

软件分析

南京大学

计算机科学与技术系

程序设计语言与

静态分析研究组

李棣 谭添

Static Program Analysis

Intermediate Representation

Nanjing University

Yue Li

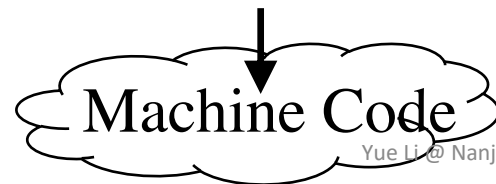
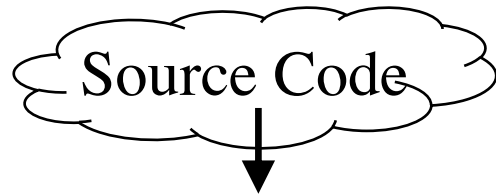
Fall 2021



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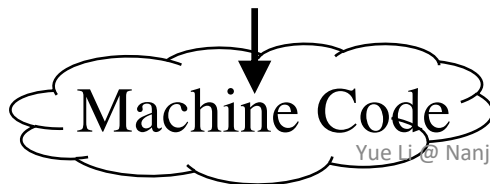
Compiler



Compiler



Tokens



Lexical Analysis

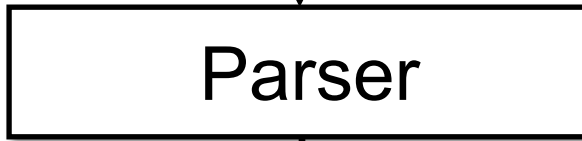
Regular Expression

You ʘ goouojd

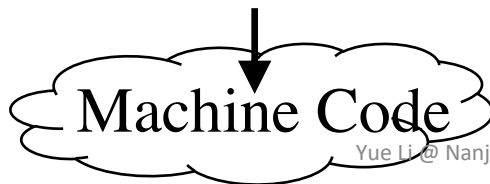
Compiler



Tokens



AST



Lexical Analysis

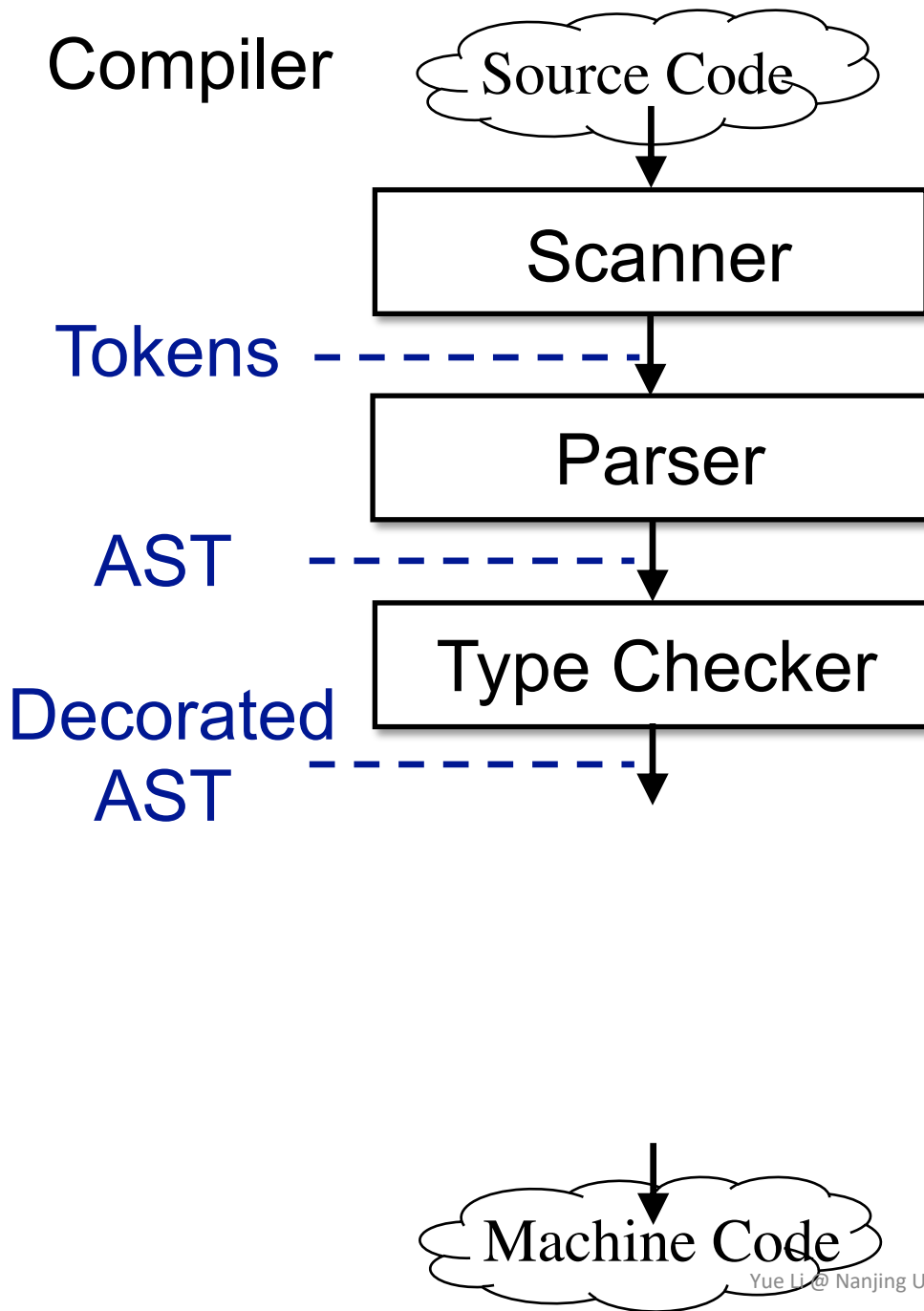
You ʘ goouojd

Syntax Analysis

Like your hair I

Regular Expression

Context-Free Grammar



Lexical Analysis

You ʘ goouojd

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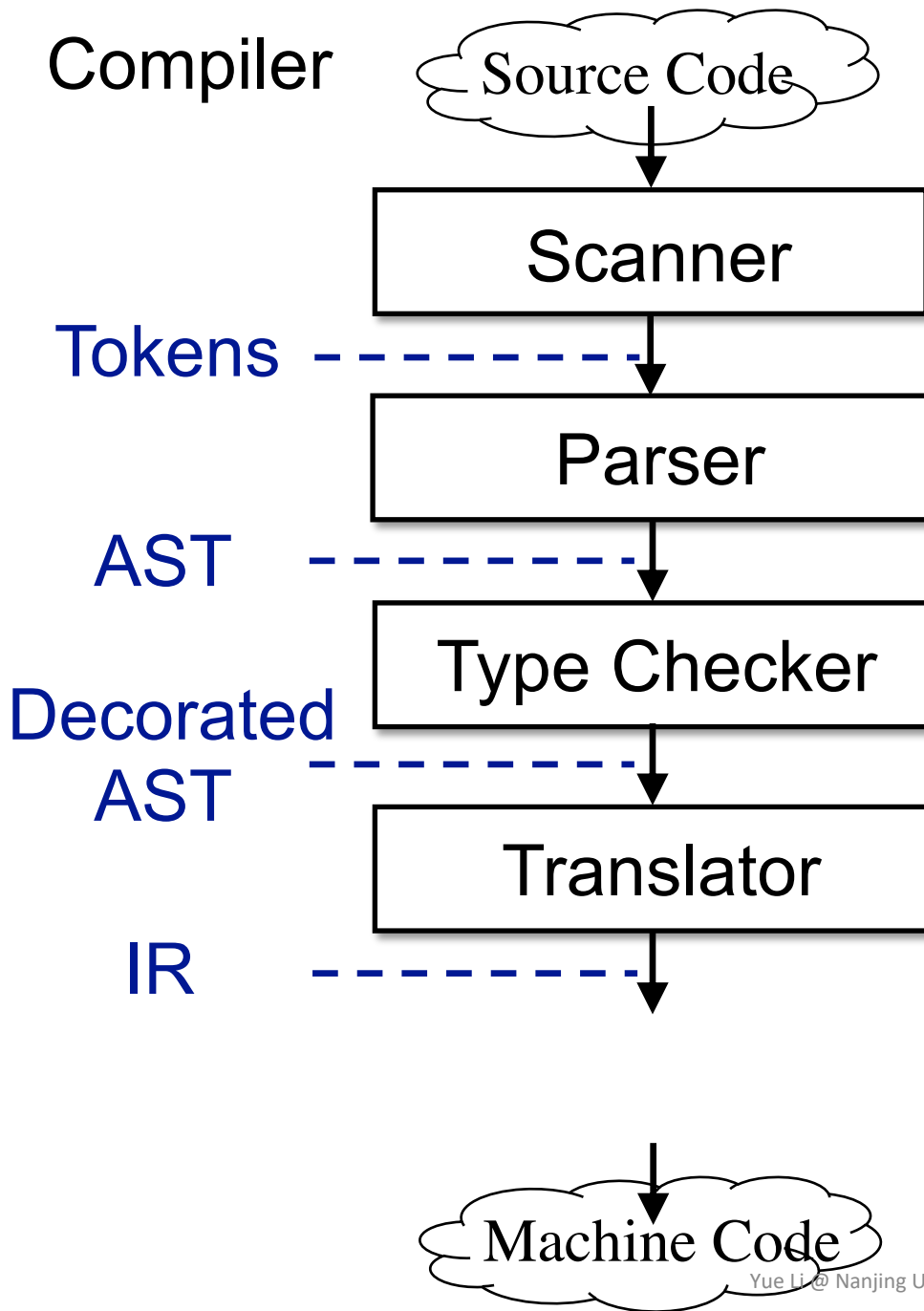
Like your hair I

Context-Free Grammar

Semantic Analysis

Apples eat you

Attribute Grammar



Lexical Analysis

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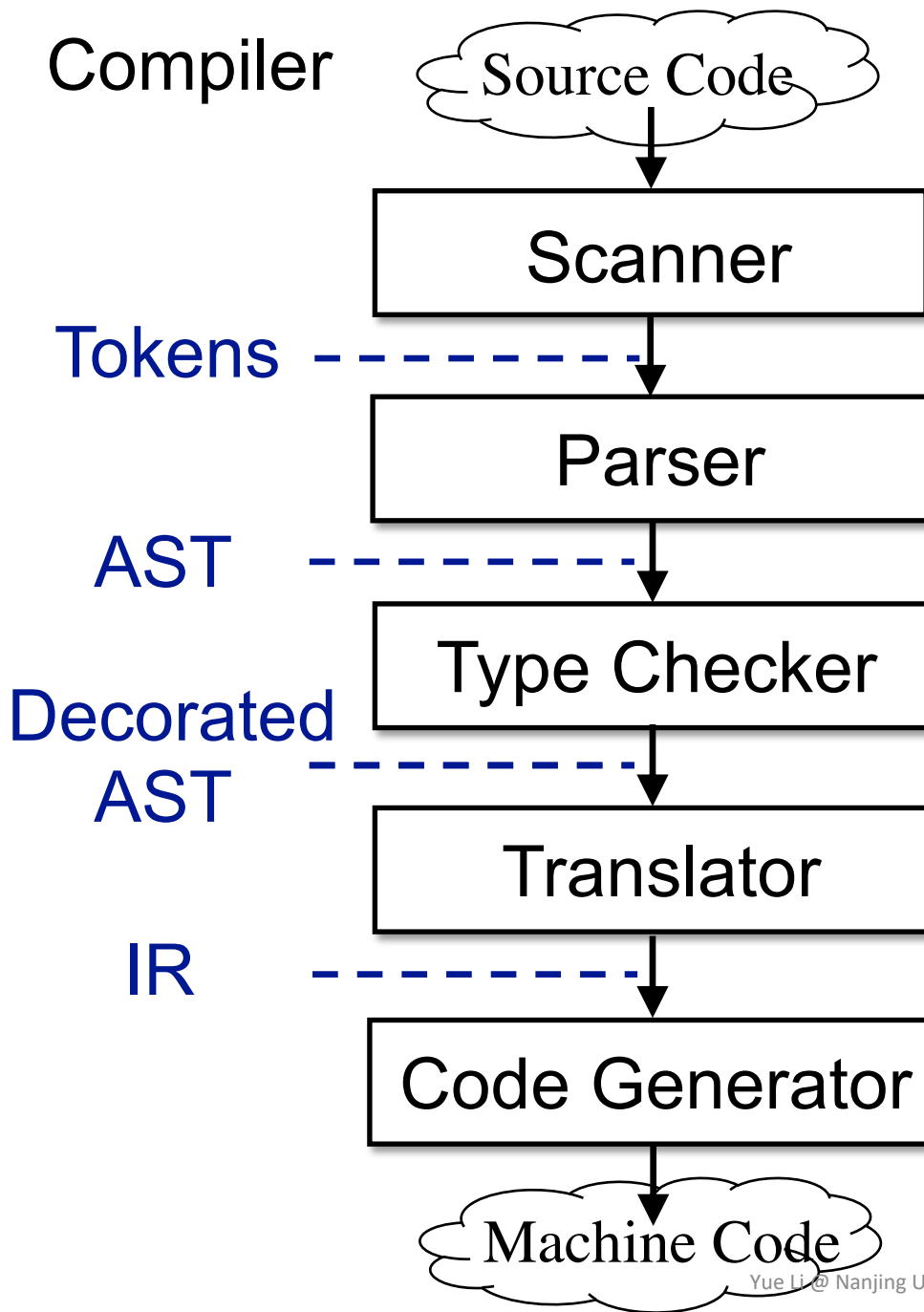
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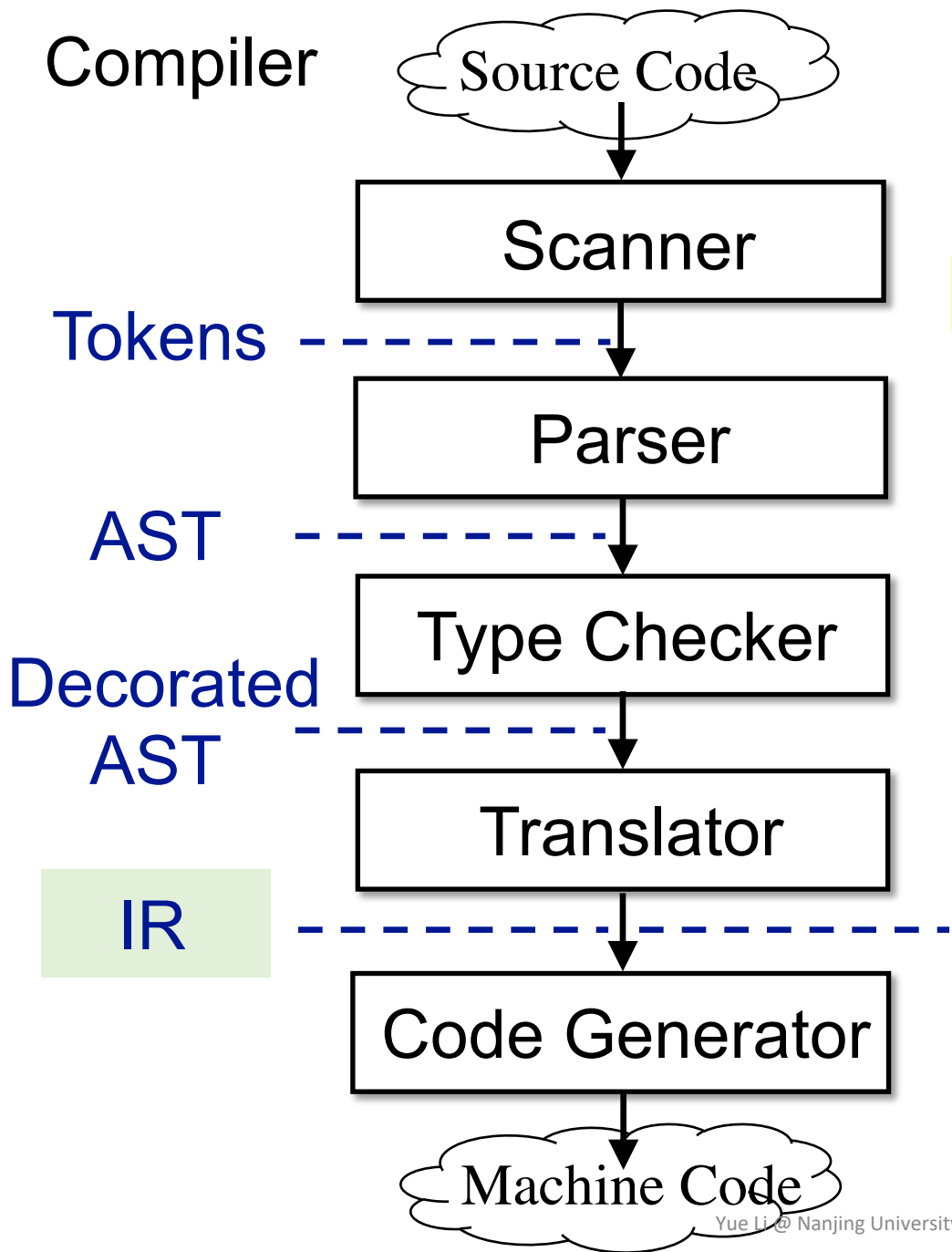
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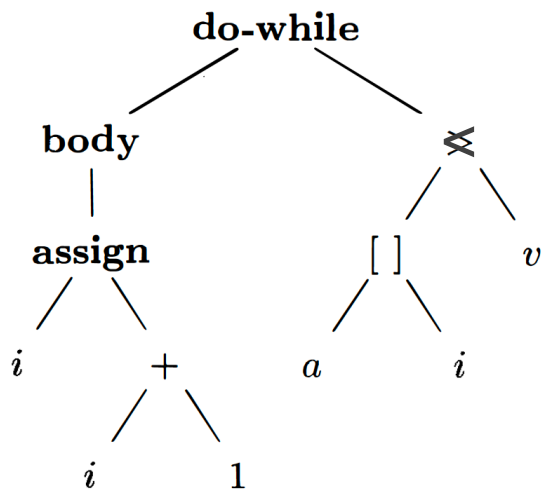
Apples eat you

Static Analysis

e.g., code optimization

AST vs. IR

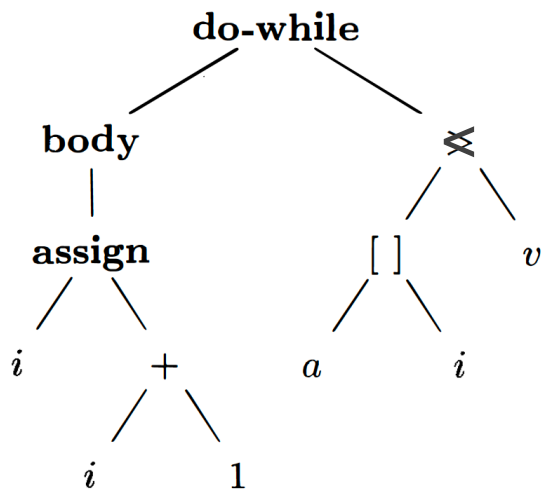
AST



`do i = i + 1; while (a[i] < v);`

AST vs. IR

AST



`do i = i + 1; while (a[i] < v);`

IR

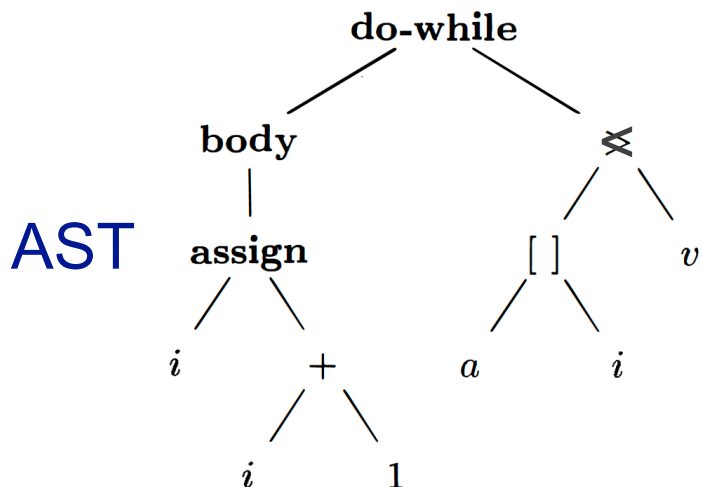
```
1: i = i + 1
2: t1 = a [ i ]
3: if t1 < v goto 1
```

(“3-address” form)

AST vs. IR

- AST**
- high-level and closed to grammar structure
 - usually language dependent
 - suitable for fast type checking
 - lack of control flow information

- IR**
- low-level and closed to machine code
 - usually language independent
 - compact and uniform
 - contains control flow information
 - usually considered as the basis for static analysis



`“do i = i + 1; while (a[i] < v);”`

IR

- 1: `i = i + 1`
- 2: `t1 = a [i]`
- 3: `if t1 < v goto 1`

(“3-address” form)

Intermediate Representation (IR)

- 3-Address Code (3AC)

There is at most one operator on the right side of an instruction.

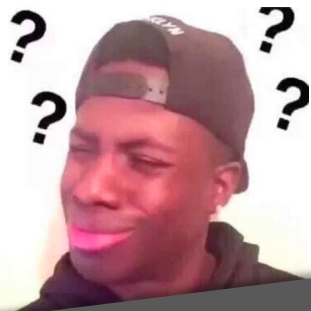
$t2 = a + b + 3 \quad \Rightarrow \quad \begin{array}{l} t1 = a + b \\ t2 = t1 + 3 \end{array}$

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Why called 3-address?

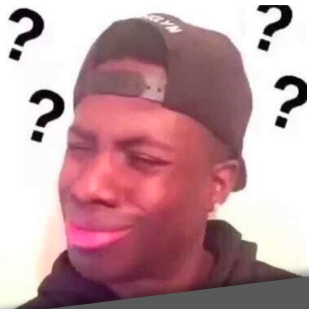
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Each 3AC contains at most 3 addresses



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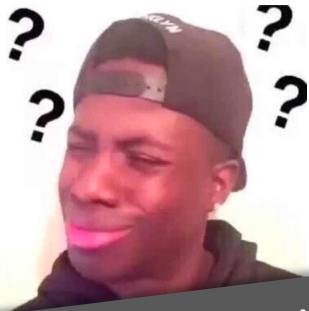
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Why called 3-address?

Address can be one of the following:

- Name: a, b
- Constant: 3
- Compiler-generated temporary: t1, t2

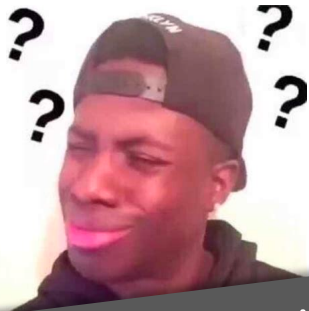
Intermediate Representation (IR)

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There is at most one operator on the right side of an instruction.

$t2 = a + b + 3$ \rightarrow $t1 = a + b$
 $t2 = t1 + 3$

Each 3AC contains at most 3 addresses



Why called 3-address?

Address can be one of the following:

- Name: a, b
- Constant: 3
- Compiler-generated temporary: t1, t2

Each type of instructions has its own 3AC form

Some Common 3AC Forms

- $x = y \text{ *bop* } z$
- $x = \text{*uop*} y$
- $x = y$
- `goto L`
- `if x goto L`
- `if x rop y goto L`

x, y, z : addresses

bop: binary arithmetic or logical operation

uop: unary operation (minus, negation, casting)

L: a label to represent a program location

rop: relational operator ($>$, $<$, $==$, $>=$, $<=$, etc.)

`goto L`: unconditional jump

`if ... goto L`: conditional jump

Some Common 3AC Forms

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`goto L`: unconditional jump

`if ... goto L`: conditional jump

Let's see some more real-world complicated forms

Soot and Its IR: Jimple

- Soot

Most popular static analysis framework for Java

<https://github.com/Sable/soot>

<https://github.com/Sable/soot/wiki/Tutorials>

Soot's IR is Jimple: typed 3-address code

```
package nju.sa.examples;
public class DoWhileLoop3AC {
    public static void main(String[] args) {
        int[] arr = new int[10];
        int i = 0;
        do {
            i = i + 1;
        } while (arr[i] < 10);
    }
}
```

Java Src

Do-While Loop

```

package nju.sa.examples;
public class DoWhileLoop3AC {
    public static void main(String[] args) {
        int[] arr = new int[10];
        int i = 0;
        do {
            i = i + 1;
        } while (arr[i] < 10);
    }
}

```

Java Src

Do-While Loop

```

public static void main(java.lang.String[])
{
    java.lang.String[] r0;
    int[] r1;
    int $i0, i1;

    r0 := @parameter0: java.lang.String[];

    r1 = newarray (int)[10];

    i1 = 0;

label1:
    i1 = i1 + 1;

    $i0 = r1[i1];

    if $i0 < 10 goto label1;

    return;
}

```

3AC (jimple)

```
package nju.sa.examples;
public class MethodCall3AC {

    String foo(String para1, String para2) {
        return para1 + " " + para2;
    }

    public static void main(String[] args) {
        MethodCall3AC mc = new MethodCall3AC();
        String result = mc.foo("hello", "world");
    }
}
```

Java Src

Method Call


```
java.lang.String foo(java.lang.String, java.lang.String
```

```
{  
    nju.sa.examples.MethodCall3AC r0;  
    java.lang.String r1, r2, $r7;  
    java.lang.StringBuilder $r3, $r4, $r5, $r6;
```

```
    r0 := @this: nju.sa.examples.MethodCall3AC;
```

```
    r1 := @parameter0: java.lang.String;
```

```
    r2 := @parameter1: java.lang.String;
```

```
    $r3 = new java.lang.StringBuilder;
```

```
    specialinvoke $r3.<java.lang.StringBuilder: void <init>()>();
```

```
    $r4 = virtualinvoke $r3.<java.lang.StringBuilder: java.lang.StringBuilder append(java.lang.String)>(r1);
```

```
    $r5 = virtualinvoke $r4.<java.lang.StringBuilder: java.lang.StringBuilder append(java.lang.String)>(" ");
```

```
    $r6 = virtualinvoke $r5.<java.lang.StringBuilder: java.lang.StringBuilder append(java.lang.String)>(r2);
```

```
    $r7 = virtualinvoke $r6.<java.lang.StringBuilder: java.lang.String toString()>();
```

```
    return $r7;
```

```
}
```

```
package nju.sa.examples;  
public class MethodCall3AC {
```

```
    String foo(String para1, String para2) {  
        return para1 + " " + para2;  
    }
```

```
    public static void main(String[] args) {  
        MethodCall3AC mc = new MethodCall3AC();  
        String result = mc.foo("hello", "world");  
    }
```

```
}
```

Java Src



```

package nju.sa.examples;
public class MethodCall3AC {

    String foo(String para1, String para2) {
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        String result = mc.foo("hello", "world");
    }
}

```

Java Src



```

public static void main(java.lang.String[])

```

```

{
    java.lang.String[] r0;
    nju.sa.examples.MethodCall3AC $r3;

    r0 := @parameter0: java.lang.String[];

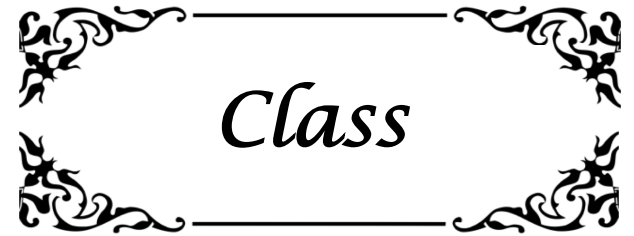
    $r3 = new nju.sa.examples.MethodCall3AC;

    specialinvoke $r3.<nju.sa.examples.MethodCall3AC: void <init>()>();

    virtualinvoke $r3.<nju.sa.examples.MethodCall3AC:
        java.lang.String foo(java.lang.String,java.lang.String)>("hello", "world");

    return;
}

```



Class

```
package nju.sa.examples;  
public class Class3AC {  
  
    public static final double pi = 3.14;  
    public static void main(String[] args) {  
  
    }  
}
```

Java Src

```

public class nju.sa.examples.Class3AC extends java.lang.Object
{
    public static final double pi;

    public void <init>()
    {
        nju.sa.examples.Class3AC r0;

        r0 := @this: nju.sa.examples.Class3AC;

        specialinvoke r0.<java.lang.Object: void <init>()>();

        return;
    }

    public static void main(java.lang.String[])
    {
        java.lang.String[] r0;

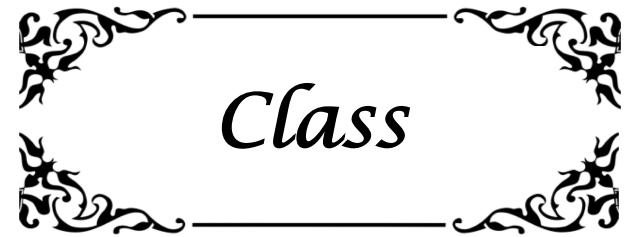
        r0 := @parameter0: java.lang.String[];

        return;
    }

    public static void <clinit>()
    {
        <nju.sa.examples.Class3AC: double pi> = 3.14;

        return;
    }
}

```



```

package nju.sa.examples;
public class Class3AC {

    public static final double pi = 3.14;
    public static void main(String[] args) {

    }

}

```

Java Src

3AC (jimple)

Yue Li @ Nanjing University

Static Single Assignment (SSA)

Optional material

- All assignments in SSA are to variables with distinct names
 - Give each definition a fresh name
 - Propagate fresh name to subsequent uses
 - Every variable has exactly one definition

$$p = a + b$$

$$q = p - c$$

$$p = q * d$$

$$p = e - p$$

$$q = p + q$$

3AC

$$p_1 = a + b$$

$$q_1 = p_1 - c$$

$$p_2 = q_1 * d$$

$$p_3 = e - p_2$$

$$q_2 = p_3 + q_1$$

SSA

Static Single Assignment (SSA)

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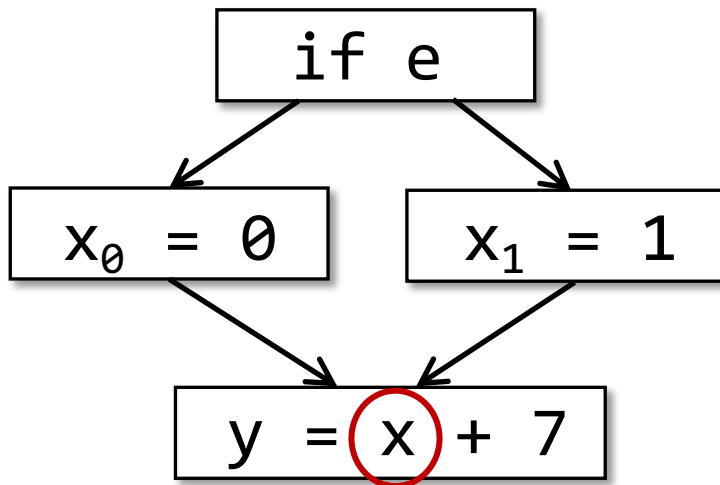
$$q_2 = p_3 + q_1$$

SSA



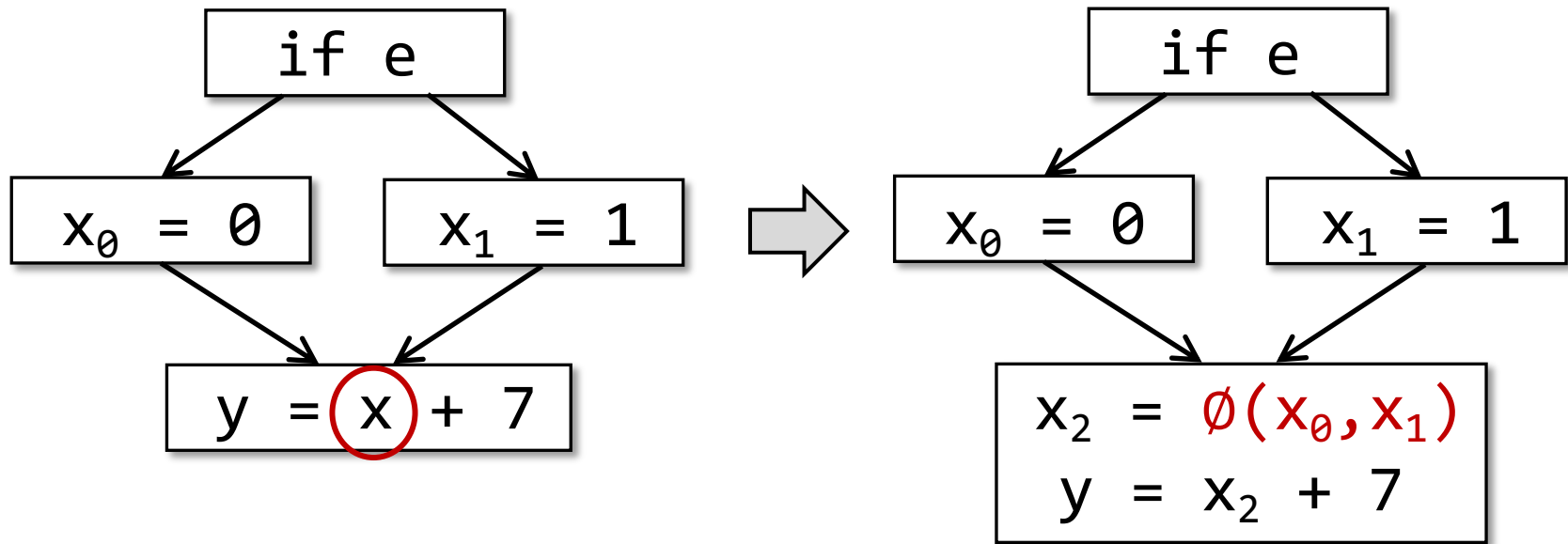
Static Single Assignment (SSA)

- What if a variable use is at control flow merges?



Static Single Assignment (SSA)

- What if a variable use is at control flow merges?



- A special merge operator, ϕ (called phi-function), is introduced to select the values at merge nodes
- $\phi(x_0, x_1)$ has the value x_0 if the control flow passes through the true part of the conditional and the value x_1 otherwise

Why SSA?

Why not SSA?

Why SSA?

- Flow information is indirectly incorporated into the unique variable names

May help deliver some simpler analyses, e.g., flow-insensitive analysis gains partial precision of flow-sensitive analysis via SSA

- Define-and-Use pairs are explicit

Enable more effective data facts storage and propagation in some on-demand tasks

Some optimization tasks perform better on SSA (e.g., conditional constant propagation, global value numbering)

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Why not SSA?

- SSA may introduce too many variables and phi-functions
- May introduce inefficiency problem when translating to machine code (due to copy operations)

Control Flow Analysis

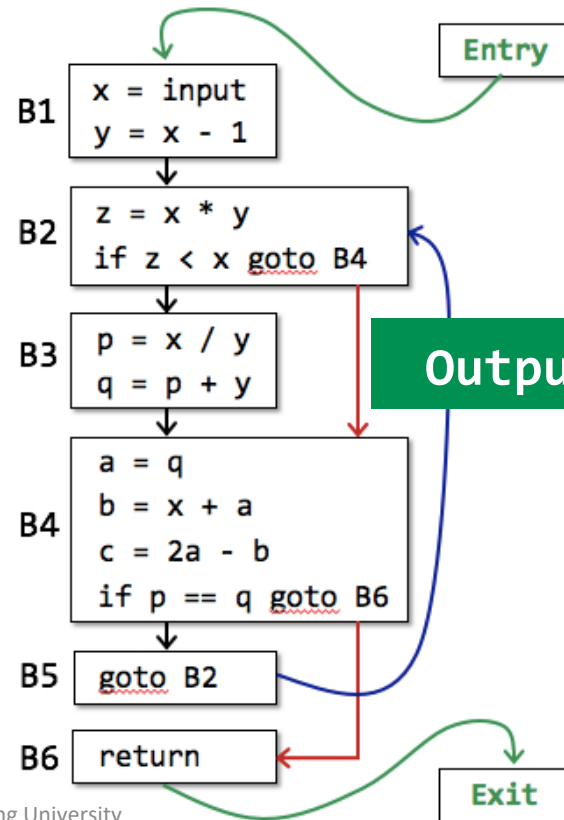
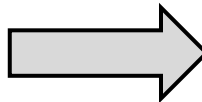
- Usually refer to building Control Flow Graph (CFG)

Control Flow Analysis

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Input: 3AC of P

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
(5) p = x / y
(6) q = p + y
(7) a = q
(8) b = x + a
(9) c = 2a - b
(10) if p == q goto (12)
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```



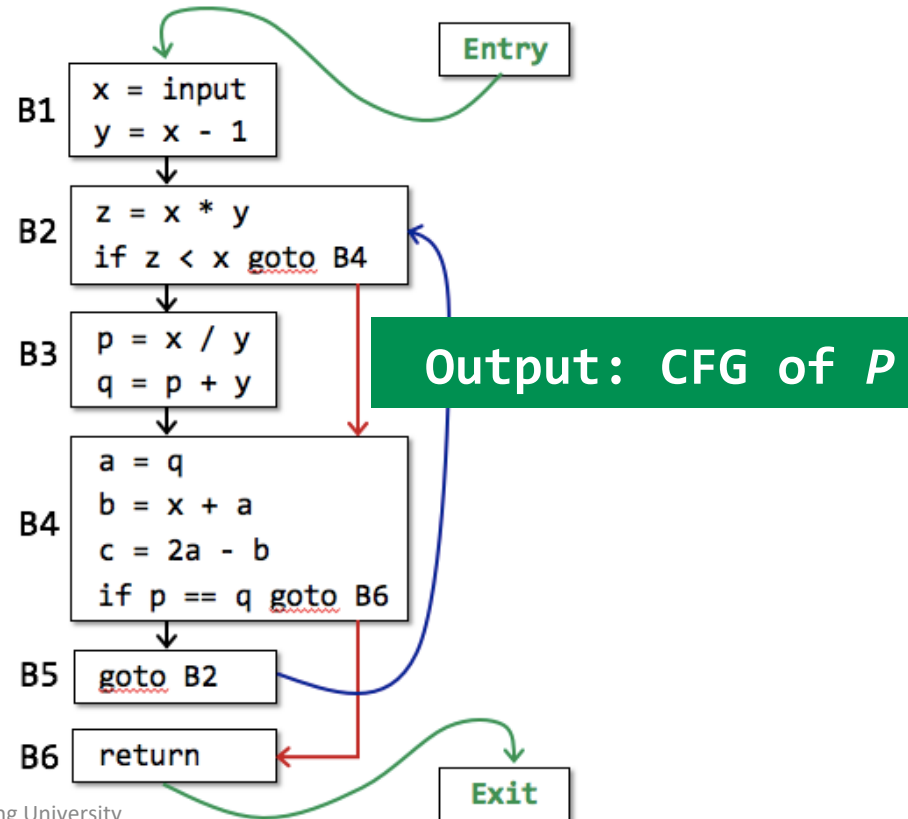
Output: CFG of P

Control Flow Analysis

- Usually refer to building Control Flow Graph (CFG)
- CFG serves as the basic structure for static analysis
- The node in CFG can be an individual 3-address instruction, or (usually) a Basic Block (BB)

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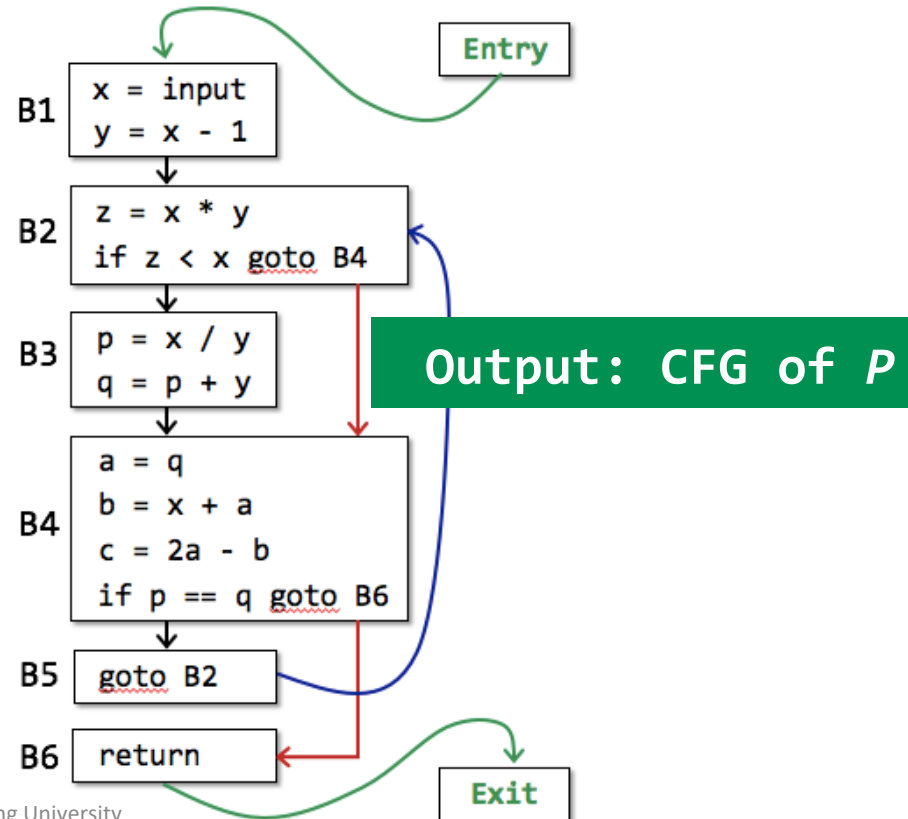
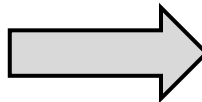


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- Usually refer to building Control Flow Graph (CFG)
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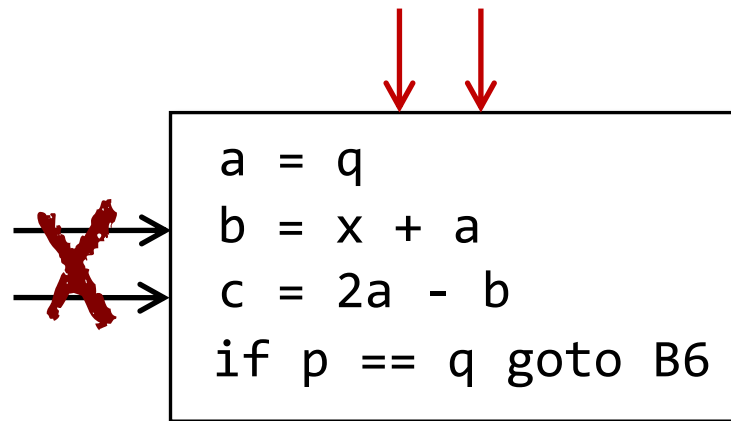
Basic Blocks (BB)

- Basic blocks (BB) are maximal sequences of consecutive three-address instructions with the properties that

```
a = q  
b = x + a  
c = 2a - b  
if p == q goto B6
```

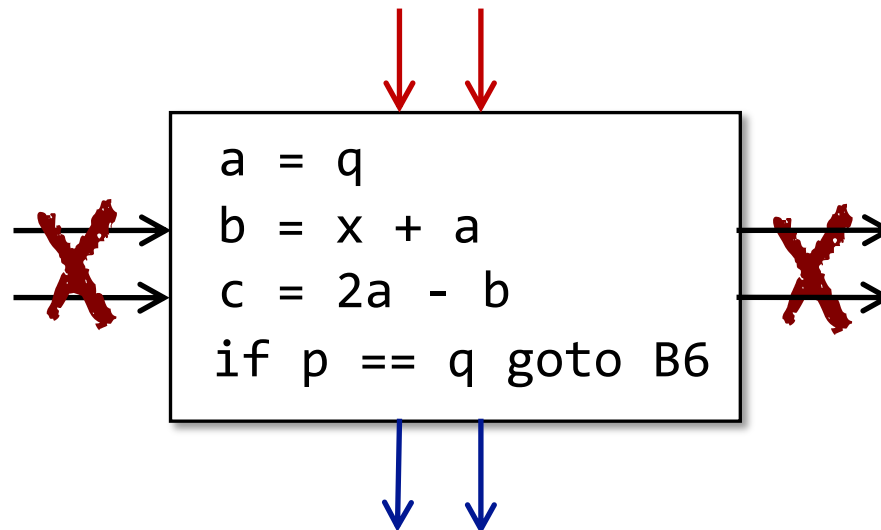
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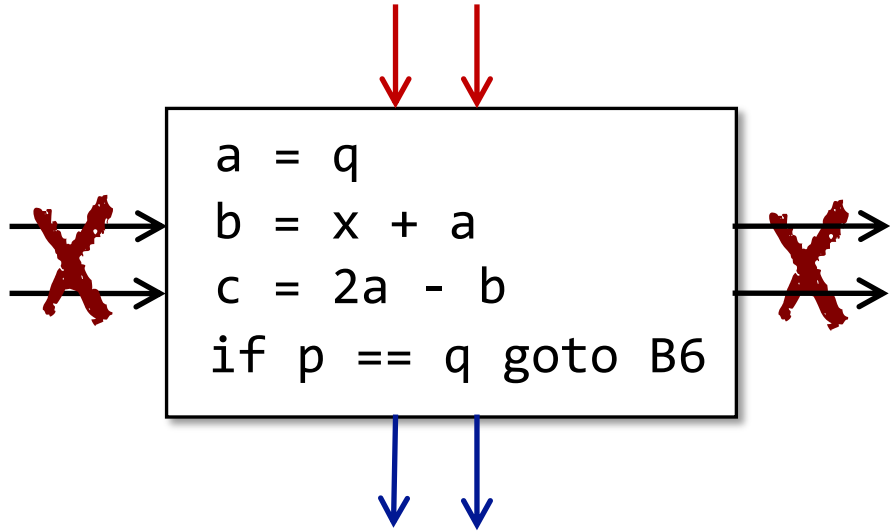
Basic Blocks (BB)

- Basic blocks (BB) are maximal sequences of consecutive three-address instructions with the properties that
 - It can be entered only at the beginning, i.e., *the first instruction in the block*
 - It can be exited only at the end, i.e., *the last instruction in the block*



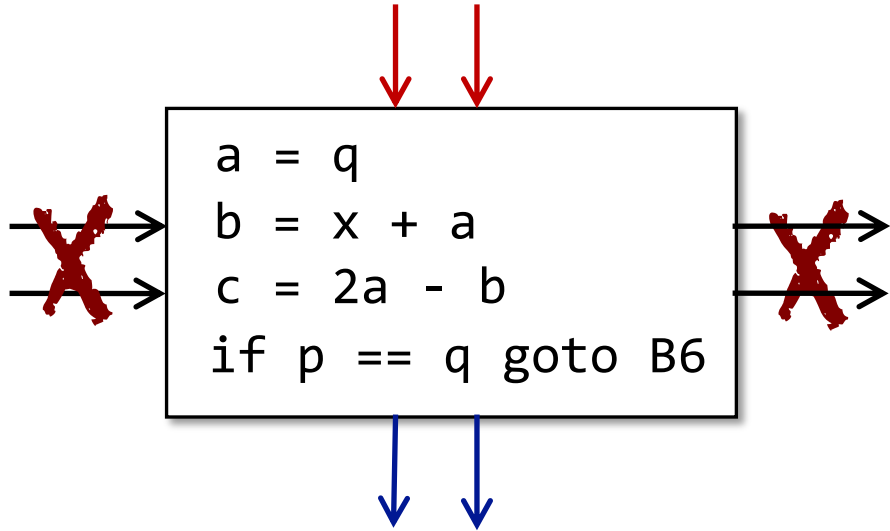
Now try to design the algorithm to build BBs by yourself!

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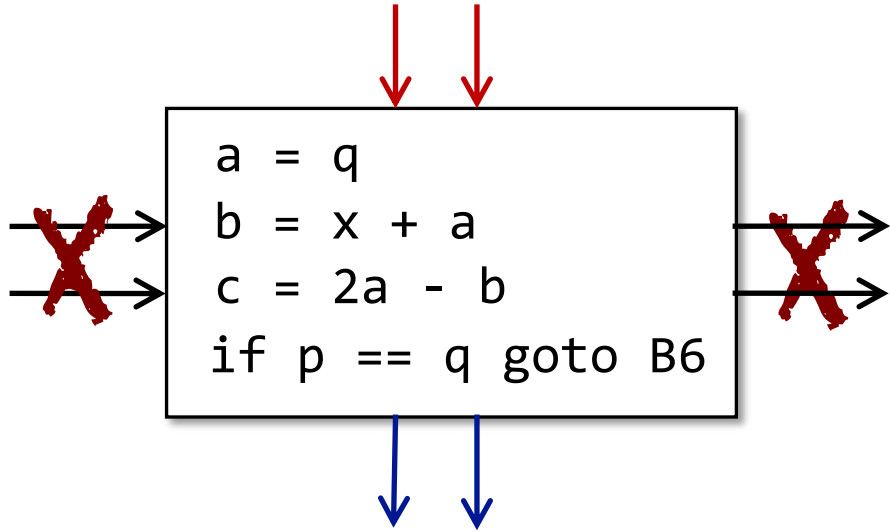
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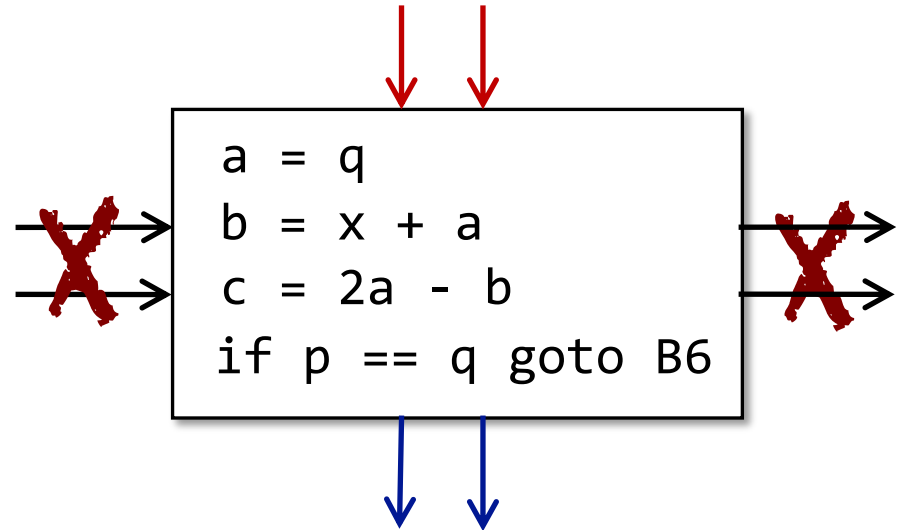
(8) $b = x + a$

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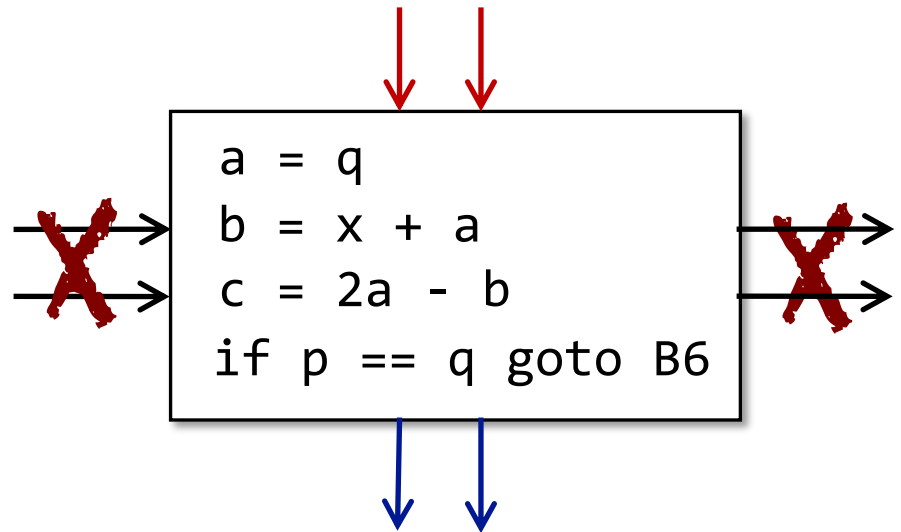
(8) $b = x + a$

(9) $c = 2a - b$

(10) if $p == q$ goto (12)

(11) goto (3)

(12) return



Now try to design the algorithm to build BBs by yourself!

(1) $x = \text{input}$

(2) $y = x - 1$

(3) $z = x * y$

(4) if $z < x$ goto (7)

(5) $p = x / y$

(6) $q = p + y$

(7) $a = q$

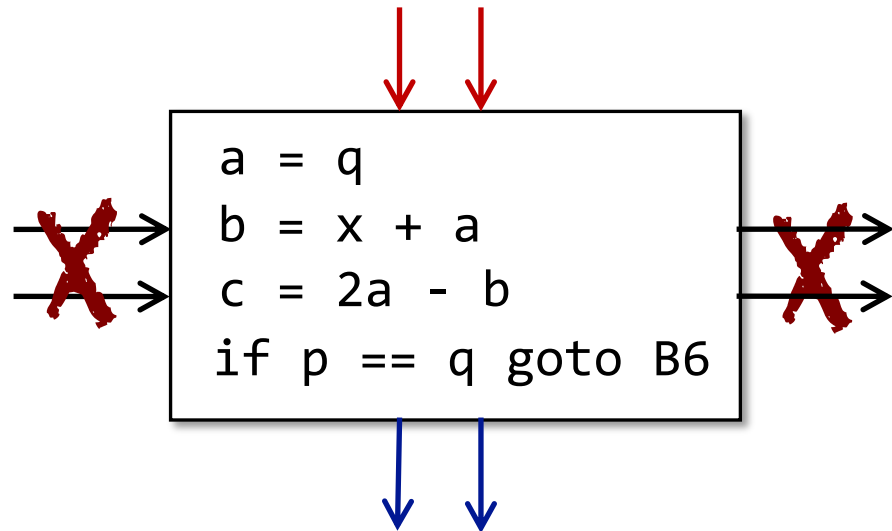
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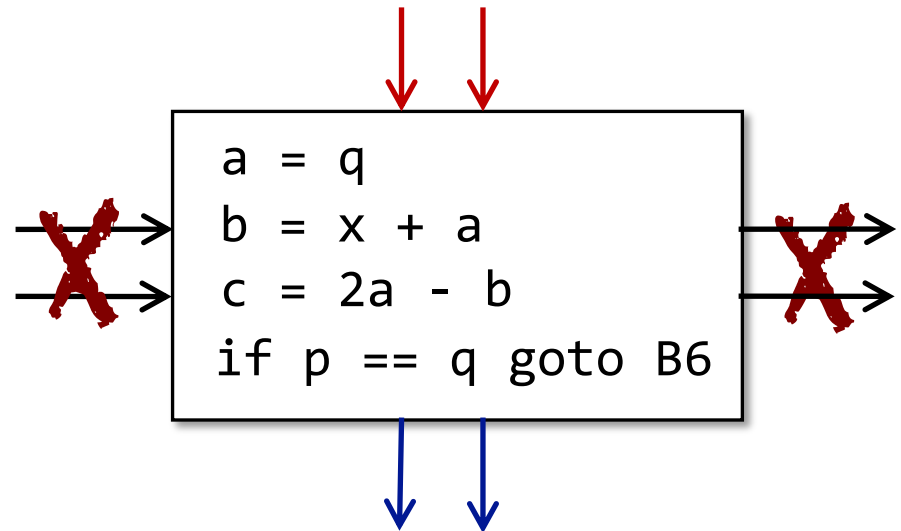
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(12) return



Now try to design the algorithm to build BBs by yourself!

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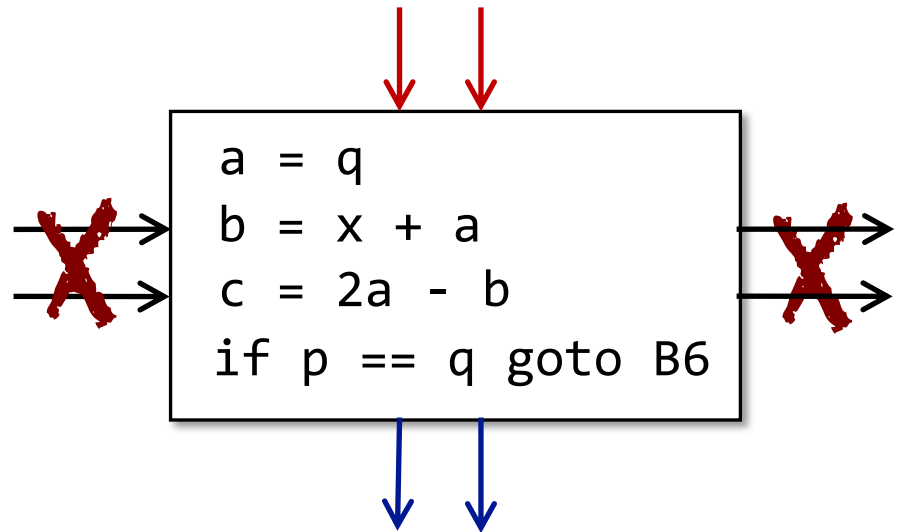
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How to build Basic Blocks?

INPUT: A sequence of three-address instructions of P

OUTPUT: A list of basic blocks of P

METHOD: (1) Determine the leaders in P

- The first instruction in P is a leader
- Any target instruction of a conditional or unconditional jump is a leader
- Any instruction that immediately follows a conditional or unconditional jump is a leader

(2) Build BBs for P

- A BB consists of a leader and all its subsequent instructions until the next leader

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(2) Build BBs for P

- A BB consists of a leader and all its subsequent instructions until the next leader



Input: 3AC of P

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
(5) p = x / y
(6) q = p + y
(7) a = q
(8) b = x + a
(9) c = 2a - b
(10) if p == q goto (12)
(11) goto (3)
(12) return
```

Output: BBs of P

Input: 3AC of P

```
(1) x = input
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(4) if z < x goto (7)
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Output: BBs of P

(1) Determine the leaders in P

Input: 3AC of P

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(1) x = input
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(3) z = x * y
(4) if z < x goto (7)
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(10) if p == q goto (12)
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(12) return
```

Output: BBs of P

(1) Determine the leaders in P

- The first instruction in P is a leader

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Output: BBs of P

(1) Determine the leaders in P

- (1)

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```

Output: BBs of P

(1) Determine the leaders in P

- (1)
- Any target instruction of a conditional or unconditional jump is a leader

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(12) return

Output: BBs of P

(1) Determine the leaders in P

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Output: BBs of P

(1) Determine the leaders in P

- (1)
- (3), (7), (12)

Input: 3AC of P

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(1) x = input
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(9) c = 2a - b
(10) if p == q goto (12)
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```

Output: BBs of P

(1) Determine the leaders in P

- (1)
- (3), (7), (12)

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Output: BBs of P

(1) Determine the leaders in P

- (1)
- (3), (7), (12)
- (5), (11), (12)

Input: 3AC of P

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(12) return
```

Output: BBs of P

(1) Determine the leaders in P

- (1)
- (3), (7), (12)
- (5), (11), (12)

Leaders: (1), (3),
(5), (7), (11), (12)

Input: 3AC of P

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(1) x = input
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```

Output: BBs of P

(1) Determine the leaders in P

- (1) Leaders: (1), (3),
- (3), (7), (12) (5), (7), (11), (12)
- (5), (11), (12)

(2) Build BBs for P

- A BB consists of a leader and all its subsequent instructions until the next leader

Input: 3AC of P

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(1) x = input
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```

Output: BBs of P

(1) Determine the leaders in P

- (1)
 - (3), (7), (12)
 - (5), (11), (12)
- Leaders: (1), (3), (5), (7), (11), (12)

(2) Build BBs for P

- A BB consists of a leader and all its subsequent instructions until the next leader
- B1 {(1)}
- B2 {(3)}
- B3 {(5)}
- B4 {(7)}
- B5 {(11)}
- B6 {(12)}

Input: 3AC of P

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
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(9) c = 2a - b
(10) if p == q goto (12)
(11) goto (3)
(12) return
```

Output: BBs of P

(1) Determine the leaders in P

- (1) Leaders: (1), (3), (5), (7), (11), (12)
- (3), (7), (12)
- (5), (11), (12)

(2) Build BBs for P

- A BB consists of a leader and all its subsequent instructions until the next leader
- B1 {(1), (2)}
- B2 {(3), (4)}
- B3 {(5), (6)}
- B4 {(7), (8), (9), (10)}
- B5 {(11)}
- B6 {(12)}

Input: 3AC of P

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(1) x = input
(2) y = x - 1
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Output: BBs of P

- B1 {(1), (2)}
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(11) goto (3)
(12) return
```

Output: BBs of P

```
B1 (1) x = input
    (2) y = x - 1

B2 (3) z = x * y
    (4) if z < x goto (7)

B3 (5) p = x / y
    (6) q = p + y

B4 (7) a = q
    (8) b = x + a
    (9) c = 2a - b
    (10) if p == q goto (12)

B5 (11) goto (3)

B6 (12) return
```

Input: 3AC of P

```
(1) x = input
(2) y = x - 1
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(7) a = q
(8) b = x + a
(9) c = 2a - b
(10) if p == q goto (12)
(11) goto (3)
(12) return
```

How to build CFG on top of BBs?

Output: BBs of P

B1
(1) x = input
(2) y = x - 1

B2
(3) z = x * y
(4) if z < x goto (7)

B3
(5) p = x / y
(6) q = p + y

B4
(7) a = q
(8) b = x + a
(9) c = 2a - b
(10) if p == q goto (12)

B5
(11) goto (3)

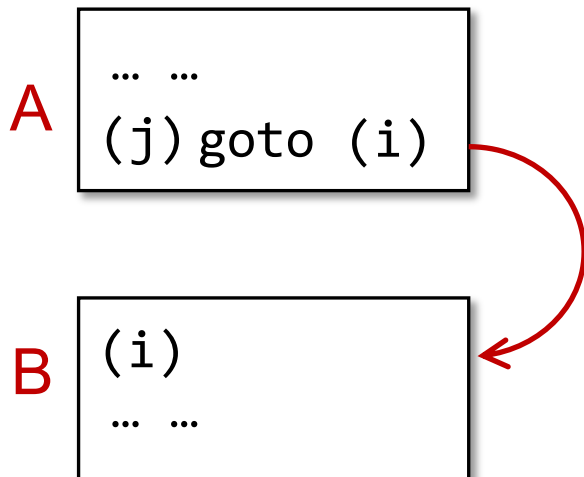
B6
(12) return

Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if

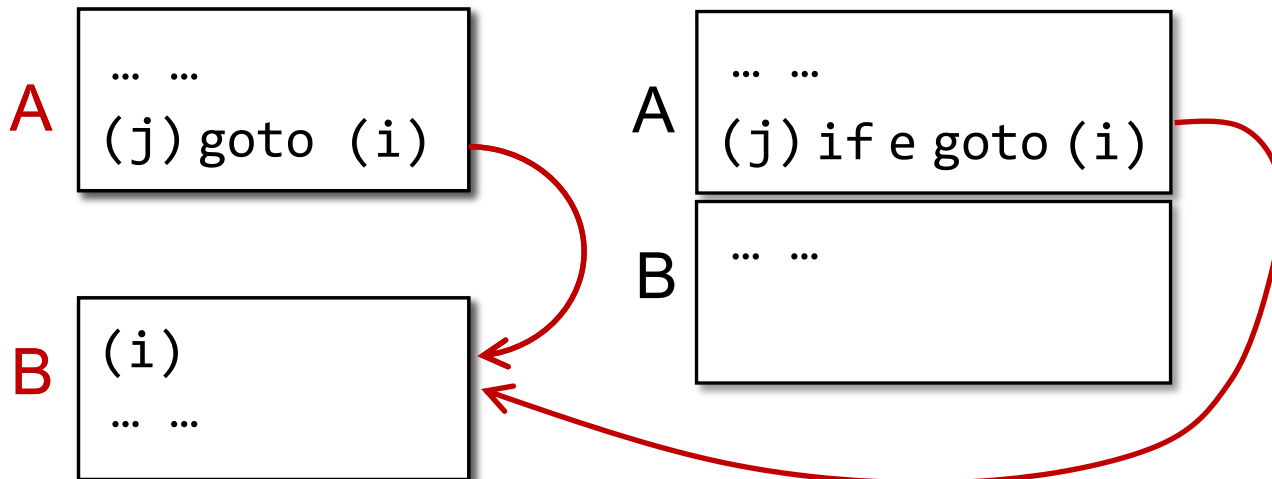
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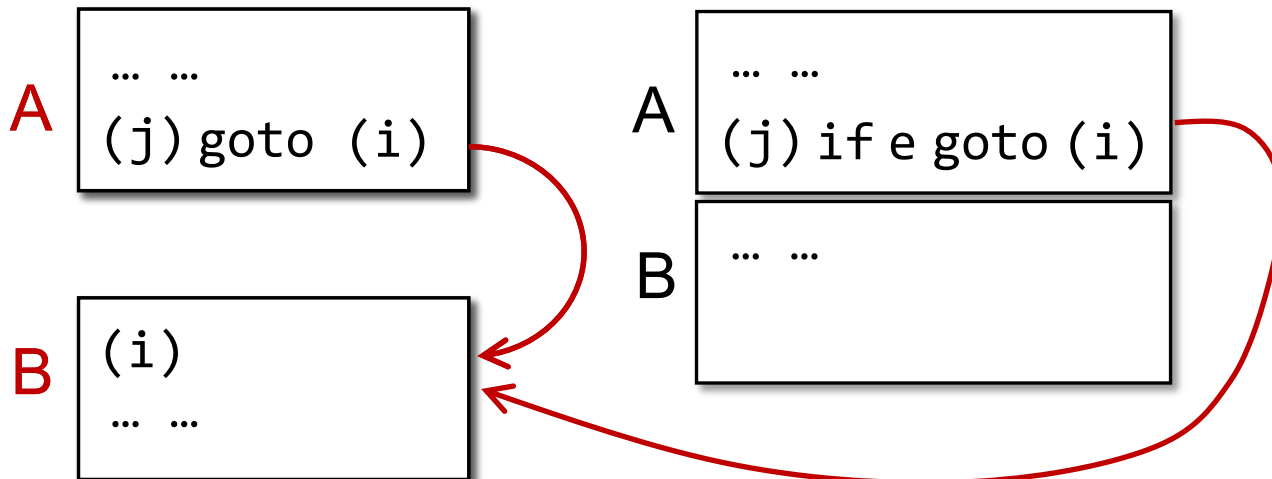
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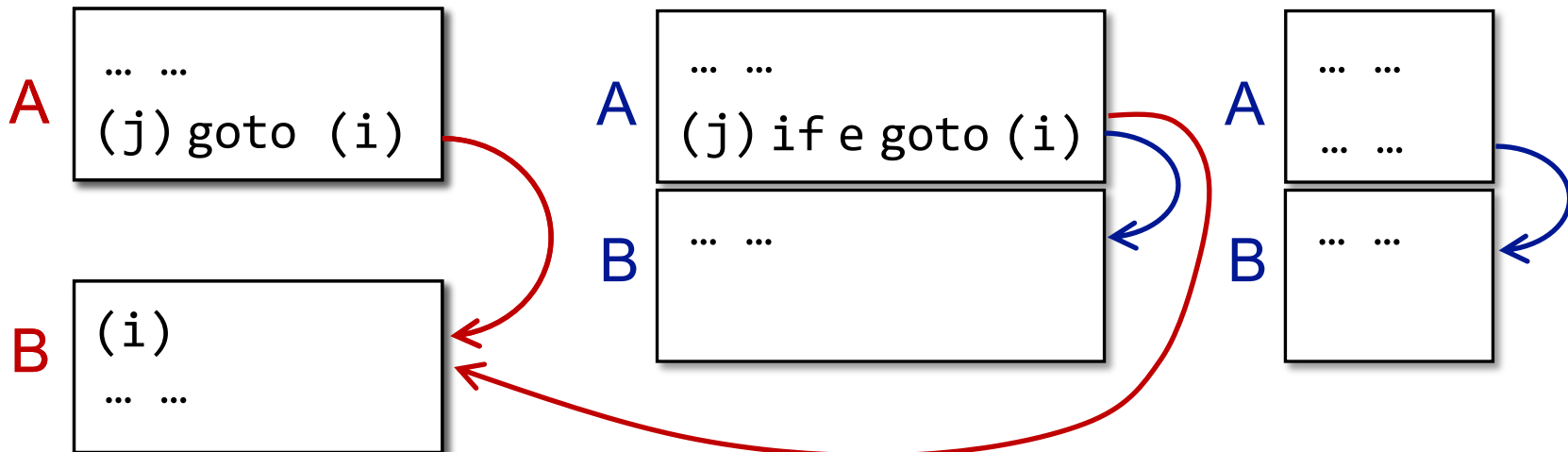
Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
 - There is a conditional or unconditional jump from the end of A to the beginning of B



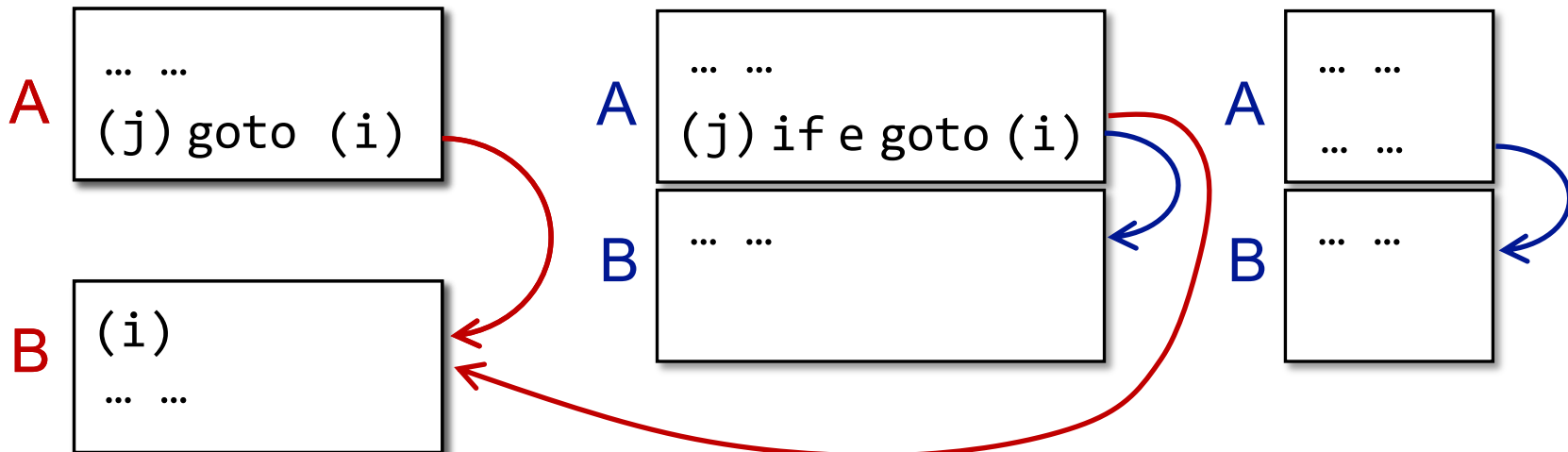
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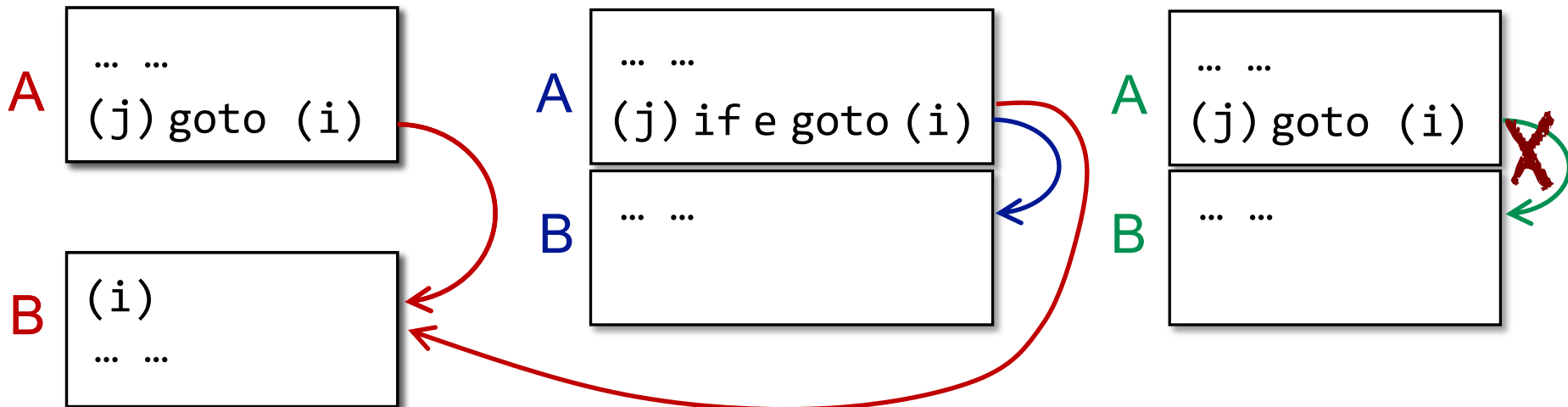
Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
 - There is a conditional or unconditional jump from the end of A to the beginning of B
 - B immediately follows A in the original order of instructions



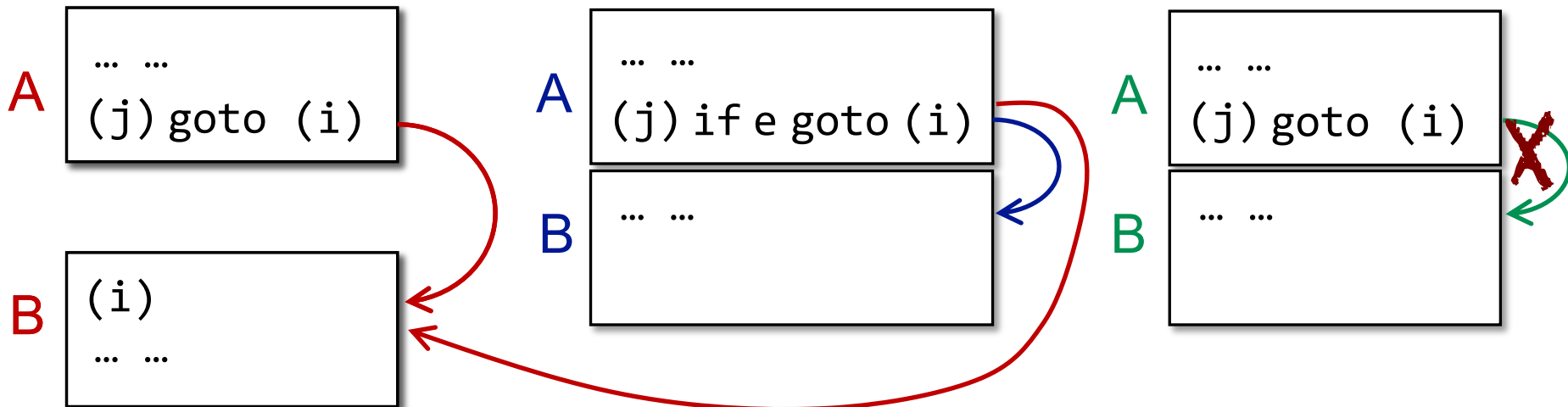
Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
 - There is a conditional or unconditional jump from the end of A to the beginning of B
 - B immediately follows A in the original order of instructions



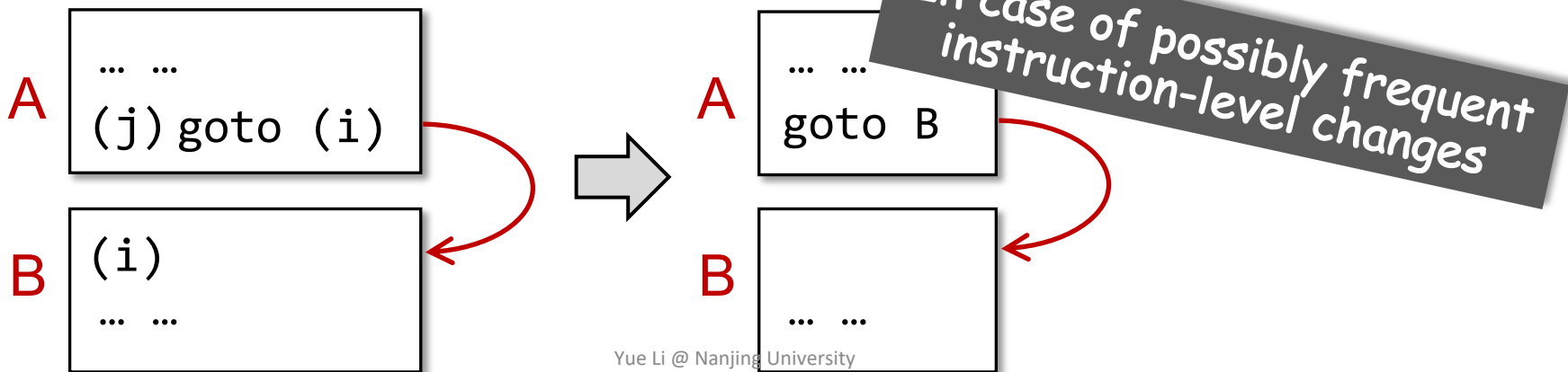
Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
 - There is a conditional or unconditional jump from the end of A to the beginning of B
 - B immediately follows A in the original order of instructions and A does not end in an unconditional jump



Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
 - There is a conditional or unconditional jump from the end of A to the beginning of B
 - B immediately follows A in the original order of instructions and A does not end in an unconditional jump
- It is normal to replace the jumps to instruction labels by jumps to basic blocks



B1 (1) $x = \text{input}$
(2) $y = x - 1$

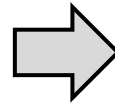
B2 (3) $z = x * y$
(4) $\text{if } z < x \text{ goto } (7)$

B3 (5) $p = x / y$
(6) $q = p + y$

B4 (7) $a = q$
(8) $b = x + a$
(9) $c = 2a - b$
(10) $\text{if } p == q \text{ goto } (12)$

B5 (11) $\text{goto } (3)$

B6 (12) return



B1 $x = \text{input}$
 $y = x - 1$

B2 $z = x * y$
 $\text{if } z < x \text{ goto B4}$

B3 $p = x / y$
 $q = p + y$

B4 $a = q$
 $b = x + a$
 $c = 2a - b$
 $\text{if } p == q \text{ goto B6}$

B5 goto B2

B6 return

Add edges in CFG

B1
x = input
y = x - 1

B2
z = x * y
if z < x goto B4

B3
p = x / y
q = p + y

B4
a = q
b = x + a
c = 2a - b
if p == q goto B6

B5
goto B2

B6
return

Add edges in CFG

There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

B1
x = input
y = x - 1

B2
z = x * y
if z < x goto B4

B3
p = x / y
q = p + y

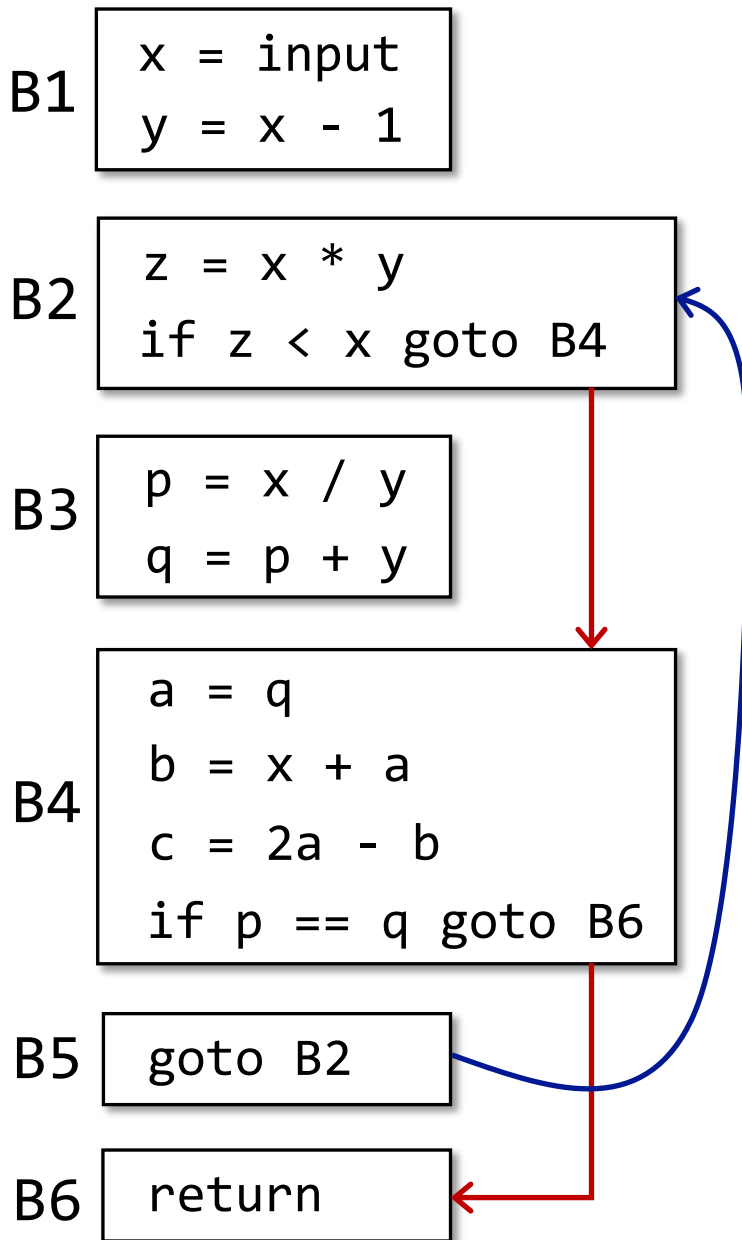
B4
a = q
b = x + a
c = 2a - b
if p == q goto B6

B5
goto B2

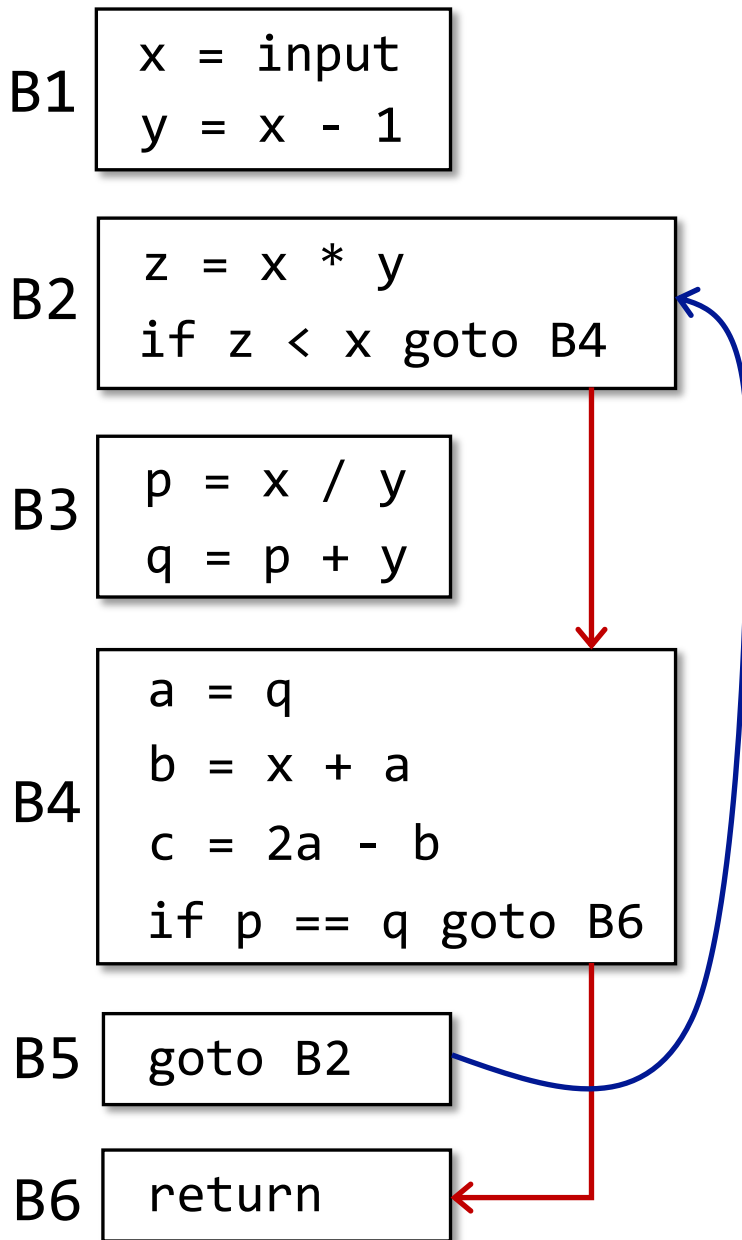
B6
return

Add edges in CFG

There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**



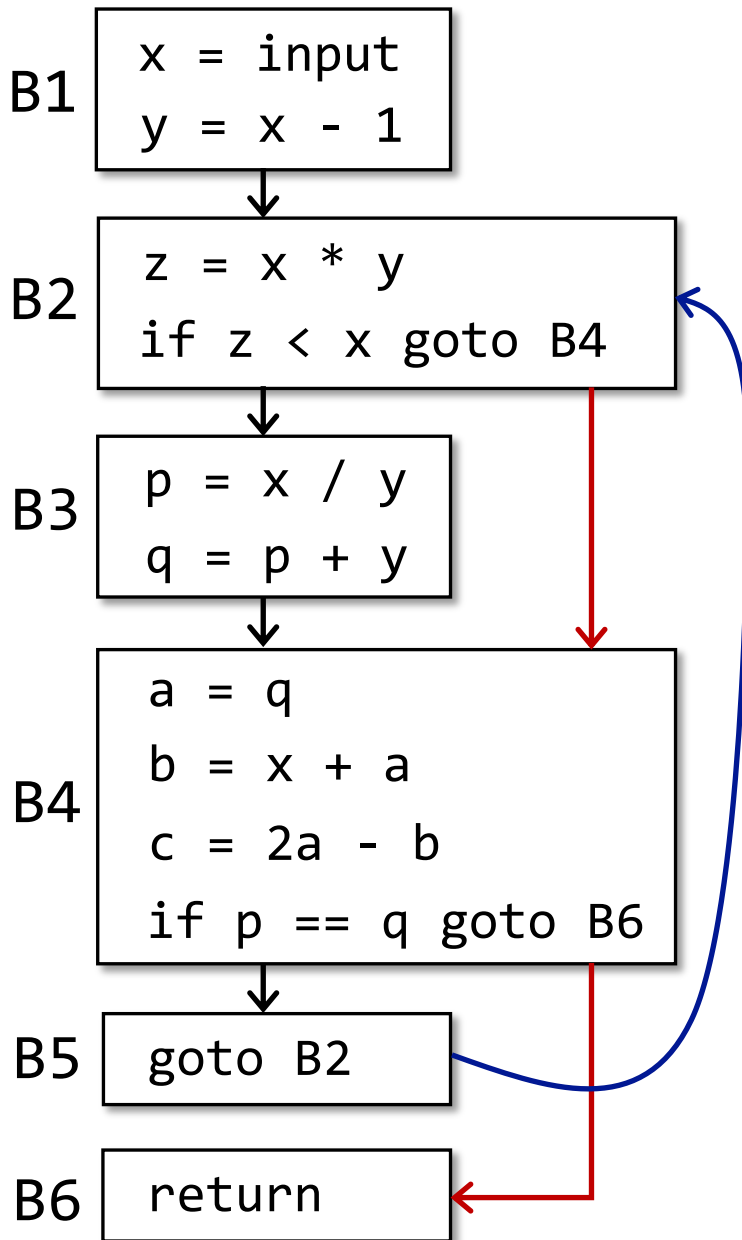
Add edges in CFG



There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

B immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

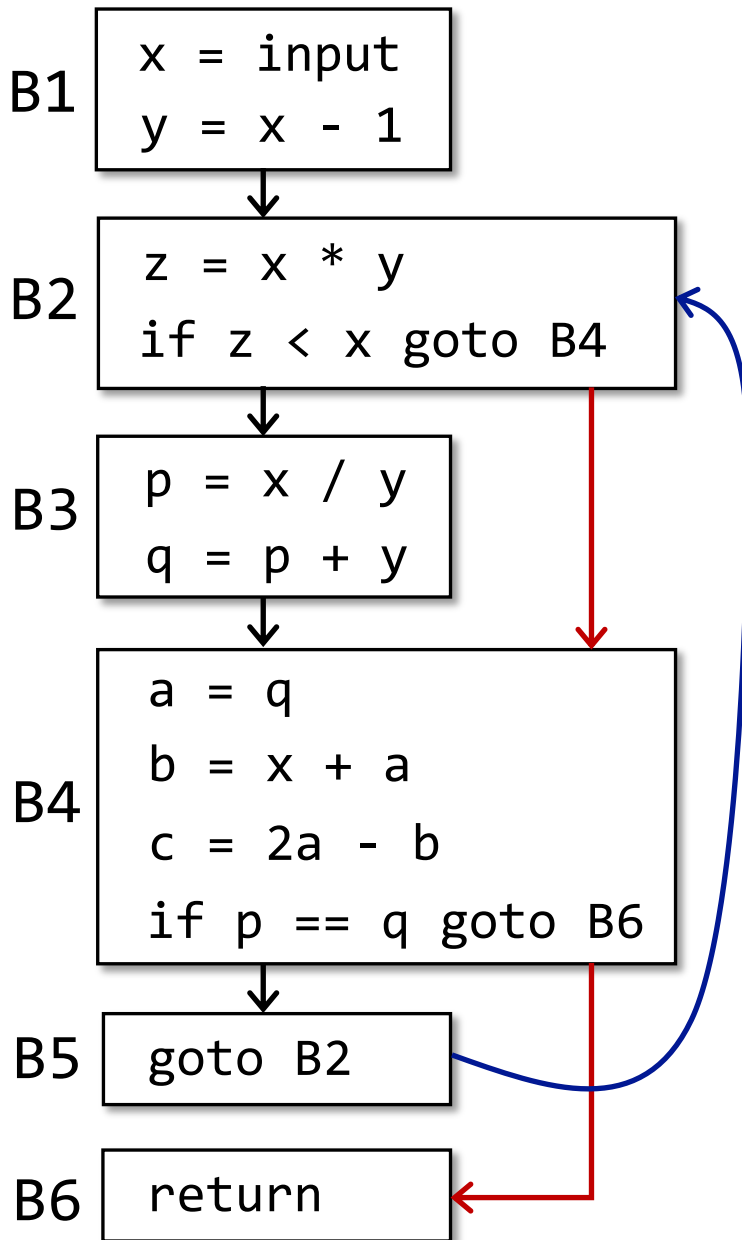
Add edges in CFG



There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

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Add edges in CFG

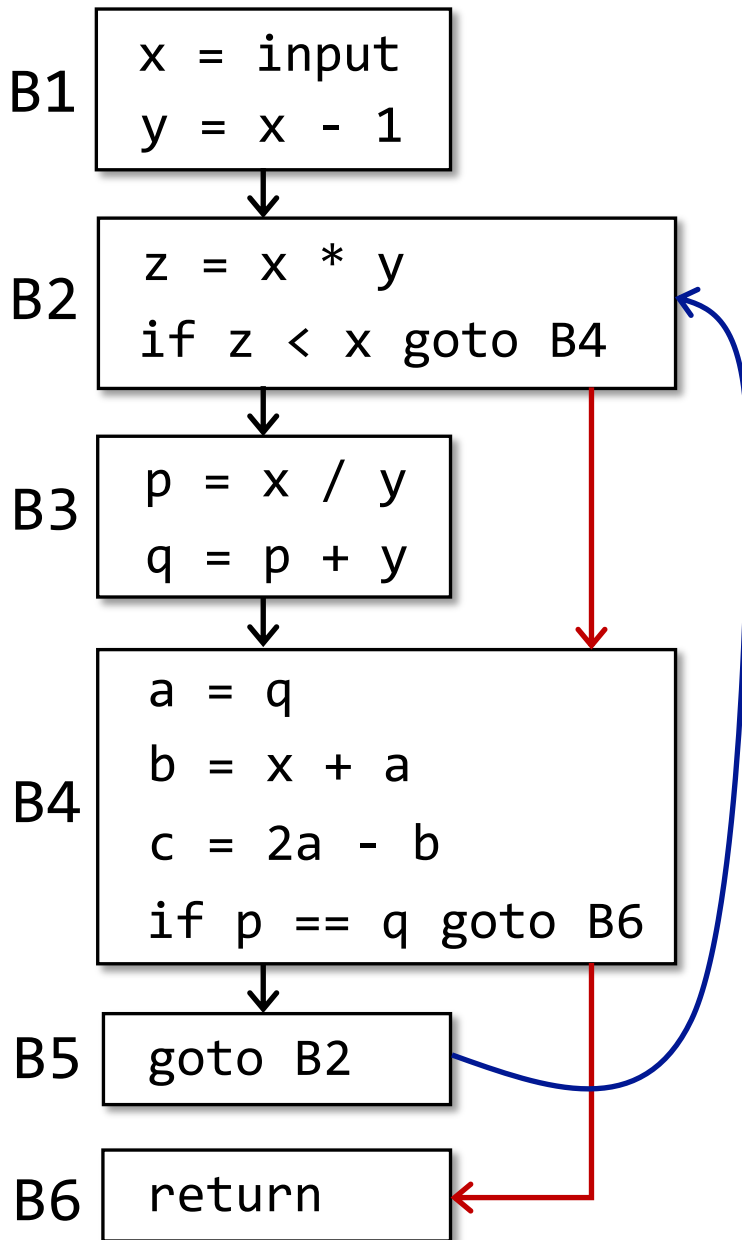


There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

B immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

We say that **A** is a **predecessor** of **B**, and **B** is a **successor** of **A**

Add edges in CFG



There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

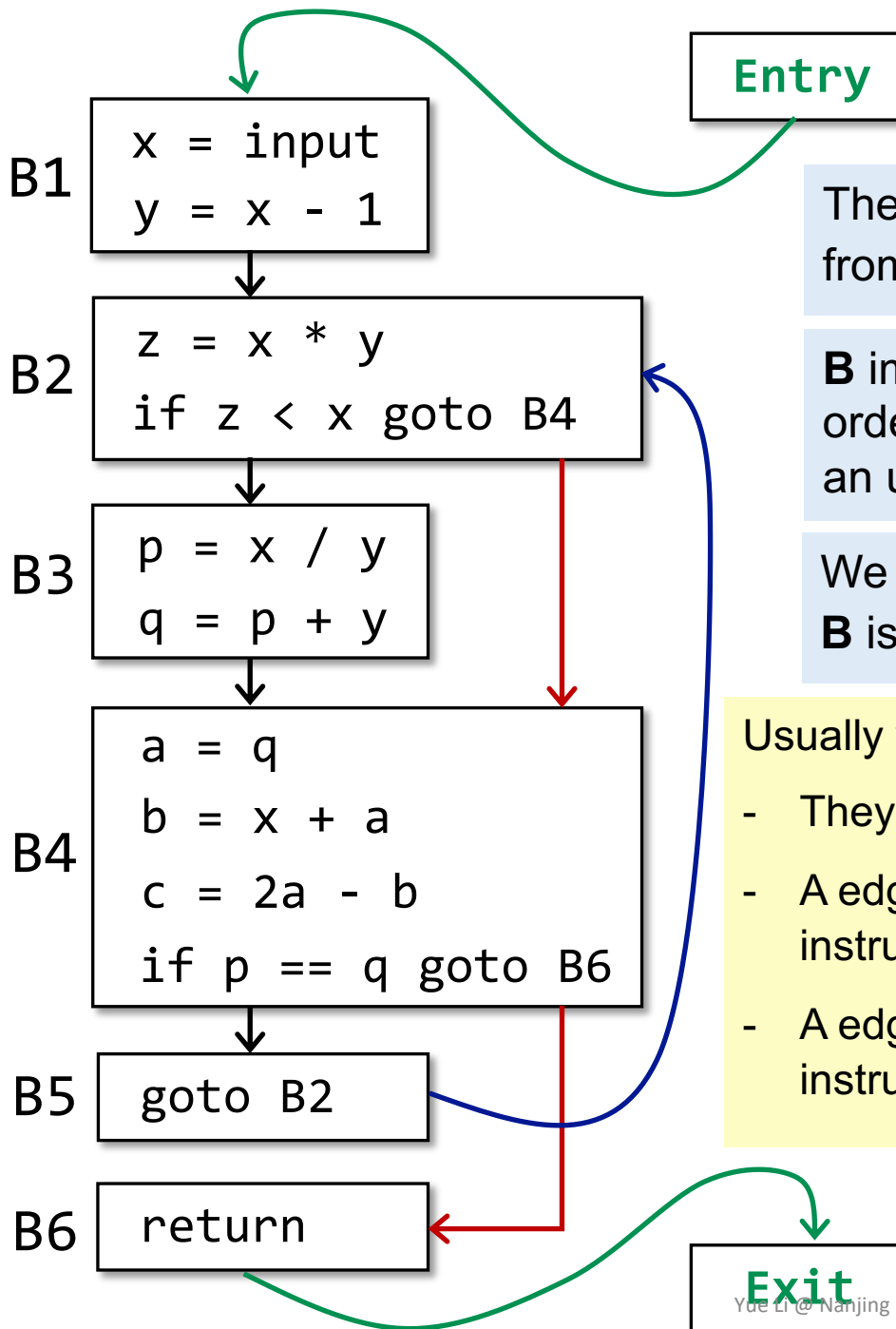
B immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

We say that **A** is a **predecessor** of **B**, and **B** is a **successor** of **A**

Usually we add two nodes, **Entry** and **Exit**.

- They do not correspond to executable IR
- A edge from Entry to the BB containing the first instruction of IR
- A edge to Exit from any BB containing an instruction that could be the last instruction of IR

Add edges in CFG



There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

B immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

We say that **A** is a **predecessor** of **B**, and **B** is a **successor** of **A**

Usually we add two nodes, **Entry** and **Exit**.

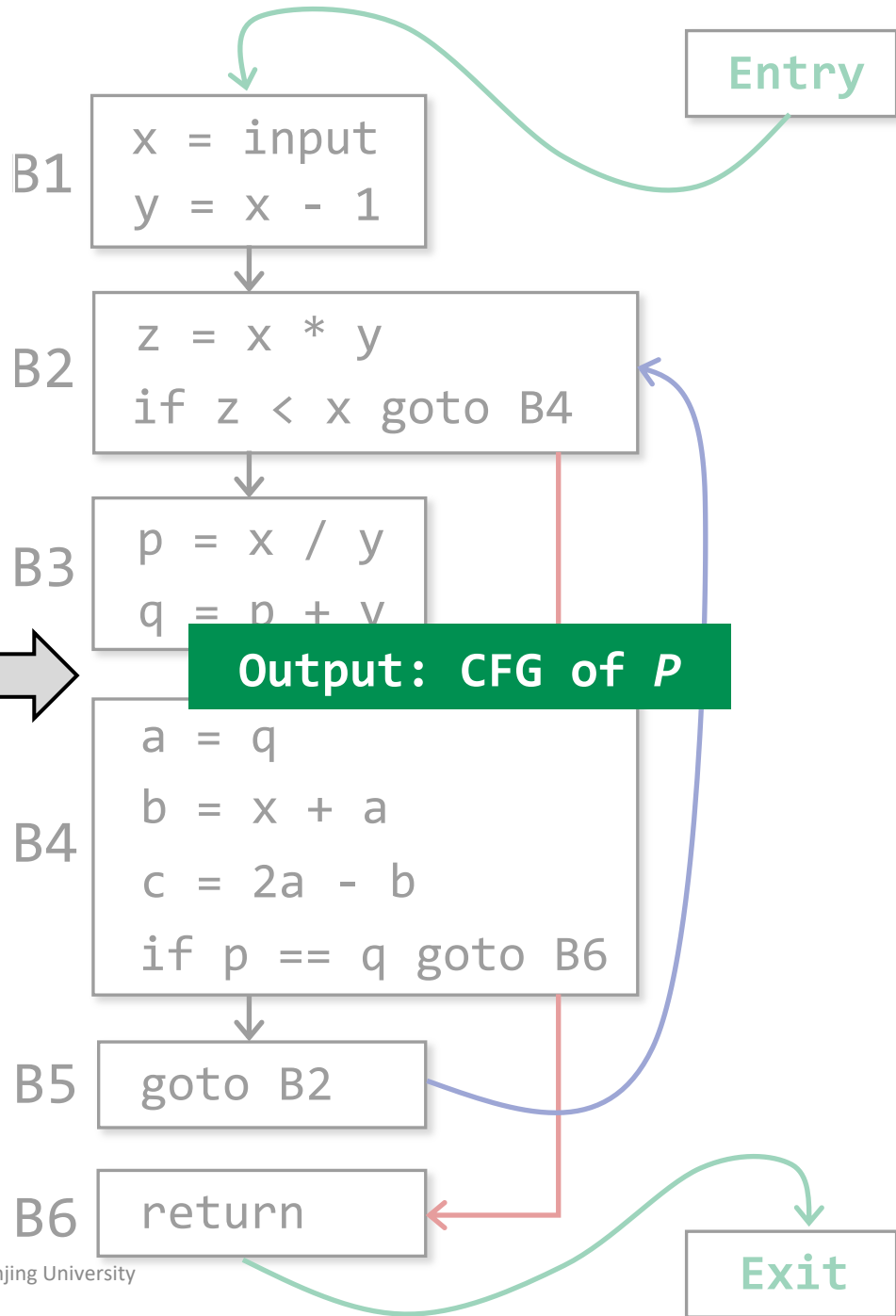
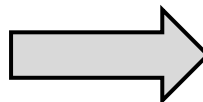
- They do not correspond to executable IR
- A edge from Entry to the BB containing the first instruction of IR
- A edge to Exit from any BB containing an instruction that could be the last instruction of IR

```

(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
(5) p = x / y
(6) q = p + v
(7) a = q
(8) b = x + a
(9) c = 2a - b
(10) if p == q goto (12)
(11) goto (3)
(12) return

```

Input: 3AC of *P*



Output: CFG of *P*



Summary

1. Compilers and Static Analyzers
2. AST vs. IR
3. IR: Three-Address Code (3AC)
4. 3AC in Real Static Analyzer: Soot
5. Static Single Assignment (SSA)
6. Basic Blocks (BB)
7. Control Flow Graphs (CFG)

The X You Need To Understand in This Lecture

- The relation between compilers and static analyzers
- Understand 3AC and its common forms
- How to build basic blocks on top of IR
- How to construct control flow graphs on top of BBs?

注意注意!
划重点了!

