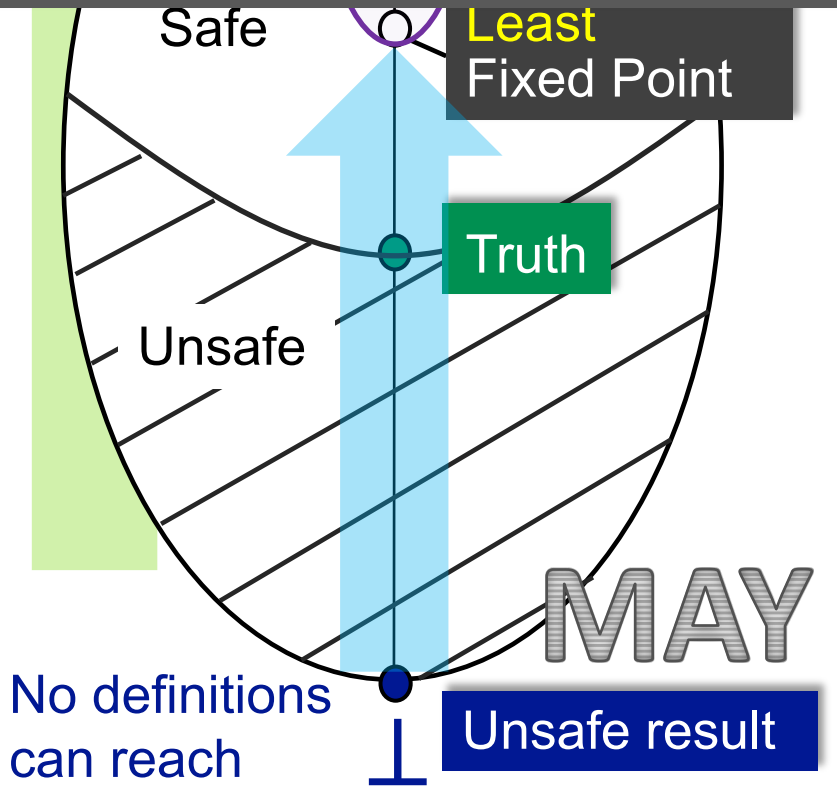
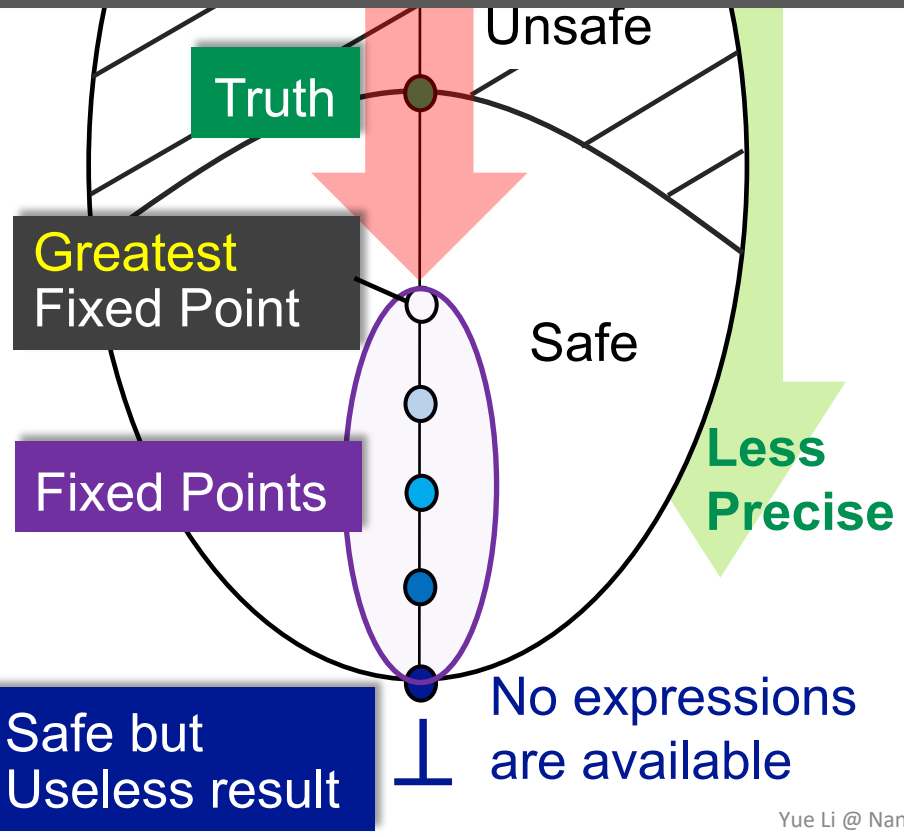
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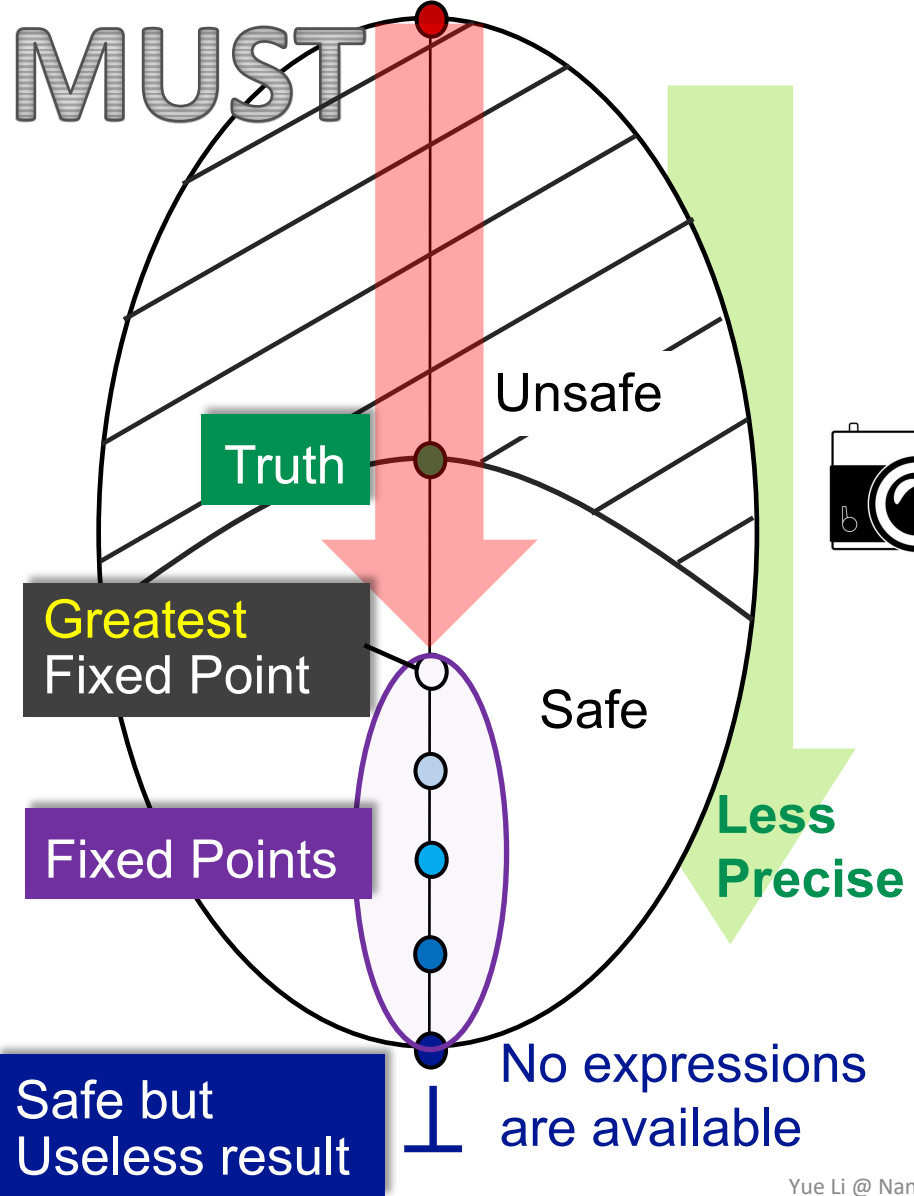
Another view to explain greatest/least fixed point? ("minimal step" by meet/join)



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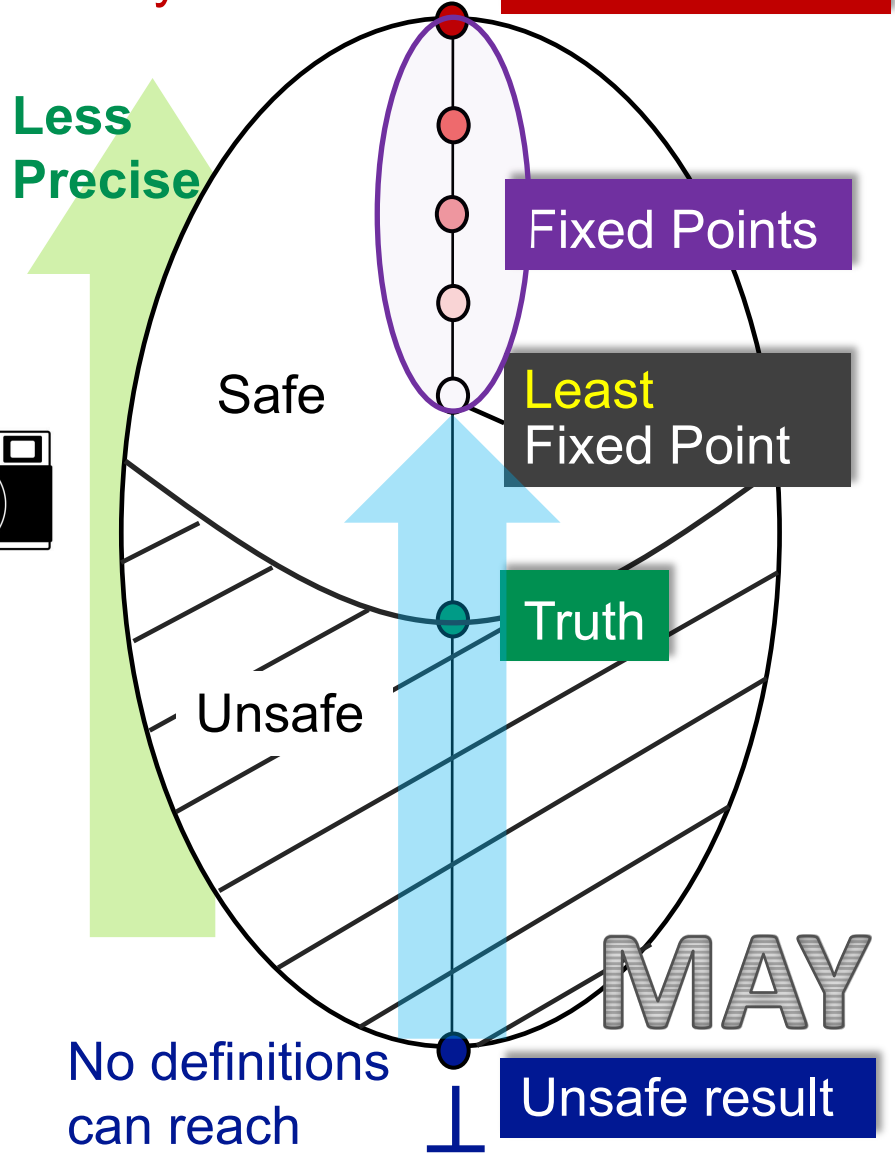
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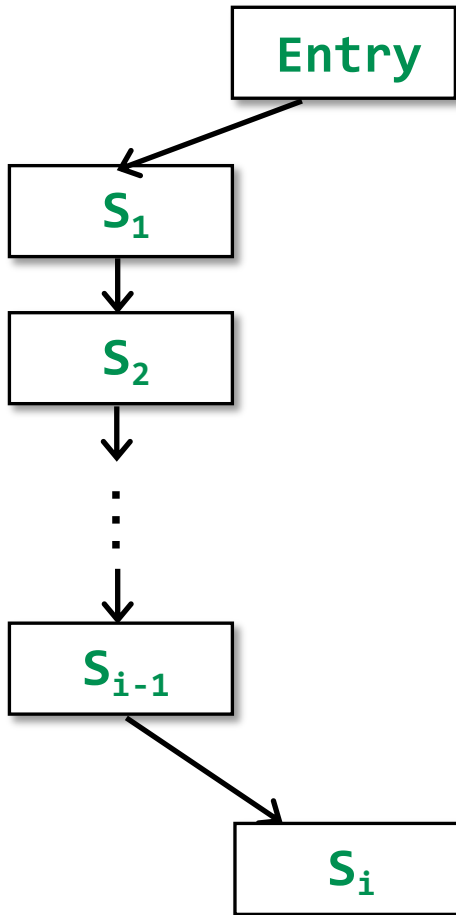
How Precise Is Our Solution?

- Meet-Over-All-Paths Solution (MOP)

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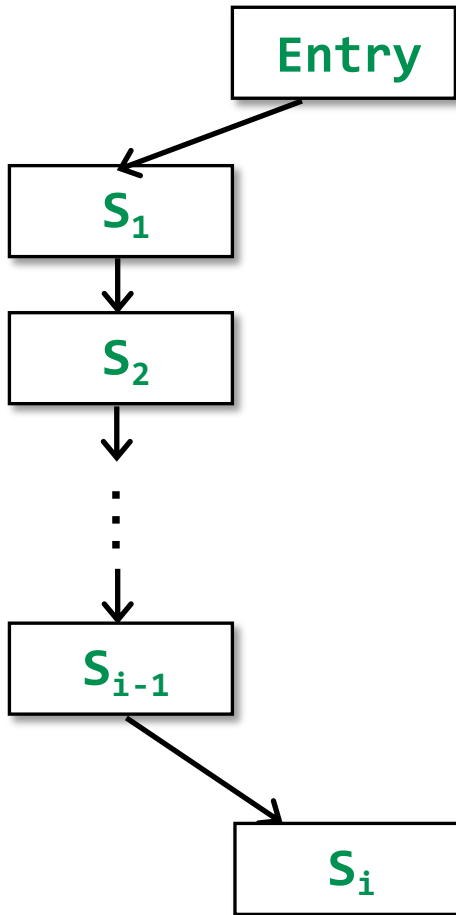
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$$P = \text{Entry} \rightarrow s_1 \rightarrow s_2 \rightarrow \dots \rightarrow s_i$$



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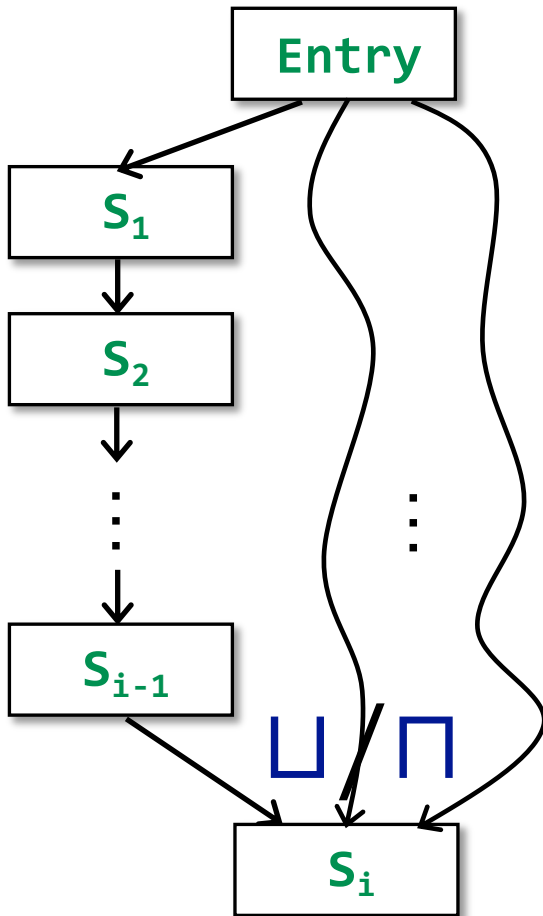


$$P = \text{Entry} \rightarrow S_1 \rightarrow S_2 \rightarrow \dots \rightarrow S_i$$

Transfer function F_P for a path P (from Entry to S_i) is a composition of transfer functions for all statements on that path: $f_{S_1}, f_{S_2}, \dots, f_{S_{i-1}}$

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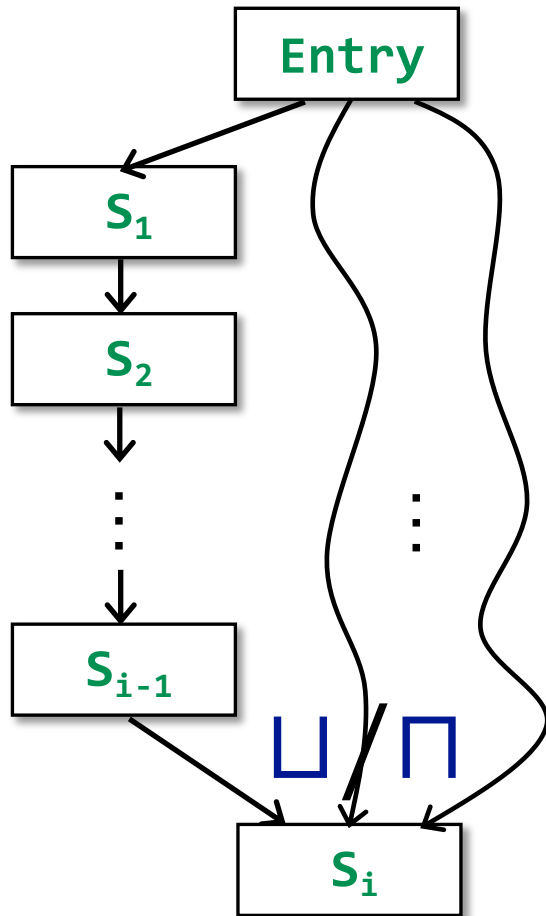
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$$\text{MOP}[s_i] = \bigcup / \bigcap F_P(\text{OUT}[\text{Entry}])$$

A path P from Entry to S_i

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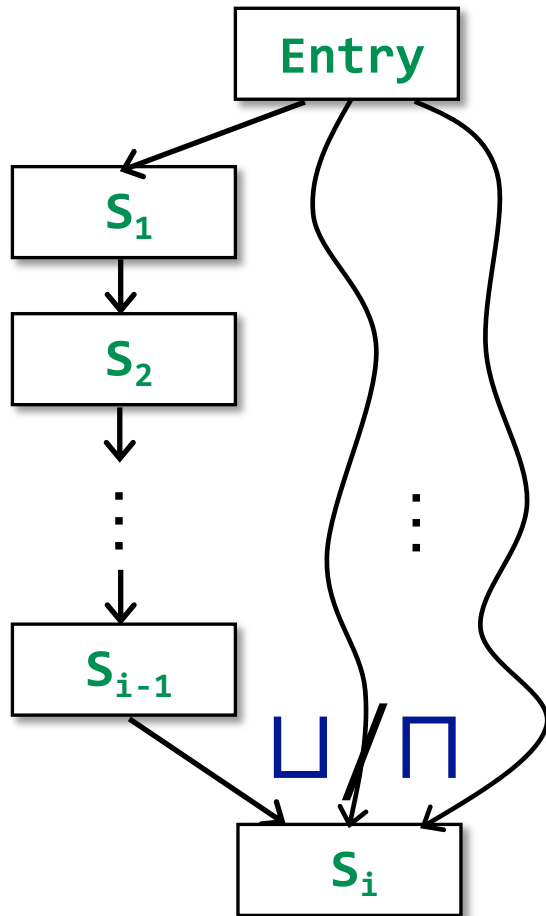
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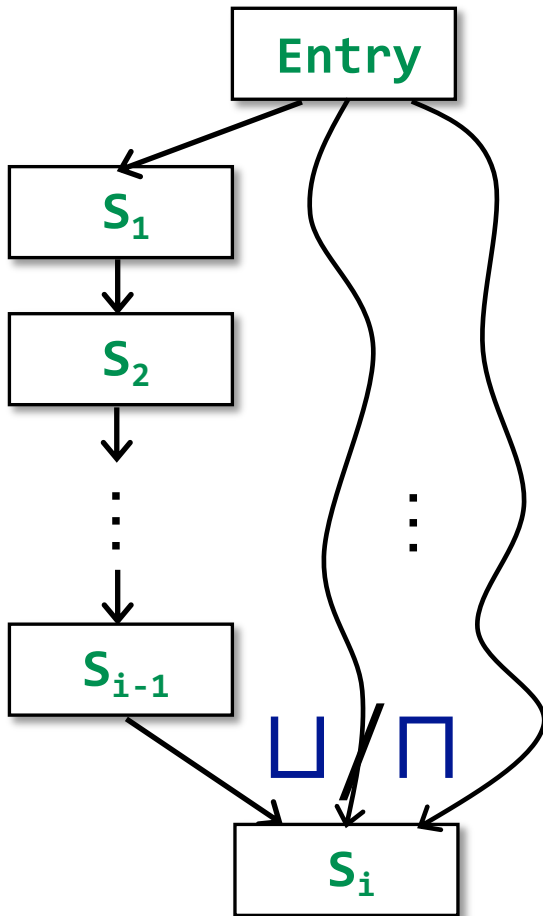
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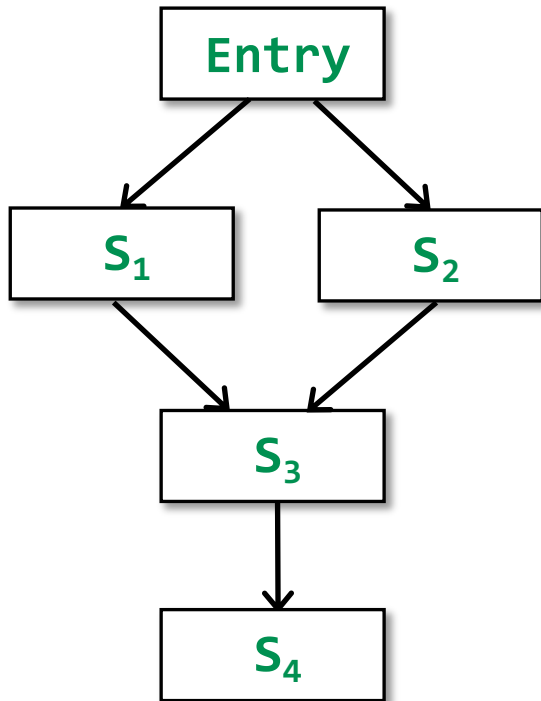
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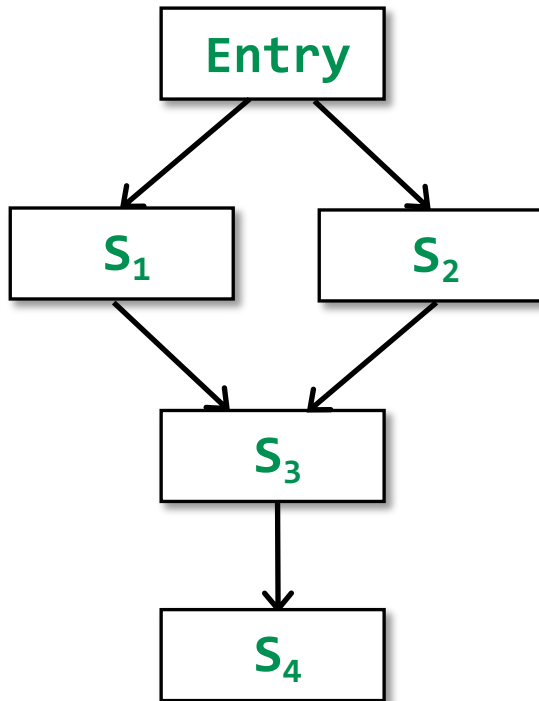
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Ours (Iterative Algorithm) vs. MOP

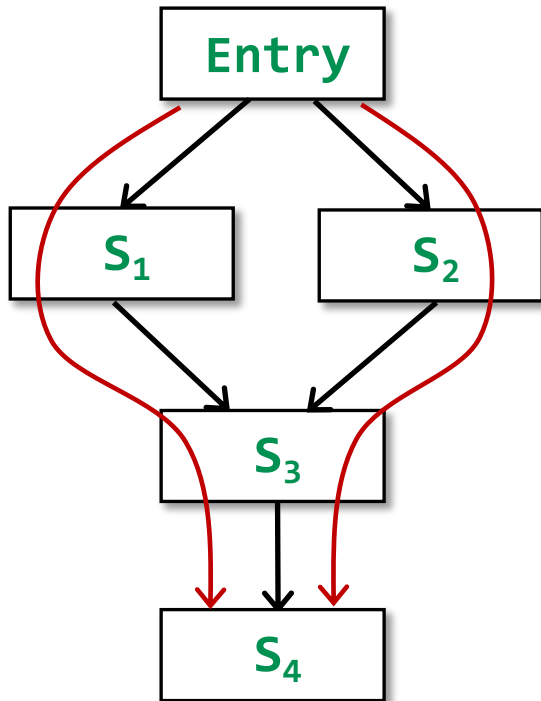


Ours (Iterative Algorithm) vs. MOP



$$\text{IN}[S_4] = f_{S_3} (f_{S_1} (\text{OUT}[\text{Entry}]) \sqcup f_{S_2} (\text{OUT}[\text{Entry}]))$$

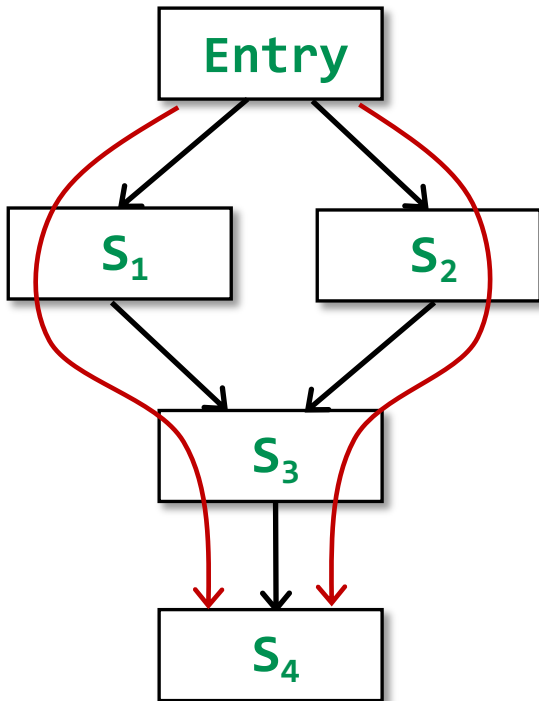
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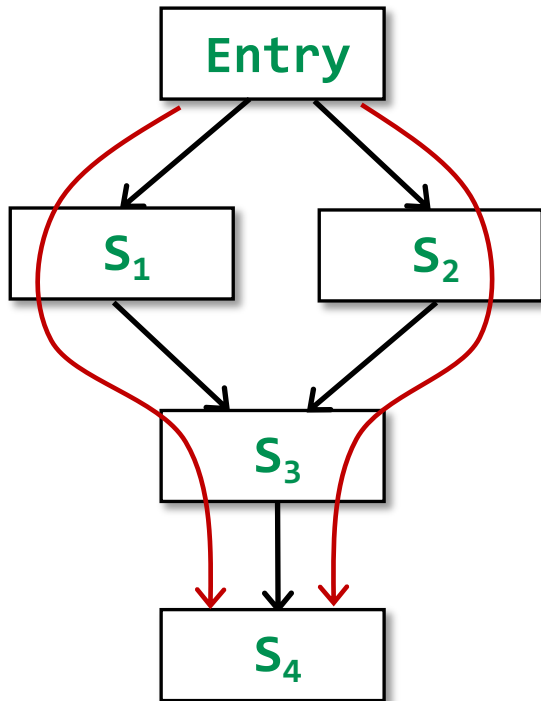
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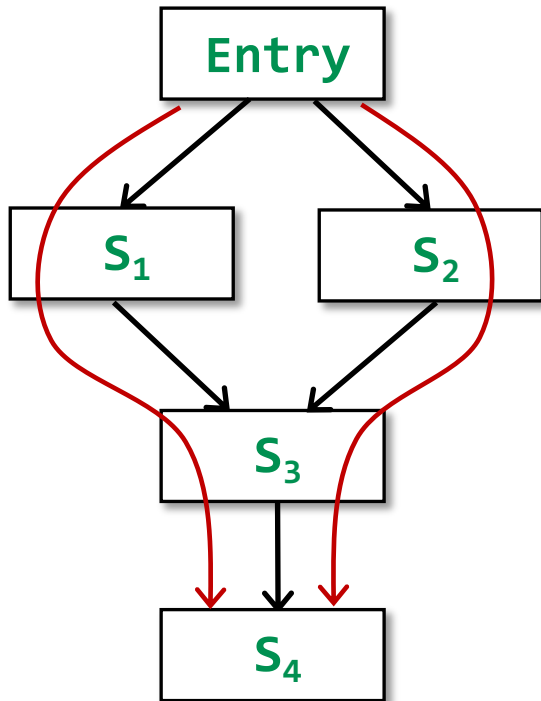
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Bit-vector or Gen/Kill problems (set union /intersection for join/meet) are distributive

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Bit-vector or Gen/Kill problem
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But some analyses are not distributive
set union is distributive

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A data flow analysis framework (D, L, F) consists of:

- **D**: a **direction** of data flow: forwards or backwards
- **L**: a **lattice** including domain of the values V and a meet \sqcap or join \sqcup operator
- **F**: a family of **transfer functions** from V to V

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- **L**: a **lattice** including domain of the values V and a meet \sqcap or join \sqcup operator
- **F**: a family of **transfer functions** from V to V

Constant Propagation

Given a variable x at program point p , determine whether x is guaranteed to hold a constant value at p .

- The OUT of each node in CFG, includes a set of pairs (x, v) where x is a variable and v is the value held by x after that node

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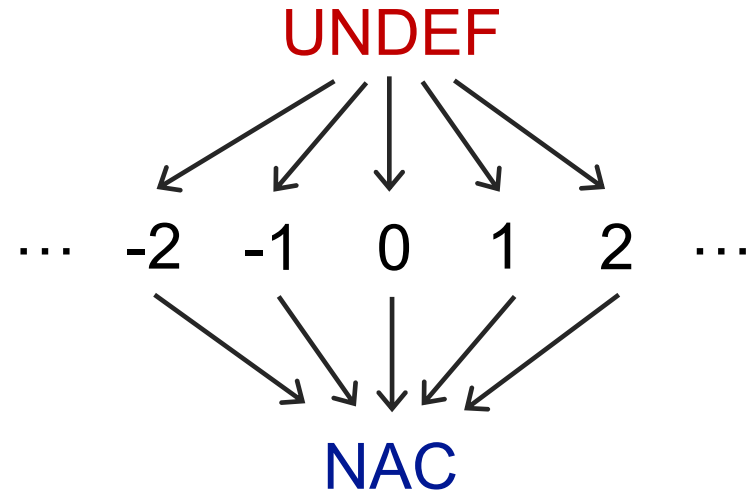
Constant Propagation – Lattice

- Domain of the values V

- Meet Operator \sqcap

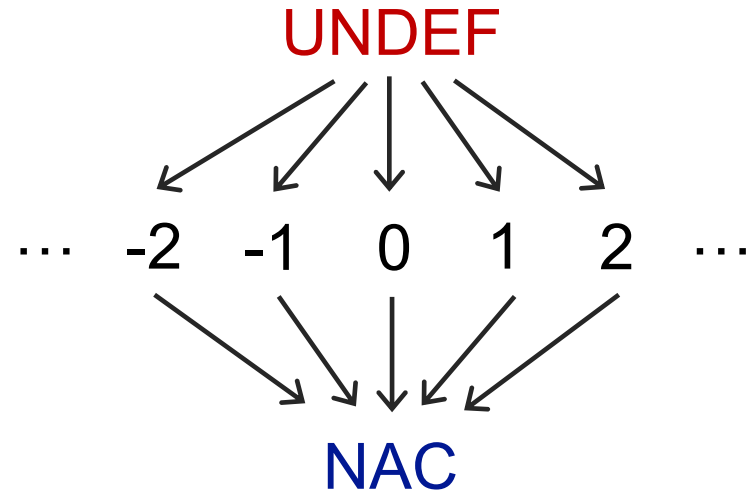
Constant Propagation – Lattice

- Domain of the values V
- Meet Operator Π



Constant Propagation – Lattice

- Domain of the values V

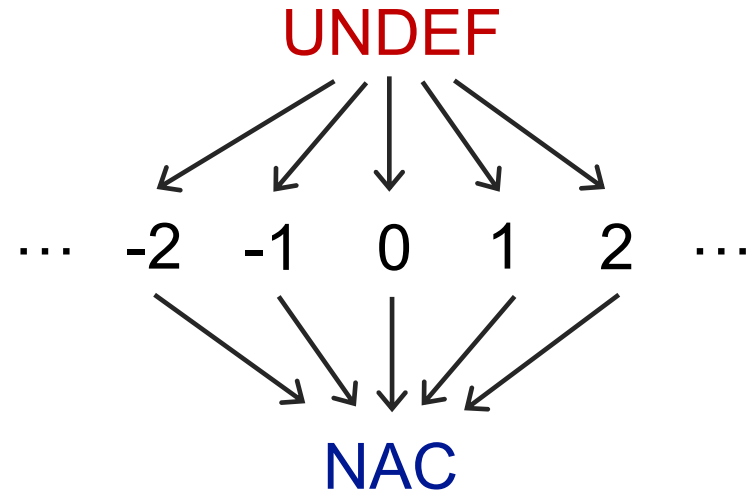


- Meet Operator \sqcap

$$\text{NAC} \sqcap v = \text{NAC}$$

Constant Propagation – Lattice

- Domain of the values V



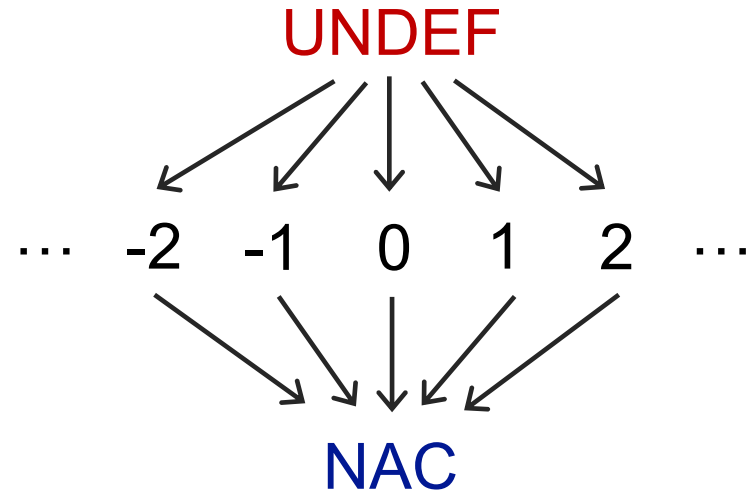
- Meet Operator \sqcap

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$$\mathbf{UNDEF} \sqcap v = v$$

Constant Propagation – Lattice

- Domain of the values V



- Meet Operator \sqcap

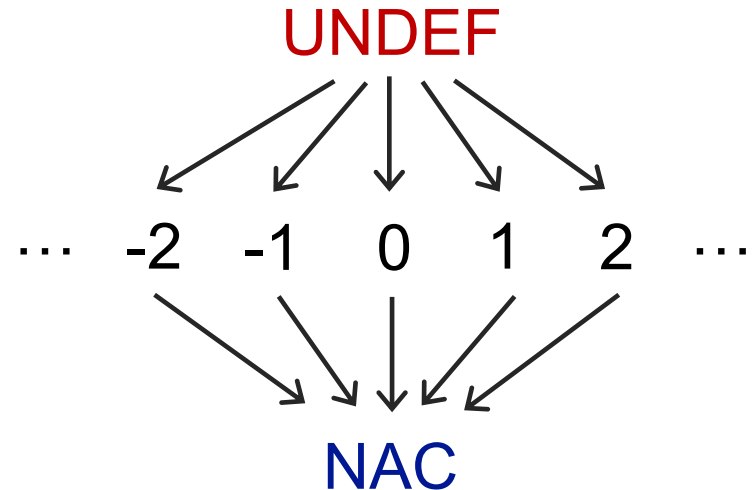
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Uninitialized variables are not the focus in our constant propagation analysis

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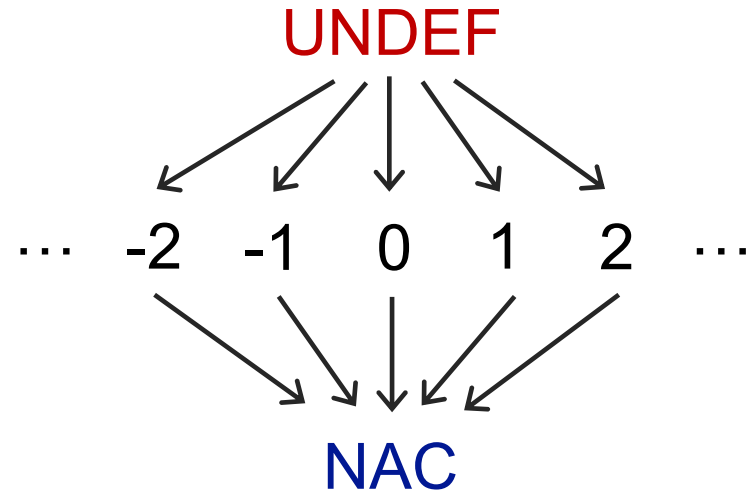
$$\text{UNDEF} \sqcap v = v$$

$$c \sqcap v = ?$$

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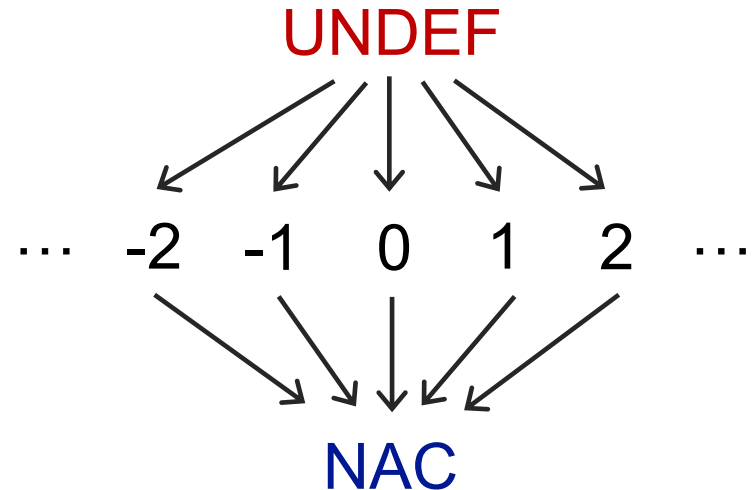
$$c \sqcap v = ?$$

$$- c \sqcap c = c$$

$$- c_1 \sqcap c_2 = \text{NAC}$$

Constant Propagation – Lattice

- Domain of the values V



- Meet Operator \sqcap

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$$- c_1 \sqcap c_2 = \text{NAC}$$

Uninitialized variables are not the focus in our constant propagation analysis

At each path confluence PC, we should apply “meet” for all variables in the incoming data-flow values at that PC

Constant Propagation – Transfer Function

Given a statement **s**: $x = \dots$, we define its transfer function **F** as

$$F: \text{OUT}[s] = \text{gen} \cup (\text{IN}[s] - \{(x, _)\})$$

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- **s**: $x = y$;

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- **s**: $x = y \text{ op } z$; $\text{gen} = \{(x, f(y,z))\}$

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 - $s: x = y;$ $\text{gen} = \{(x, \text{val}(y))\}$
 - $s: x = y \text{ op } z;$ $\text{gen} = \{(x, f(y,z))\}$
- $f(y,z) = \begin{cases} \text{val}(y) \text{ op } \text{val}(z) & // \text{ if } \text{val}(y) \text{ and } \text{val}(z) \text{ are constants} \\ \text{NAC} & // \text{ if } \text{val}(y) \text{ or } \text{val}(z) \text{ is NAC} \\ \text{UNDEF} & // \text{ otherwise} \end{cases}$

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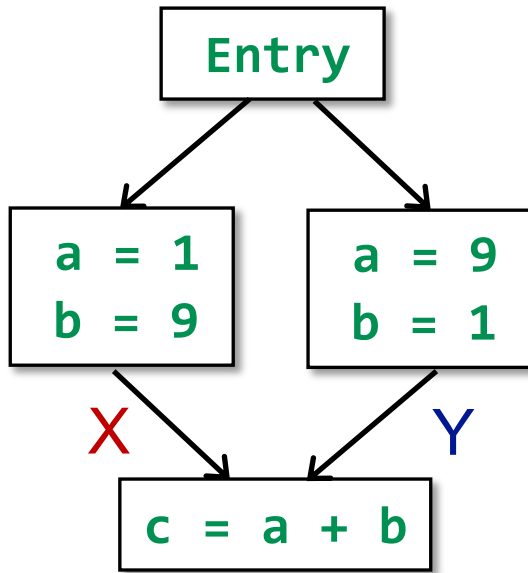
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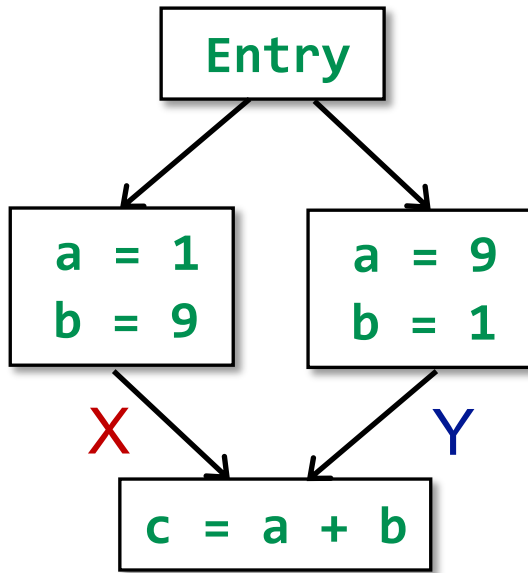
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(if **s** is not an assignment statement, **F** is the identity function)

Constant Propagation – Nondistributivity



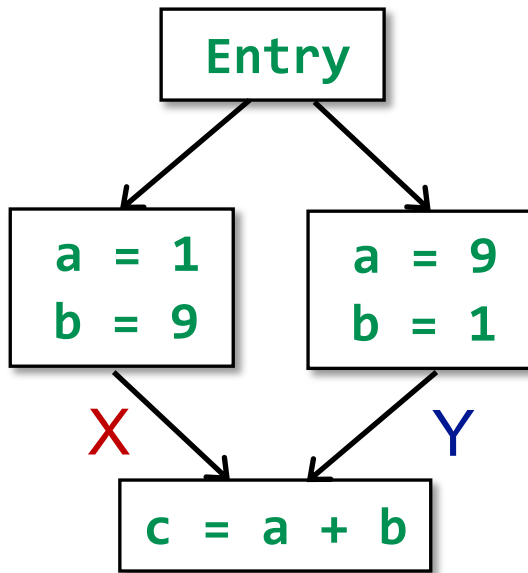
Constant Propagation – Nondistributivity



$$F(X \sqcap Y) =$$

$$F(X) \sqcap F(Y) =$$

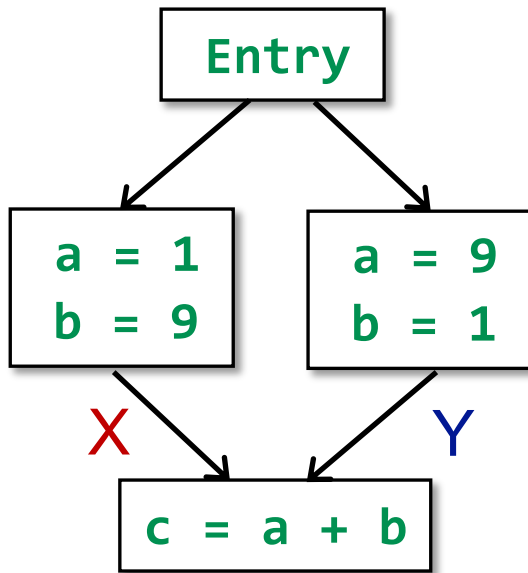
Constant Propagation – Nondistributivity



$$F(X \sqcap Y) = \{(a, \text{NAC}), (b, \text{NAC}), (c, \text{NAC})\}$$

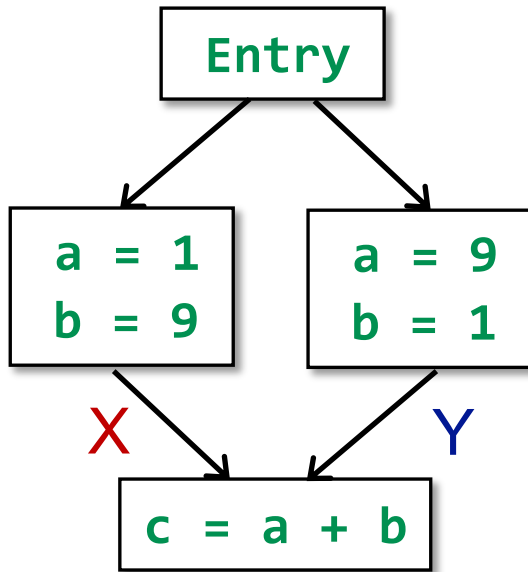
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Constant Propagation – Nondistributivity



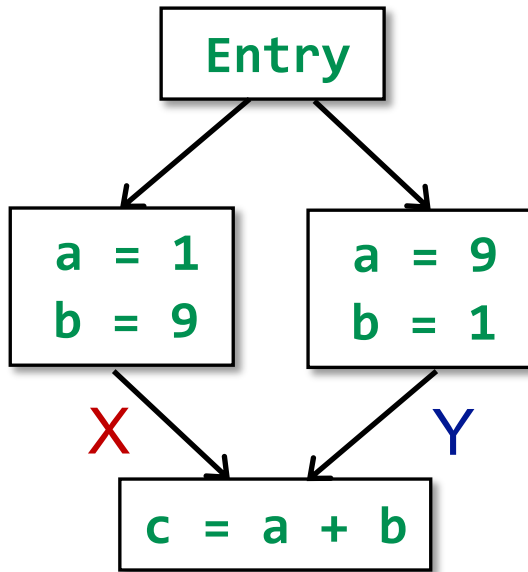
$$F(X \sqcap Y) = \{(a, \text{NAC}), (b, \text{NAC}), (c, \text{NAC})\}$$
$$F(X) \sqcap F(Y) = \{(a, \text{NAC}), (b, \text{NAC}), (c, 10)\}$$

Constant Propagation – Nondistributivity



$$F(X \sqcap Y) = \{(a, \text{NAC}), (b, \text{NAC}), (c, \text{NAC})\}$$
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$$F(X \sqcap Y) \neq F(X) \sqcap F(Y)$$

Constant Propagation – Nondistributivity



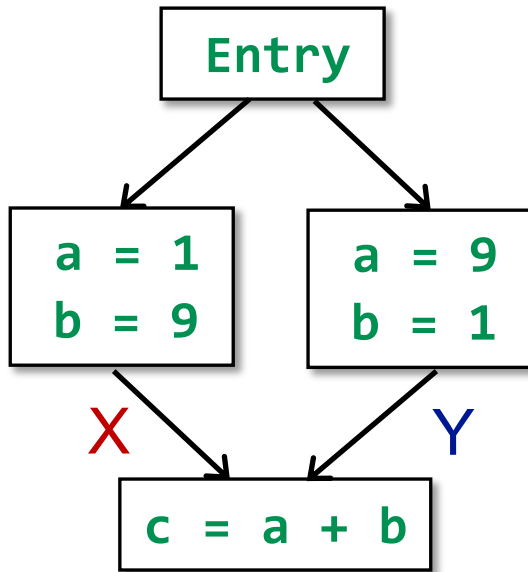
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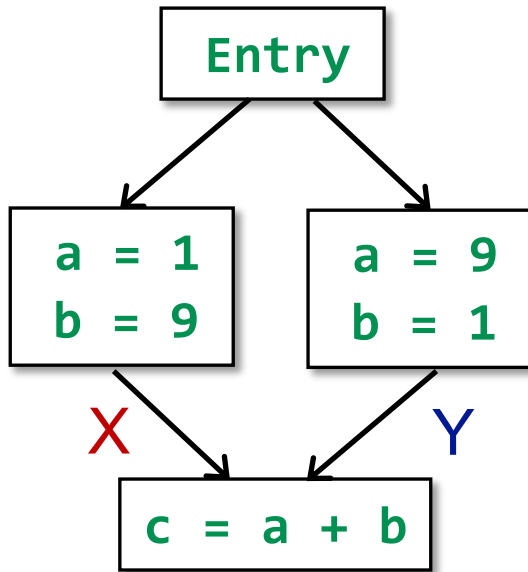
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Show our constant propagation analysis is monotonic

Constant Propagation – Nondistributivity



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Assignment One: Constant Propagation

Worklist Algorithm,

an optimization of Iterative Algorithm

Review Iterative Algorithm for May & Forward Analysis

INPUT: CFG ($kill_B$ and gen_B computed for each basic block B)

OUTPUT: $IN[B]$ and $OUT[B]$ for each basic block B

METHOD:

```
OUT[entry] =  $\emptyset$ ;  
for (each basic block  $B \setminus entry$ )  
    OUT[B] =  $\emptyset$ ;  
while (changes to any OUT occur)  
    for (each basic block  $B \setminus entry$ ) {  
        IN[B] =  $\bigsqcup_{P \text{ a predecessor of } B} OUT[P]$ ;  
        OUT[B] =  $gen_B \cup (IN[B] - kill_B)$ ;  
    }
```

Worklist Algorithm

Forward Analysis

$OUT[entry] = \emptyset;$

for (each basic block $B \setminus entry$)

$OUT[B] = \emptyset;$

Worklist \leftarrow all basic blocks

while (**Worklist** is not empty)

Pick a basic block B from **Worklist**

$old_OUT = OUT[B]$

$IN[B] = \bigsqcup_{P \text{ a predecessor of } B} OUT[P];$

$OUT[B] = gen_B \cup (IN[B] - kill_B);$

if ($old_OUT \neq OUT[B]$)

Add all successors of B to **Worklist**

Worklist Algorithm

Forward Analysis

$OUT[entry] = \emptyset;$

for (each basic block $B \setminus entry$)

$OUT[B] = \emptyset;$

Worklist \leftarrow all basic blocks

while (**Worklist** is not empty)

Pick a basic block B from **Worklist**

old_OUT = $OUT[B]$

$IN[B] = \bigsqcup_{P \text{ a predecessor of } B} OUT[P];$

$OUT[B] = gen_B \cup (IN[B] - kill_B);$

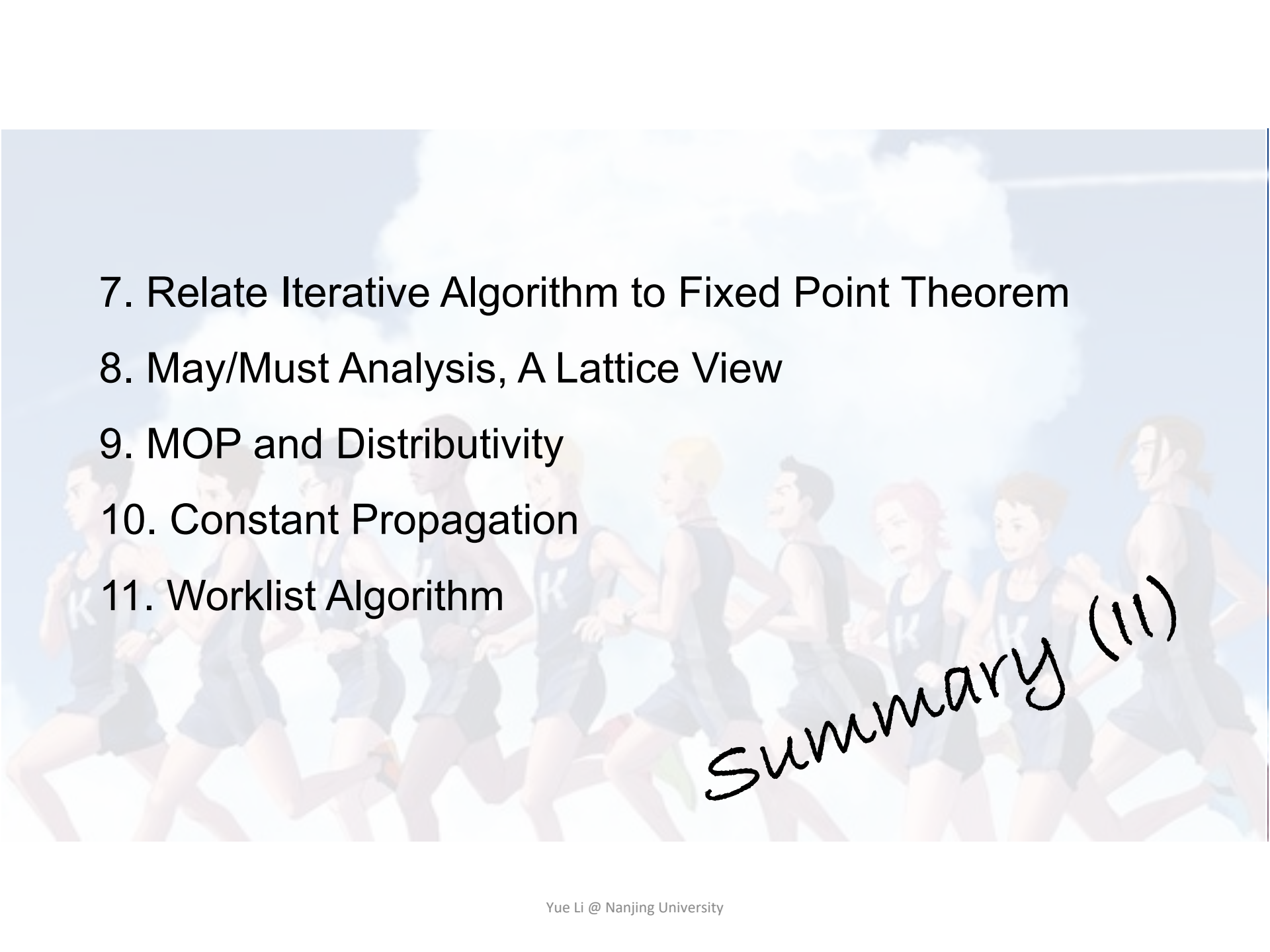
if (old_OUT \neq $OUT[B]$)

Add all successors of B to **Worklist**

OUT will not change if IN does not change

Summary (1)

1. Iterative Algorithm, Another View
2. Partial Order
3. Upper and Lower Bounds
4. Lattice, Semilattice, Complete and Product Lattice
5. Data Flow Analysis Framework via Lattice
6. Monotonicity and Fixed Point Theorem

- 
7. Relate Iterative Algorithm to Fixed Point Theorem
 8. May/Must Analysis, A Lattice View
 9. MOP and Distributivity
 10. Constant Propagation
 11. Worklist Algorithm

Summary (II)

The X You Need To Understand in This Lecture

- Understand the functional view of iterative algorithm
- The definitions of lattice and complete lattice
- Understand the fixed-point theorem
- How to summarize may and must analyses in lattices
- The relation between MOP and the solution produced by the iterative algorithm
- Constant propagation analysis
- Worklist algorithm

注意注意!
划重点了!



软件分析

南京大学

计算机科学与技术系

程序设计语言与

静态分析研究组

李棣 谭添